REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

MAR 1 11 32 AM '99

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ☐ Check if different than previously reported
200 Vesey Street, 27th Floor

CITY, STATE and ZIP CODE
New York, New York 10285

2. FEC IDENTIFICATION NUMBER
C00127670

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☐ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☒ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 1/1/99 through 1/31/99		
6. (a) Cash on Hand January 1, 1999		$ 147,262.04
(b) Cash on Hand at Beginning of Reporting Period	$ 147,262.04	
(c) Total Receipts (from Line 19)	$ 11,635.15	$ 11,635.15
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 158,897.19	$ 158,897.19
7. Total Disbursements (from Line 30)	$ 17,000.00	$ 17,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 141,897.19	$ 141,897.19
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ 0.00	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
EDWARD S. GRIEB

Signature of Treasurer

Date 2/16/99

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 6/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Action Fund of Lehman Brothers Holdings Inc.

REPORT COVERING PERIOD FROM 1/1/99 TO 1/31/99

	COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts			
11. Contributions (other than loans) From:			
a. Individual/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	300.00	300.00	11(a)(i)
ii. Unitemized	9,105.94	9,105.94	11(a)(ii)
iii. Total (add i and ii) ➤	9,405.94	9,405.94	11(a)(iii)
b. Political Party Committees	0.00	0.00	11(b)
c. Other Political Committees (such as PACs)	0.00	0.00	11(c)
d. Total Contributions (add a iii, b and c) ➤	9,405.94	9,405.94	11(d)
12. Transfers From Affiliated/Other Party Committees	0.00	0.00	12
13. All Loans Received	0.00	0.00	13
14. Loan Repayments Received	0.00	0.00	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	0.00	0.00	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	2,000.00	2,000.00	16
17. Other Federal Receipts (Dividends, Interest, etc.)	229.21	229.21	17
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	11,635.15	11,635.15	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	11,635.15	11,635.15	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	0.00	0.00	21(a)(i)
ii. Non-Federal Share	0.00	0.00	21(a)(ii)
b. Other Federal Operating Expenditures	0.00	0.00	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤	0.00	0.00	21(c)
22. Transfers to Affiliated/Other Party Committees	0.00	0.00	22
23. Contributions to Federal Candidates/Committees and Other Political Committees	17,000.00	17,000.00	23
24. Independent Expenditures (use Schedule E)	0.00	0.00	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00	25
26. Loan Repayments Made	0.00	0.00	26
27. Loans Made	0.00	0.00	27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	0.00	0.00	28(a)
b. Political Party Committees	0.00	0.00	28(b)
c. Other Political Committees (such as PACs)	0.00	0.00	28(c)
d. Total Contribution Refunds (add a, b and c) ➤	0.00	0.00	28(d)
29. Other Disbursements	0.00	0.00	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	17,000.00	17,000.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	17,000.00	17,000.00	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	9,405.94	9,405.94	32
33. Total Contribution Refunds (from line 28d)	0.00	0.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	9,405.94	9,405.94	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	0.00	0.00	35
36. Offsets to Operating Expenditures (from line 15)	0.00	0.00	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	0.00	0.00	37

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--ITEMIZED RECEIPTS--

LINE NUMBER 11(a)(i)

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

ACTION FUND OF LEHMAN BROTHERS HOLDINGS INC.

FULL NAME AND ADDRESS	EMPLOYER	DATE	AMOUNT
BRETT BOSSUNG 200 VESEY ST., 27TH FLOOR NEW YORK, NY 10285	LEHMAN BROTHERS OCCUPATION EXECUTIVE	01/26/99	$ 300.00

AGGREGATE YTD-- $ 300.00

SUBTOTAL OF RECEIPTS THIS PAGE .....$ 300.00
TOTAL THIS PERIOD .....$ 300.00

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	PAGE 1 OF 1
	FOR LINE NUMBER 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc. C00127670

A. Full Name, Mailing Address and ZIP Code The Moynihan Cmte 450 Seventh Ave., #2207 New York, NY 10123 Receipt For: ☐ Primary ☒ General ☐ Other (specify):	Name of Employer Refund of Contribution itemized on March, 1998 Monthly Report Occupation Aggregate Year-to-Date > $	Date (month, day, year) 01/13/99 2,000.00	Amount of Each Receipt this Period 2,000.00
B. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
C. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
D. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)	2,000.00
TOTAL This Period (last page this line number only)	2,000.00

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SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

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Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Kerrey for U.S. Senate 3412 P St. NW Washington, DC 20007	Contrib. to Senate cand. Bob Kerry, NE	01/21/99	$ 1,000.00
	DISBURSEMENT FOR: Primary '00		

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Kerrey for U.S. Senate 3412 P St. NW Washington, DC 20007	Contrib. to Senate cand. Bob Kerry, NE	01/21/99	$ 1,000.00
	DISBURSEMENT FOR: General '00		

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Bond PAC 1445 New York Ave. NW 8th Fl. Washington, DC 20005	Contrib. to Bond Market Association PAC	01/26/99	$ 5,000.00
	DISBURSEMENT FOR: N/A		

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
SIA-PAC 1401 Eye St. NW Washington, DC 20005	Contrib. to Securities Industry Assn. PAC	01/26/99	$ 5,000.00
	DISBURSEMENT FOR: N/A		

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
FIPAC 2001 Pennsylvania Ave. NW #600 Washington, DC 20006	Contrib. to Futures Industry PAC	01/26/99	$ 5,000.00
	DISBURSEMENT FOR: N/A		

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 17,000.00
TOTAL THIS PERIOD ..... $ 17,000.00

SCHEDULE A **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 1

FOR LINE NUMBER 17

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc. C00127670

A. Full Name, Mailing Address and ZIP Code	Name of Employer / Occupation	Date (month, day, year)	Amount of Each Receipt this Period
First Union Bank of Washington One First Union Center 1164 Charlotte, NC 28288 Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Interest earned on bank account Aggregate Year-to-Date > $ 229.21	01/29/99	229.21
B. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
C. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
D. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
E. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
F. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period
G. Full Name, Mailing Address and ZIP Code Receipt For: ☐ Primary ☐ General ☐ Other (specify):	Name of Employer / Occupation Aggregate Year-to-Date > $	Date (month, day, year)	Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional) 229.21

TOTAL This Period (last page this line number only) 229.21

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Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	Date of Receipt
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☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

Jeff
PREPARER

3-1-99
DATE PREPARED

(4/98)