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FEC

FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

| | | | | | Office Use Only | |
|---|--|-----------------------------------|---------------------------------------|-----------------|---|-------|
| 1. NAME OF TYP COMMITTEE (in full) | e or print ▼ | Example: If typir over the lines. | ng, type | 12FE4M | 5 | |
| The Caterpillar Inc. Emplo | oyee Political Actio | n Committee | | | | |
| | | | | | | |
| ADDRESS (number and street) | 00 N.E. Adams Street | | | | | |
| Check if different than previously reported. (ACC) | Peoria | | | | 61629-1430 | |
| 2. FEC IDENTIFICATION NUMB | | Y 🔺 | S | | ZIP CODE | |
| C C00148031 | 3. IS RI | | NEW N) OR | AM (A) | ENDED | |
| 4. TYPE OF REPORT ((Choose One) | Report Due On: | | May 20 (M5) Jun 20 (M6) | | 20 (M8) Nov 20 ((Non-Election Year Only) 20 (M9) Dec 20 ((Non Election) | òn ′ |
| (a) Quarterly Reports: | | | , , , , , , , , , , , , , , , , , , , | | (Non-Electio Year Only) | òn Í |
| April 15 Quarterly Report (Q1) | (c) 12-Day | 20 (M4) | Jul 20 (M7) | General (| 20 (M10) Jan 31 (* 12G) Runoff (1 | |
| July 15 Quarterly Report (Q2) | PRE-Election Report for the: | Convention (| | Special (1 | | , |
| October 15 Quarterly Report (Q3) January 31 | Election | / | D D / | Y Y Y Y Y | in the State of | - |
| Year-End Report (YE) July 31 Mid-Year Report (Non-election Year Only) (MY) | (d) 30-Day POST-Election | General (300 | | Runoff (3 | | 30S) |
| Termination Report (TER) | Report for the: Election | n on | D = D / | YYYYY | in the State of | |
| 5. Covering Period | 01 / Y Y Y Y 01 2020 | Y through | 03 | / D D / 31 | 2020 | |
| I certify that I have examined this R J Type or Print Name of Treasurer | eport and to the best of ames, Danielle, , , | my knowledge and b | pelief it is true | e, correct and | complete. | |
| Signature of Treasurer | nielle, , , | [Electronically | Filed] Da | ate 04 | / D D / Y Y Y 15 2020 | Y |
| NOTE: Submission of false, erroneous | , or incomplete information | may subject the pers | son signing thi | is Report to th | e penalties of 52 U.S.C. § | 30109 |
| Office Use Only | | | | | FEC FORM 3X Rev. 05/2016 | |

04/15/2020 16 : 45

PAGE 1 / 88

X

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2 Write or Type Committee Name The Caterpillar Inc. Employee Political Action Committee M D D М D Y M T. 03 01 2020 03 31 2020 Report Covering the Period: From: To: COLUMN A COLUMN B This Period Calendar Year-to-Date (a) Cash on Hand 6. 432423.22 Januarv 1. 2020 (b) Cash on Hand at 440034.29 Beginning of Reporting Period..... 63053.09 185198.21 Total Receipts (from Line 19) (C) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 617621.43 503087.38 6(a) and 6(c) for Column B)..... 48265.90 162799.95 7. Total Disbursements (from Line 31)..... Cash on Hand at Close of 8. Reporting Period 454821.48 454821.48 (subtract Line 7 from Line 6(d)) Debts and Obligations Owed TO 9. the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

| Report Covering the Period: From: 03 I. Receipts | 01 2020 To: | COLUMN B |
|--|-------------------|-----------------------|
| | Total This Period | Calendar Year-to-Date |
| . Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other | | |
| Than Political Committees | 40816.38 | 00000 55 |
| (i) Itemized (use Schedule A) | 40010.30 | 96296.55 |
| (ii) Unitamized | 22227.35 | 88869.66 |
| (ii) Unitemized (iii) TOTAL (add | | |
| Lines 11(a)(i) and (ii) | 63043.73 | 185166.21 |
| | | |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees | | |
| (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines | | |
| 11(a)(iii), (b), and (c)) (Carry | 20040.70 | 495466.04 |
| Totals to Line 33, page 5) | 63043.73 | 185166.21 |
| . Transfers From Affiliated/Other | 0.00 | 0.00 |
| Party Committees | 0.00 | 0.00 |
| All Loans Received | 0.00 | 0.00 |
| | AJA AJA AN | |
| Lean Denoumente Dessived | 0.00 | 0.00 |
| Loan Repayments Received Offsets To Operating Expenditures | | |
| (Refunds, Rebates, etc.) | | |
| (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| . Refunds of Contributions Made | -77 | |
| to Federal Candidates and Other | | |
| Political Committees | 0.00 | 0.00 |
| Other Federal Receipts | | |
| (Dividends, Interest, etc.) | 9.36 | 32.00 |
| Transfers from Non-Federal and Levin Funds | | 47 47 47 47 |
| (a) Non-Federal Account | | |
| (from Schedule H3) | 0.00 | 0.00 |
| | | |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| | | |
| (c) Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 |
| . Total Receipts (add Lines 11(d), | | |
| 12, 13, 14, 15, 16, 17, and 18(c)) | 63053.09 | 185198.21 |
| -, ··, ··, ··, ··, ··, ··, ··, ·· | | |
| Total Federal Receipts | | |
| (subtract Line 18(c) from Line 19) | 63053.09 | 185198.21 |

(subtract Line 18(c) from Line 19).....▶

| 1 | | | | - |
|-----------|-----|------|------|---|
| 185198.21 | | | | |
| | 191 | | | |

Page 3

I

DETAILED SUMMARY PAGE

of Disbursements

| FEC Form 3X (Rev. 05/2016) | of Disbursements | Page 4 | | | | |
|---|-------------------------------|-----------------------|--|--|--|--|
| II. Disbursements | COLUMN A Total This Period | COLUMN B | | | | |
| Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | Calendar Year-to-Date | | | | |
| (i) Federal Share | 0.00 | 0.00 | | | | |
| (ii) Non-Federal Share | 0.00 | 0.00 | | | | |
| (b) Other Federal Operating Expenditures | 15.90 | 49.95 | | | | |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | 15.90 | 49.95 | | | | |
| Transfers to Affiliated/Other Party | | | | | | |
| Committees Contributions to Federal Candidates/Committees | 0.00 | 0.00 | | | | |
| and Other Political Committees | 30500.00 | 94500.00 | | | | |
| (use Schedule E) Coordinated Party Expenditures | 0.00 | 0.00 | | | | |
| (52 U.S.C. § 30116(d)) (use Schedule F) | 0.00 | 0.00 | | | | |
| Loan Repayments Made | 0.00 | 0.00 | | | | |
| Loans Made Refunds of Contributions To: | 0.00 | 0.00 | | | | |
| (a) Individuals/Persons Other Than Political Committees | 250.00 | 1750.00 | | | | |
| (b) Political Party Committees | 0.00 | 0.00 | | | | |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 | | | | |
| (d) Total Contribution Refunds(add Lines 28(a), (b), and (c)) | 250.00 | 1750.00 | | | | |
| Other Disbursements (Including Non-Federal Donations) | 17500.00 | 66500.00 | | | | |
| Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity (from Schedule H6) | 20)) | | | | | |
| (i) Federal Share | 0.00 | 0.00 | | | | |
| (ii) "Levin" Share(b) Federal Election Activity Paid | 0.00 | 0.00 | | | | |
| Entirely With Federal Funds | 0.00 | 0.00 | | | | |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶ | 0.00 | 0.00 | | | | |
| Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 48265.90 | 162799.95 | | | | |
| Total Federal Disbursements | +0200.30 | 102739.33 | | | | |
| (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) | 48265.90 | 162799.95 | | | | |

DETAILED SUMMARY PAGE

| III. Net Contributions/ Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| Total Contributions (other than loans) (from Line 11(d), page 3) | 63043.73 | 185166.21 |
| 34. Total Contribution Refunds (from Line 28(d)) | 250.00 | 1750.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 62793.73 | 183416.21 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 15.90 | 49.95 |
| Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 15.90 | 49.95 |

SCHEDULE A (FEC Form 3X) DEAEIDTA

FOR LINE NUMBER:

PAGE 6 OF

| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | |
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| II EIVILED RECEIFIO | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 17 | | | | | | | |
| | | | person for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Middl A. Abbott, Brian, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605111 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 122.40 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) / Product Manager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 367.20 |] | | | | | | | |
| Full Name of Individual (Last, First, Middl B. Aiken, Kathryn, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 510 Lake Cook Road | | | M M J D J Y | | | | | | | |
| City Deerfield | State IL | Zip Code 60015 | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.66 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ment HR Director | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | | | |
| Full Name of Individual (Last, First, Middl c. Akif, Sammy, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 1208 14th St | | | 03 12 2020 | | | | | | | |
| City Northlake | State TX | Zip Code 76226-2139 | Transaction ID : A2020-532010 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 40.38 | | | | | | | |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) ager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 242.28 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (optiona | I) | | 579.44 | | | | | | | |
| TOTAL This Period (last page this line nun | ber only) | | | | | | | | | |

SCHEDULE A (FEC Form 3X) _____ _

FOR LINE NUMBER:

PAGE 7 OF

| | , | Use separate schedule(s) | (check only one) | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ★ 11a 11b 11c 12 13 14 15 16 17 | | | | | |
| | | | erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full The Caterpillar Inc. Er | | ction Committee | | | | | | |
| Full Name of Individual (Last, Fi A. Akif, Sammy, , , | rst, Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | |
| Mailing Address 1208 14th St | | | 03 / D D / Y Y Y Y Y 26 2020 | | | | | |
| City Northlake | State TX | Zip Code 76226-2139 | Transaction ID : A2020-616448 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 40.38 | | | | | |
| Name of Employer (for Individua Progress Rail Services | · | upation (for Individual) ager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 282.66 |] | | | | | |
| Full Name of Individual (Last, Fi B. Alger, Matt , , , | Date of Receipt | | | | | | | |
| Mailing Address Illinois Route 29 PO Box 610 | | 7 Or de | 03 / D D / Y Y Y Y Y 2020 | | | | | |
| City Mossville | State | Zip Code 61552 | Transaction ID : A2020-604553 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 161.34 | | | | | |
| Name of Employer (for Individua Caterpillar Inc. | , | upation (for Individual) Cycle Manager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 484.02 |] | | | | | |
| Full Name of Individual (Last, Fi C. Ames, Sean, , , | rst, Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605518 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 166.75 | | | | | |
| Name of Employer (for Individua Caterpillar Inc. Receipt For: | Mark | upation (for Individual) keting Manager | Memo Item | | | | | |
| Primary General Other (specify) | Aggregate | Year-to-Date ▼ 500.25 | 1 | | | | | |
| SUBTOTAL of Receipts This Page | e (optional) | | 368.47 | | | | | |
| TOTAL This Period (last page this | line number only) | | | | | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

FOR LINE NUMBER:

(check only one)

PAGE 8 OF

| | | | each category of the tailed Summary Page | × | 11a | | - | 111 14 | ۰ [- | _ | 1c 5 | | 2 6 | 17 |
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| or | y information copied from such Reports and Statemen for commercial purposes, other than using the name | | | | for th | | ırp | os | | solio | citing | conti | ribut | ions |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politic | al Actior | n Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initial) or Anderson, Mat, , , | Full Organiz | ation Name | | Date of Receipt | | | | | | | | | |
| | Mailing Address 200 NE Adams Street | to 7 | ip Code | | M M M J D J Y | | | | | | | | | |
| | Peoria IL | | 61629 | | | | | | | | | | | |
| | FEC ID number of contributing federal political committee. | | | Amount of Each Receipt this Period | | | | | | | | | | 0 |
| | Name of Employer (for Individual) Caterpillar Inc. | · · | n (for Individual) rporate Counsel | | | Merr | 10 | Ite | m | | | | | |
| | Receipt For: Aggr Primary General Other (specify) ▼ | egate Year-t | | | | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Atchison, Jamie, , , | | | | | | | ceip | ot | | | | | |
| | Mailing Address 100 NE Adams City State Zip Code | | | | | | 03 31 2020 | | | | | | | |
| | City Sta Peoria IL | te Z | | Transaction ID : A2020-604870 Amount of Each Receipt this Period | | | | | | | | | | |
| | FEC ID number of contributing federal political committee. | | | | | | , | | _ | - | 2 | 250.0 | 00 | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Sr Business Process Specialist | | | | | | Ite | m | | | | | |
| | Receipt For: Aggr Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 250.00 | | | | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initial) or Bailey, Doug, , , | Full Organiz | ation Name | | Date | of R | lec | ceip | ot | | | | | |
| | Mailing Address 10203 Sam Houston Park Drive Suite 4000 | | | | [™] 03 | | / | | 31 | 1 | Y | 202 | | Y |
| | City Sta Houston T> | | ′ip Code 77064 | | | | - | - | | - | | 05326 | - | |
| | FEC ID number of contributing federal political committee. | | | Amount of Each Receipt this Period | | | | | | | 49.6 | 62 | | |
| | Name of Employer (for Individual) Caterpillar Inc. | · · | n (for Individual) erritory Manager | | | Merr | 10 | lte | m | | | | | |
| | Receipt For: Aggr Primary General Other (specify) | Aggregate Year-to-Date ▼ 448.86 | | | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | ••••• | | | | | 7 | _ | - | y | 5 | 598.7 | 2 |
| т | OTAL This Period (last page this line number only) | | •••••• | | | | | - | | | - | _ | - | |

FOR LINE NUMBER:

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| IT. | | | Use separate schedule(s) | (C | heck only | y one | e) | | | | | | | |
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| | EMIZED RECEIPTS | | for each category of the Detailed Summary Page | | X 11a 13 | | 11b | 11c | 12 | 17 | | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | for the | purp | ose of | soliciting | contribu | tions | | | | |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Bainbridge, Mark, , , | al) or Full O | Organization Name | | Date of | Rec | ceipt | | | | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 | | | | 03 31 2020 | | | | | | | | | |
| | City Nashville | State TN | Zip Code 37203 | | | | | A2020-6 eceipt th | 04697 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | , | | 100. | 00 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) e President CFSC | | M | emo | ltem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 | | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Balkema, Chris, , , | al) or Full O | Organization Name | | Date of | Rec | eipt | | | | | | | |
| | Mailing Address 325 Route 31 South (Caterpillar PO Box 348 | Dr | | | 03 31 2020 | | | | | | | | | |
| | City Aurora | State IL | Zip Code 60507 | | | | | 42020-6 0 eceipt th | 0 5202 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | C | | 169.39 | | | | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occi | | M | emo | ltem | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 508.17 | | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Balkom, Mike, , , | al) or Full O | Organization Name | | Date of | Rec | ceipt | | | | | | | |
| | Mailing Address 8565 Old Marsh Way | | | | ^M 03 | 1 | D D 12 | / Y | 2020 | Y | | | | |
| | City Montgomery | State AL | Zip Code 36117 | | | | | A2020-5 eceipt th | 31376 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , | , , | 53. | 01 | | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager Sales | | M | emo | ltem | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 318.06 | | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | ▶ | | | , | , | 322.4 | 40 | | | | |
| Т | OTAL This Period (last page this line number or | nly) | | 🕨 | | | | | | | | | | |

SCHEDULE A (FEC Form 3X) -

FOR LINE NUMBER:

PAGE 10 OF

| | | Use separate schedule(s) | (che | (check only one) | | | | | | | |
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| 11 | | | for each category of the Detailed Summary Page | × | 11a | | 11b | 11c | 12 | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | | purp | | | | | |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Balkom, Mike, , , | al) or Full O | rganization Name | | Date of | Rec | ceipt | | | | |
| | Mailing Address 8565 Old Marsh Way | | | | м м 03 | / | D D 26 | / Y | y y 2020 | Y | |
| | City Montgomery | State AL | Zip Code 36117 | A | | | on ID : <i>A</i> Each Re | | 16450 is Perio | d | |
| | FEC ID number of contributing federal political committee. | С | | | _ | | y | -95- | 53 | 3.01 | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) ager Sales | | Me | emo | Item | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 371.07 |] | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Barber, Kathy, , , | al) or Full Oi | rganization Name | | Date of | Rec | ceipt | | | | |
| | Mailing Address 100 NE Adams | | | | 03 / D D / Y Y Y Y 2020 | | | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | on ID : A | | | d | |
| | FEC ID number of contributing federal political committee. | С | | | Amount of Each Receipt this Period | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Sr. Governmental Affairs Rep | | | Memo Item | | | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ 367.11 | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initia Belcher, Jeff, , , | al) or Full Oi | rganization Name | | Date of | Rec | ceipt | | | | |
| •. | Mailing Address 501 Cardinal Drive | | | | M M 03 | / | 31 | / Y | y y 2020 | Y | |
| | City Corinth | State MS | Zip Code 38834 | A | | | on ID : / Each Re | | i 05349 is Perio | d | |
| Caterpillar Inc. Or | | | | | | | y | , j | 75 | 5.00 | |
| | | | upation (for Individual) rations Manager | | Me | emo | Item | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 225.00 |] | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | | | | y | , | 250 | .38 | |
| т | OTAL This Period (last page this line number or | nly) | | | | | | - 40- | | | |

SCHEDULE A (FEC Form 3X) DEAEIDTA

FOR LINE NUMBER:

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| | | | Use separate schedule(s) | (che | eck only | y or | ne) | | | | | |
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| | IZED RECEIPTS | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c | 12 | 17 | | |
| | ormation copied from such Reports and Sta commercial purposes, other than using the r | | | | for the | | pose of | soliciting | g contribu | utions | | |
| \ \ | IE OF COMMITTEE (In Full) e Caterpillar Inc. Employee Pc | litical A | ction Committee | | | | | | | | | |
| | Name of Individual (Last, First, Middle Initia | l) or Full O | rganization Name | | | | | | | | | |
| | Idock, Teresa, , , ing Address 3000 North 27th Street | | | _ | Date of | i Re | | | YY | V | | |
| | | | | | 03 | ľ | 31 | | 2020 | | | |
| City Dec | catur | State IL | Zip Code 62526 | | | | | A2020-6 | | 4 | | |
| | D number of contributing | | | _ ^ | Amouni | . 01 | Each F | leceipt th | lis Period | | | |
| | ral political committee. | C | | | <u> </u> | | - | - | 92 | .68 | | |
| | ne of Employer (for Individual) | | pation (for Individual) | | M | emc | Item | | | | | |
| | erpillar Inc. eipt For: | I | erial Req Planning Mgr | _ | | | | | | | | |
| | Primary General | Aggregate | Year-to-Date 🔻 | | | | | | | | | |
| | Other (specify) v | | 278.04 | 4 | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bennes, Bob, , , | | | | | | coint | | | | | |
| | ing Address 2120 West End Avenue | | | | Date of | / | D [|) / Y | YY | Y | | |
| City | P O Box 340001 | State | | | | | | | | | | |
| | hville | TN | | Transaction ID : A2020-604520 Amount of Each Receipt this Period | | | | | | | | |
| | ID number of contributing ral political committee. | С | | 227.63 | | | | | | | | |
| | ne of Employer (for Individual) erpillar Inc. | Occi | | M | emc | Item | | | | | | |
| Rec | eipt For: | | Year-to-Date ▼ | _ | | | | | | | | |
| | Primary General Other (specify) ▼ | | 682.89 | 1 | | | | | | | | |
| | Name of Individual (Last, First, Middle Initia erry, Mike, , , | l) or Full O | rganization Name | | Date of | Re | ceipt | | | | | |
| Mail | ing Address 325 Route 31 South (Caterpillar PO Box 348 | Dr | | | 03 | / | D 31 |) / Y | y y 2020 | Y | | |
| City Aur | ora | State IL | Zip Code 60507 | | | | | A2020-6 Receipt th | | 4 | | |
| | D number of contributing political committee. | С | | | | | , | , ieceipt ti | 250 | _ | | |
| Cat | ne of Employer (for Individual) erpillar Inc. | | ipation (for Individual) uct Manager | | M | emo | tem Item | | | | | |
| Rec | eipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 250.00 |] | | | | | | | | |
| | OTAL of Receipts This Page (optional) | | | | | - | , | | 570 | .31 | | |

SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × 11a | | 11b | 11c | 12 | 47 | | | |
| Any information copied from such Reports or for commercial purposes, other than us | | | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | | | | |
| Full Name of Individual (Last, First, Mi Birkel, Lindsey, , , | ddle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Trai Amou | _ | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | -gr. 1 | | 75.0 | 00 | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) d Human Resource Mgr | | Mem | o Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 225.00 |] | | | | | | | | |
| Full Name of Individual (Last, First, Mi Bloch, Stephen, E, , | ddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | |
| Mailing Address 3701 South Street | 1- | | 03 | | 31 |) / Y | y y 2020 | Y | | | |
| City Lafayette | State | Zip Code 47905 | | ansaction ID : A2020-604613 bunt of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | 41903 | | int of | Each F | eceipt th | 101.7 | 76 | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | Occupation (for Individual) Manufacturing Project Engr 1 | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 305.28 |] | | | | | | | | |
| Full Name of Individual (Last, First, Mi C. Blum, Mary, , , | ddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | |
| Mailing Address 100 NE Adams | | | 03 | | 31 | | 2020 | Y | | | |
| City Peoria | State IL | Zip Code 61629 | | | | A2020-6 Receipt th | 04832 is Period | | | | |
| FEC ID number of contributing federal political committee. | C | | | | , . | . , | 94.4 | 18 | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) tomer Programs Consultant | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) | General Aggregate Year-to-Date ▼ 283.44 | | | | | | | | | | |
| SUBTOTAL of Receipts This Page (option | nal) | | | | , . | . , | 271.2 | 24 | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | | | |
| | | | 13 14 15 16 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Emplo | yee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, M A. Bluth, Tom, , , | iddle Initial) or Full C | organization Name | Date of Receipt | | | | | | | |
| Mailing Address 200 NE Adams Street | t | | 03 31 Y Y Y Y Y 03 31 2020 | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604681 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 416.67 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) e President | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | | |
| Full Name of Individual (Last, First, M B. Boast, Saul, , , | iddle Initial) or Full C | organization Name | Date of Receipt | | | | | | | |
| Mailing Address Illinois Route 29 at Ol PO Box 610 | d Galena Ro | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-605287 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ctronic Engrg Sr. Mgr | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 490.52 |] | | | | | | | |
| Full Name of Individual (Last, First, M C. Braun, Eric, , , | iddle Initial) or Full C | organization Name | Date of Receipt | | | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 / D D / Y Y Y Y 2020 | | | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-604729 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 80.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | Dep | upation (for Individual) uty General Counsel | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 240.00 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (opti | onal) | | 596.67 | | | | | | | |
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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | |
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| I LIVILLU RECEIPIO | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 11 | | | | | | | | |
| | | | erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | | | | |
| Full Name of Individual (Last, First, Mid A. Breitschwerdt, Otto, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | |
| Mailing Address 954 NC Highway 42 Ea | st | | M M / D D / Y | | | | | | | | |
| City Clayton | State NC | Zip Code 27527 | Transaction ID : A2020-604691 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.66 | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) neral Manager BCP Loaders | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | | | | |
| Full Name of Individual (Last, First, Mid B. Bromm, Jaron, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604958 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 216.59 | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ociate General Counsel | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 649.77 | 1 | | | | | | | | |
| Full Name of Individual (Last, First, Mid C. Bryant, Jenny, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | |
| Mailing Address 9401 85Th Avenue Nor | th | | 03 31 2020 | | | | | | | | |
| City Brooklyn Park | State MN | Zip Code 55445 | Transaction ID : A2020-604828 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 149.12 | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ply Network Fac Mgr III | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 447.36 |] | | | | | | | | |
| SUBTOTAL of Receipts This Page (option | nal) | | 782.37 | | | | | | | | |
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| 11 | EMIZED RECEIPTS | | for each category of the Detailed Summary Page | × | 11a | | | 11c | 12 | _ | | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | | | se of s | | | | | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ac | ction Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia Butikofer, Mark, , , | al) or Full Or | rganization Name | | Date of Receipt | | | | | | | | | |
| | Mailing Address 812 W Washington Street | | | | 03 31 Y Y Y Y Y 03 31 2020 | | | | | | | | | |
| | City East Peoria | State IL | Zip Code 61611 | Transaction ID : A2020-604574 Amount of Each Receipt this Period | | | | | | d | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | -9- | 66 | 5.70 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) gram Mgmt Developer III | | Me | emo It | em | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 200.10 | | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Cabay, Kenneth, , , | al) or Full Or | rganization Name | | Date of | Rece | ipt | | | | | | | |
| | Mailing Address 6320 Hamlet Trail | | | | M M / D D / Y | | | | | | | | | |
| | City Roanoke | State VA | Zip Code 24018-7791 | | | | | | | 4 | | | | |
| | FEC ID number of contributing federal political committee. | С | | | Amount of Each Receipt this Period 39.87 | | | | | | | | | |
| | Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager | | | Me | emo It | em | | | | | | | |
| | Receipt For: | Aggregate | Year-to-Date ▼ | | | | | | | | | | | |
| | Primary General Other (specify) ▼ | | 239.22 | | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Cabay, Kenneth, , , | al) or Full Or | rganization Name | | Date of | Rece | ipt | | | | | | | |
| | Mailing Address 6320 Hamlet Trail | | | | 03 ^M | J L | 26 | | 2020 | Y | | | | |
| | City Roanoke | State VA | Zip Code 24018-7791 | | | | | 2020-6 | 16453 is Period | d | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | , | | y | 39 | .87 | | | | |
| | Name of Employer (for Individual) Progress Rail Services | Occu Mana | upation (for Individual) ager | | Memo Item | | | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 279.09 | | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | | | . , | | , | 146 | .44 | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mide Calamari, Michael, A, , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 1167 NC Hwy 42 East | | | 03 31 Y Y Y Y Y 2020 | | | | | | | |
| City Clayton | State NC | Zip Code 27527 | Transaction ID : A2020-604511 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 18.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ef Engineer | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 211.50 |] | | | | | | | |
| Full Name of Individual (Last, First, Mide B. Carney, Rob, , , III | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 600 S. Second Street Suite 101 | | | M M / D D / Y | | | | | | | |
| City Springfield | State IL | Zip Code 62704 | Transaction ID : A2020-604893 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 155.24 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Governmental Affairs Rep | Memo Item | | | | | | | |
| Receipt For: Primary General | Aggregate | Year-to-Date ▼ | | | | | | | | |
| Other (specify) ▼ | | 465.72 |] | | | | | | | |
| Full Name of Individual (Last, First, Mide Carr, Ron, , , | | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 2120 West End Avenue P O Box 340001 City | State | Zip Code | 03 / D D / Y Y Y Y 2020 Transaction ID : A2020-604452 | | | | | | | |
| Nashville | TN | 37203 | Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 120.85 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) astructure Specialist | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 362.55 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | 294.09 | | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × 11a | | 11b | 11c | 12 | _ - | | | | |
| Any information copied from such Reports or for commercial purposes, other than us | | | | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Mic A. Carter, Janda, , , | dle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | |
| Mailing Address 100 NE Adams | | | | 03 31 2020 | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | | 04867 his Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | - | | 209.3 | 31 | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) istant General Counsel | | Mem | o Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 627.93 | 1 | | | | | | | | | |
| Full Name of Individual (Last, First, Mic B. Chen, Leo, , , | dle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | |
| Mailing Address 28194 Caterpillar Lane | | | 03 | | , 31 | | 2020 | Y | | | | |
| City | State IL | Zip Code 61571 | | | | 2020 A2020-605247 eceipt this Period | | | | | | |
| Washington FEC ID number of contributing federal political committee. | C | | Amoi | int of | f Each F | Receipt th | nis Period 182.(| 04 | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | Occupation (for Individual) Engineering Manager-2 | | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 546.12 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic C. Chu, Maggie, , , | dle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 | 3 | / D 1 | | 2020 | Y | | | | |
| City Deerfield | State IL | Zip Code 60015 | | | | Receipt th | is Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | | | y | , , | 416.6 | 66 | | | | |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | Seg | upation (for Individual) ment HR Director | Memo Item | | | | | | | | | |
| Primary General Other (specify) | 1010.00 | | | | | | | | | | | |
| SUBTOTAL of Receipts This Page (option | ' nal) | | | | , | . , | 808.0 |)1 | | | | |
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| | nformation copied from such Reports and Star r commercial purposes, other than using the | | | | for the | | oose of | soliciting | contribut | ions | | | |
| \ | AME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | | | |
| | III Name of Individual (Last, First, Middle Initia Clements, Mary, , , | al) or Full O | rganization Name | | Date of Receipt | | | | | | | | |
| Ma | ailing Address 2120 West End Avenue P O Box 340001 | | | | м м 03 | / | D D D 31 | / Y | 2020 | Y | | | |
| Ci N | ty ashville | State TN | Zip Code 37203 | | Transaction ID : A2020-604446 Amount of Each Receipt this Period | | | | | | | | |
| | EC ID number of contributing deral political committee. | С | | | | | | - | 150.0 | 00 | | | |
| Ca | ame of Employer (for Individual) aterpillar Inc. | | upation (for Individual) I & Glb Transformation Dir | | Me | emo | Item | | | | | | |
| Re | eceipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 450.00 |] | | | | | | | | | |
| | III Name of Individual (Last, First, Middle Initia Cole, Keri, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | | | |
| | ailing Address 1600 Progress Drive P.O. Box 1037 | | | | M M 03 | / | D D D 31 | / Y | 2020 | Y | | | |
| Ci Al | ty Ibertville | State AL | Zip Code 35950 | | | | - | : A2020-604836 Receipt this Period | | | | | |
| FE | EC ID number of contributing deral political committee. | С | | | | | | | 416.6 | 67 | | | |
| | ame of Employer (for Individual) aterpillar Inc. | Occupation (for Individual) Sr. Business Resource Manager | | | Me | emo | Item | | | | | | |
| Re | eceipt For: Primary General Other (specify) ▼ | Aggregate |] | | | | | | | | | | |
| | III Name of Individual (Last, First, Middle Initia Coleman, Jerry, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | | | |
| | ailing Address 3701 State Road 26 East | | | | 03 ^M | / | D D D 31 | | 2020 | Y | | | |
| Ci Li | ty afayette | State IN | Zip Code 47905 | | | | - | A2020-6 eceipt th | 05237 is Period | | | | |
| | EC ID number of contributing deral political committee. | C | | | <u> </u> | | , | , | 250.0 | 00 | | | |
| Ca | ame of Employer (for Individual) aterpillar Inc. | Prod | upation (for Individual) luct Manager | Memo Item | | | | | | | | | |
| | eceipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 750.00 | | | | | | | | | | |
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| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | oose of | soliciting | g contribu | tions | | | | |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Cone, Beth, , , | al) or Full O | rganization Name | | Date of Receipt | | | | | | | | | |
| | Mailing Address Illinois Route 29 at Old Galena PO Box 610 | Ro | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | i 05298 his Period | | | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | . | | 126 | 66 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Comp Sr. Project Lead | Memo Item | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 379.98 | | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Cross, Johnny, , , Mailing Address 4174 E. US Hwy 36 | al) or Full O | rganization Name | | Date of | Re | ceipt |) / Y | YY | Y | | | | |
| | City | State | Zip Code | | | | - | A2020-5 | | | | | | |
| | New Castle FEC ID number of contributing federal political committee. | C | 47362 | / | Amount | of | Each F | leceipt th | nis Period 35. | _ | | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | Memo Item | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 212.28 | | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Cross, Johnny, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | | | | |
| | Mailing Address 4174 E. US Hwy 36 | | | | ^M 03 | 1 | 26 | | 2020 | Y | | | | |
| | City New Castle | State IN | Zip Code 47362 | | | | | A2020-6 Receipt th | 16455 his Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | y . | | 35. | 38 | | | | |
| | Name of Employer (for Individual) Progress Rail Services Receipt For: | Man | • | | Memo Item | | | | | | | | | |
| | Primary General Other (specify) | Aggregate | Year-to-Date ▼ 247.66 | | | | | | | | | | | |
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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c | 12 | 17 | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | pose of | f soliciting | g contribu | utions | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia Crowell, Tj, , , | al) or Full O | rganization Name | | Date of Receipt | | | | | | | | |
| | Mailing Address Illinois Route 29 at Old Galena PO Box 610 | | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | | | | A2020-6 Receipt th | | ł | | | |
| | FEC ID number of contributing federal political committee. | С | | | 93 | .27 | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ineering Manager-3 | | M | emo | tem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 279.81 | 1 | | | | | | | | | |
| B. | Full Name of Individual (Last, First, Middle Initia Davis, Tim, , , | al) or Full O | rganization Name | | Date of | f Re | eceipt | | | | | | |
| | Mailing Address Illinois Route 29 at Old Galena PO Box 610 | | Zin Onde | | 03 | / | D 31 | | 2020 | Y | | | |
| | City Mossville | State IL | Zip Code 61552 | | Trans Amouni | 4 | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 100 | _ | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Special Assignment | | | M | emo | ttem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate |] | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initian Daw, Andy, , , | al) or Full O | rganization Name | | Date of | f Re | eceipt | | | | | | |
| | Mailing Address 14009 Old Galena Rd. Bldg A | 1 - | | | м м 03 | | D 31 | | y y 2020 | Y | | | |
| | City Mossville | State IL | Zip Code 61552 | , | | | | : A2020-6 Receipt th | | ł | | | |
| | FEC ID number of contributing federal political committee. | С | | | _: | | y . | 7 | 150 | .00 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Direc | upation (for Individual) ctor of Procurement | | Memo Item | | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | ggregate Year-to-Date ▼ 450.00 | | | | | | | | | | |
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| ITEMIZED RI | | | Use separate schedule(s) | (ch | eck only | / one) | | | | | | | | | |
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| | | | I ay not be sold or used by any address of any political commit | | for the | purpose | e of soliciting | g contribut | tions | | | | | | |
| | MITTEE (In Full) Dillar Inc. Employee | Political A | ction Committee | | | | | | | | | | | | |
| Full Name of In A. Duhon, Jasor | dividual (Last, First, Middle I n, , , | Initial) or Full O | organization Name | | Date of Receipt | | | | | | | | | | |
| Mailing Address | 100 NE Adams | | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | | | | |
| City Peoria | | State IL | Zip Code 61629 | | Transaction ID : A2020-604972 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number federal political | 0 | С | | | | | | 75.0 | 00 | | | | | | |
| Caterpillar Inc. | yer (for Individual) | | upation (for Individual) althcare Design Manager | | Me | emo Ite | m | | | | | | | | |
| Receipt For: Primary Other (spe | General ecify) ▼ | Aggregate | Year-to-Date ▼ 225.00 | | | | | | | | | | | | |
| Full Name of In B. Dunbar, Nat | dividual (Last, First, Middle I than, , , | Initial) or Full O | Organization Name | | Date of | Receip | ot | | | | | | | | |
| | 3701 South Street | | | | M M / D / Y | | | | | | | | | | |
| City Lafayette | | State IN | Zip Code 47905 | | | action of Ead | 605367 his Period | | | | | | | | |
| FEC ID number federal political | 0 | C | | | | | | 166.6 | 66 | | | | | | |
| Name of Emplo Caterpillar Inc. | yer (for Individual) | Occ Qua | | Me | emo Ite | m | | | | | | | | | |
| Receipt For: Primary Other (spe | General ecify) ▼ | Aggregate | Aggregate Year-to-Date ▼ 487.64 | | | | | | | | | | | | |
| Full Name of In c. Eastwood , | dividual (Last, First, Middle Patricia, , , | Initial) or Full O | Organization Name | | Date of | Receip | ot | | | | | | | | |
| | 2120 West End Avenue P O Box 340001 | | | | 03 | L | 31 | y y 2020 | Ŷ | | | | | | |
| City Nashville | | State TN | Zip Code 37203 | | | | ID: A2020-0 | | | | | | | | |
| FEC ID number federal political | 0 | С | | | <u> </u> | , , | | 237.8 | 32 | | | | | | |
| Caterpillar Inc. | yer (for Individual) | | upation (for Individual) istant General Counsel | | M | emo Ite | m | | | | | | | | |
| Receipt For: Primary Other (spe | General ecify) | Aggregate | Year-to-Date ▼ 713.46 | | | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| 11 | | | for each category of the Detailed Summary Page | × | 11a 13 | \square | 11b | 11c | 12 | Г |] 1 7 | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | | | | | | | | | |
| $\left\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Edwards, Brian, , , | al) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| | Mailing Address 4517 Montalcino Blvd | | | | 03 12 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | | | | | | | | |
| | City Flower Mound | State TX | Zip Code 75022 | Transaction ID : A2020-531385 Amount of Each Receipt this Period | | | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | _ | | - | | 7 | 1.09 | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | | Me | emo | ltem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 410.94 | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Edwards, Brian, , , | al) or Full O | rganization Name | D | ate of | Re | ceipt | | | | | | |
| | Mailing Address 4517 Montalcino Blvd | 1 | Zip Code | M m / D / Y | | | | | | | | | |
| | City Flower Mound | State TX | | | | | | | - d | | | | |
| | FEC ID number of contributing federal political committee. | С | 75022 | Amount of Each Receipt th | | | | | | 1.09 | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | | Me | emo | ltem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | | | | | | | | | | | |
| С. | Full Name of Individual (Last, First, Middle Initia Evans, Josh, , , | al) or Full O | rganization Name | D | ate of | Re | ceipt | | | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 City | State | Zip Code | 44 | 03 | / | 31 | / Y A2020-6 | 2020 | Y | | | |
| | Nashville | TN | 37203 | | | | | eceipt th | | od | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | y | 9 | 6 | 7.75 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) oal Asset Manager | | Memo Item | | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 203.25 | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | | | | , . | . , | 20 | 9.93 | | | |
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| | | | for each category of the Detailed Summary Page | × | 11a | | 11b | 11c | 12 | 47 | | | | |
| | information copied from such Reports and Sta or commercial purposes, other than using the n | | | | | purp | | | | | | | | |
| N / | AME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | | | | | | | | | | | | | |
| | ull Name of Individual (Last, First, Middle Initia Evans, Mike, , , | l) or Full Or | ganization Name | | Date of Receipt | | | | | | | | | |
| M | lailing Address 875 W Cushing Street | | | | 03 31 2020 | | | | | | | | | |
| | ity Fucson | State AZ | Zip Code 85745 | | | | | A2020-6 eceipt th | 0 5230 is Period | | | | | |
| | EC ID number of contributing deral political committee. | С | | | <u> </u> | | y | | 171. | 52 | | | | |
| С | ame of Employer (for Individual) aterpillar Inc. | | pation (for Individual) mercial Manager | | Me | emo | Item | | | | | | | |
| R | eceipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 514.56 |] | | | | | | | | | | |
| | ull Name of Individual (Last, First, Middle Initia Ferguson, Steve, M, , | l) or Full Or | ganization Name | | Date of | Red | ceipt | | | | | | | |
| _ | lailing Address 6800 Doerr Lane | 1- | | | ^M M 03 | / | D D D 31 | / Y | y y 2020 | Y | | | | |
| | ity Schertz | State TX | Zip Code 78154 | | | | | A2020-60 | 05241 is Period | | | | | |
| F | EC ID number of contributing deral political committee. | С | | | | | | | 416.0 | 67 | | | | |
| | lame of Employer (for Individual) aterpillar Inc. | | pation (for Individual) President | Memo Item | | | | | | | | | | |
| R | eceipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | | | | | |
| | ull Name of Individual (Last, First, Middle Initia Feucht, Joel, , , | l) or Full Or | ganization Name | | Date of | Red | ceipt | | | | | | | |
| _ | lailing Address Illinois Route 29 at Old Galena P PO Box 610 | | Zin Code | | ^M 03 | / | ^D 31 | | 2020 | Y | | | | |
| | ity Nossville | State IL | Zip Code 61552 | | | | | A2020-6 eceipt th | 04589 is Period | | | | | |
| | EC ID number of contributing deral political committee. | С | | | <u> </u> | _ | y | , , | 89. | 21 | | | | |
| С | ame of Employer (for Individual) caterpillar Inc. | | pation (for Individual) Engine Systems Products | | Memo Item | | | | | | | | | |
| ň | eceipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 267.63 |] | | | | | | | | | | |
| SU | BTOTAL of Receipts This Page (optional) | | | • | | | , | . , | 677.4 | 40 | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | | | |
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| | | | person for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | ee Political A | ction Committee | | | | | | | | | | | |
| Full Name of Individual (Last, First, Midd A. Finn, Bart, , , | lle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605239 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 164.82 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) es Manager | Memo Item | | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 494.46 |] | | | | | | | | | | |
| Full Name of Individual (Last, First, Midd B. Flannery, Mark, , , | lle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605178 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 180.93 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) iior Corporate Counsel | Memo Item | | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 542.79 |] | | | | | | | | | | |
| Full Name of Individual (Last, First, Midd C. Foster, Steve, S, , | lle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address 2120 West End Avenue P O Box 340001 | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | | | | |
| City Nashville | State TN | Zip Code 37203 | Transaction ID : A2020-605281 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 70.44 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) erprise Architect | Memo Item | | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 211.32 |] | | | | | | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | 416.19 | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | | |
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| II EIVIIZED REGEIPIS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 11 | | | | | | | | | |
| | | | 13 14 15 16 15 verson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Mid Frake, Tom, G, , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 100 NE Adams | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605120 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 416.67 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) President | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 | 1 | | | | | | | | | |
| Full Name of Individual (Last, First, Mic B. Garman, Scott, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 3971 S Chana Rd | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| City Chana | State | Zip Code 61015-9770 | Transaction ID : A2020-531398 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 40.35 | | | | | | | | | |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 242.10 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic C. Garman, Scott, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 3971 S Chana Rd | | | 03 / D D / Y Y Y Y Y 26 2020 | | | | | | | | | |
| City Chana | State IL | Zip Code 61015-9770 | Transaction ID : A2020-616462 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 40.35 | | | | | | | | | |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) ager | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 282.45 |] | | | | | | | | | |
| SUBTOTAL of Receipts This Page (option | nal) | | 497.37 | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | | | | | |
| | | | 13 14 15 16 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Mic Gaw, Matt, , , | ddle Initial) or Full C | rganization Name | Date of Receipt 03 31 2020 | | | | | | | | | |
| Mailing Address 500 N Morton Ave | | | | | | | | | | | | |
| City Morton | State IL | Zip Code 61550 | Transaction ID : A2020-604675 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 75.00 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Network Solutions & Spt | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 225.00 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic B. Gentry-Stuenkel, Wendi, , , | Idle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 540 W. Madison Street Floor 3 | | 750.004 | | | | | | | | | | |
| City Chicago | State | Zip Code 60661 | Transaction ID : A2020-605442 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.66 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ector Integrated Logistics | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic CGeorge, Brian, W, , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 3701 State Road 26 Ea | | | 03 / D D / Y Y Y Y Y 2020 | | | | | | | | | |
| City Lafayette | State IN | Zip Code 47905 | Transaction ID : A2020-605530 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | Proc | upation (for Individual) duct Manager | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | | | |
| SUBTOTAL of Receipts This Page (optio | nal) | | 591.66 | | | | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Middle Giesfeldt, James, , , | e Initial) or Full C | organization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 1100 Milwaukee Ave PO Box 500 | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | | | |
| City South Milwaukee | State WI | Zip Code 53172-0500 | Transaction ID : A2020-605001 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 187.28 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Support Manager | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 561.84 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Middle B. Gilbert, Dan, , , | e Initial) or Full C | organization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604581 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 85.97 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Category Procurement Mgr I | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 257.91 | | | | | | | | | | |
| Full Name of Individual (Last, First, Middle C. Ginzel, Jon, , , | e Initial) or Full C | organization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604482 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 113.56 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) _abor & Employee Relation | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 340.68 | 1 | | | | | | | | | |
| SUBTOTAL of Receipts This Page (optional |) | | 386.81 | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) _____ _

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| 17 | EMIZED RECEIPTS | | Use separate schedule(s) | (che | eck only | / or | ıe) | | | | | | | | |
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| 11 | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c | 12 | | 7 | | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | pose of | soliciting | g contrib | utions | / | | | | |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | | | | | |
| / | Full Name of Individual (Last, First, Middle Initia | | | | | | | | | | | | | | |
| Α. | Girard, Kristin, , , | | | | Date of Receipt | | | | | | | | | | |
| | Mailing Address 100 NE Adams | | | | Model / J J Y | | | | | | | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | | | | | | | | | |
| | FEC ID number of contributing | | | ' | Amount | OT | Each F | receipt tr | | | ı. | | | | |
| | federal political committee. | C | | | <u>_</u> | _ | - | | 416 | 6.67 | J, | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | ipation (for Individual) ibution Svcs Reg Mgr | | M | emo | Item | | | | | | | | |
| | Receipt For: | Aggregate | Year-to-Date 🔻 | | | | | | | | | | | | |
| | Primary General Other (specify) ▼ | | 1250.01 | 1 | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| В. | Full Name of Individual (Last, First, Middle Initia Glawe, Regg, , , | al) or Full Oi | rganization Name | | Date of | Re | ceipt | | | | | | | | |
| | Mailing Address 14009 Old Galena Rd. Bldg E | 1- | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | | | - | A2020-6 | | al | | | | | |
| | | | ' | Amount | of | Each F | Receipt th | nis Perio | a | e. | | | | | |
| | FEC ID number of contributing federal political committee. | С | 180.51 | | | | | | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Engineering Manager-2 | | | | emo | Item | | | | | | | | |
| | Receipt For: | Aggregate | Year-to-Date V | | | | | | | | | | | | |
| | Primary General Other (specify) ▼ | |] | | | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Gorman, Chris, , , | al) or Full Oi | rganization Name | | Date of | Re | ceipt | | | | | | | | |
| | Mailing Address 954 NC Highway 42 East | | | | ^M 03 | / | 31 | | y y 2020 | Y | | | | | |
| | City Clayton | State NC | Zip Code 27527 | | | | | A2020-6 | | - | | | | | |
| | FEC ID number of contributing federal political committee. | C | | / | Amount | OT | Each F | Receipt th | | a .90 | 1 | | | | |
| | Name of Employer (for Individual) | Occu | pation (for Individual) | _ ' | M | emc |) Item | | _ | | | | | | |
| | Caterpillar Inc. | | bly Chain Manager 2 | | | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 455.70 | 1 | | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) DEAEIDTA

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | | | |
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| | | | 13 14 15 16 berson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | | | | | |
| Full Name of Individual (Last, First, Middl Guilford, Jerome, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address 100 NE Adams | | | | | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604407 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 250.00 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Ieral Manager BHL/TH | Memo Item | | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 750.00 |] | | | | | | | | | | |
| Full Name of Individual (Last, First, Middl B. Gustafson, Ryan, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 31 2020 | | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605277 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 120.33 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Excavator Operations | Memo Item | | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 240.66 |] | | | | | | | | | | |
| Full Name of Individual (Last, First, Middl CGutgesell, Peg, , , | e Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | | |
| Mailing Address Illinois Route 29 at Old G PO Box 610 | | | M M / D D / Y Y Y Y Y 03 31 2020 | | | | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-604528 Amount of Each Receipt this Period | | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 164.88 | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | | upation (for Individual) cipal IT Architect | Memo Item | | | | | | | | | | |
| Primary General Other (specify) | Aggregate | Year-to-Date ▼ 494.64 |] | | | | | | | | | | |
| SUBTOTAL of Receipts This Page (optiona | l) | | 535.21 | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| 171 | | | Use separate schedule(s) | (ch | neck only | y or | ne) | L | | | | | | |
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| | | | for each category of the Detailed Summary Page | | K 11a 13 | | 11b 14 | 11c | 12 | Г | 17 | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | pose of | soliciting | contrik | | ns | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia Hall, Donald, R, , | al) or Full O | organization Name | Date of Receipt | | | | | | | | | | |
| | Mailing Address 510 Lake Cook Road | | | | Max M / D = D / Y = Y = Y = Y 03 31 2020 Transaction ID : A2020-605552 Amount of Each Receipt this Period | | | | | | | | | |
| | City Deerfield | State IL | Zip Code 60015 | | | | | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | | | 7 | 5.00 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) nl/Group Financial Officer | | Me | emc | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 225.00 | 1 | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Halloran, Jim, , , | al) or Full O | organization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | | A2020-6 | | | | | | |
| | FEC ID number of contributing federal political committee. | С | Amount of Each Receipt this Period | | | | | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occi Cali | Memo Item | | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 542.04 | | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Hanback, Corey, B, , | al) or Full O | organization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 11601 93rd Ave N | | | | 03 | 1 | 31 | | 2020 | Y |] | | | |
| | City MapleGrove | State MN | Zip Code 55369 | | | | | A2020-6 Receipt th | | bd | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | | 16 | 0.00 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | ww | upation (for Individual) Product Manager | | M | emo | ttem | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 480.00 |] | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | • | [. | | , . | . , | 41 | 5.68 | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

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| | | | for each category of the Detailed Summary Page | × | 11a | | 11b | 11c | 12 | <u> </u> | | | | |
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| | y information copied from such Reports and S for commercial purposes, other than using the | | | | | | | | | | | | | |
| $\left\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | Political A | ction Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Init Harris, Stephen, , , Mailing Address 12085 New Day Dr | tial) or Full C | organization Name | Date of Receipt 03 / 12 / 2020 Transaction ID : A2020-531396 | | | | | | | | | | |
| | City | State | Zip Code | | | | | | | | | | | |
| | Fort Worth | ТХ | 76179-9168 | A | mount | of | Each Re | ceipt th | nis Perioc | t | | | | |
| | FEC ID number of contributing federal political committee. | С | | Amount of Each Receipt this Period | | | | | | | | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | Memo Item | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 | | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Init Harris, Stephen, , , | tial) or Full C | Organization Name | D | ate of | Re | ceipt | | | | | | | |
| | Mailing Address 12085 New Day Dr | | | 03 / D D / Y Y Y Y 26 2020 | | | | | | | | | | |
| | City Fort Worth | State TX | Zip Code 76179-9168 | | | | on ID : A Each Re | | | t t | | | | |
| | FEC ID number of contributing federal political committee. | С | | 100.00 | | | | | | | | | | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | | Me | emo | Item | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 700.00 | | | | | | | | | | | |
| С. | Full Name of Individual (Last, First, Middle Init Hart, Andrea, , , | tial) or Full C | organization Name | D | ate of | Re | ceipt | | | | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 | | | 44 | 03 ^M | / | D D D 31 | | y y 2020 | Y | | | | |
| | City Nashville | State TN | Zip Code 37203 | | | | i on ID : A Each Re | | | 4 | | | | |
| | FEC ID number of contributing federal political committee. | С | | | inount | | | , | 165 | _ | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) nan Resources Manager | | Me | emo | Item | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 496.74 | 1 | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | • | | | _ | , | 9 | 365 | .58 | | | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | | |
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| | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | | | | | |
| | | | 13 14 15 16 1 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Mic A. Haycraft, Martin, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 404 E Main St | | | M M / D D / Y Y Y Y 03 12 2020 | | | | | | | | | |
| City Albertville | State AL | Zip Code 35950-2444 | Transaction ID : A2020-531370 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 200.22 | | | | | | | | | |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) CMO LRS Sales | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1396.10 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic B. Haycraft, Martin, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 404 E Main St | | | 03 / 26 / Y Y Y Y 2020 | | | | | | | | | |
| City Albertville | State AL | Zip Code 35950-2444 | Transaction ID : A2020-616465 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 200.22 | | | | | | | | | |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) CMO LRS Sales | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1596.32 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Mic C. Hays, Katie, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address Suite 400 1425 K Street City | State | Zip Code | | | | | | | | | | |
| Washington | DC | 20005 | Transaction ID : A2020-604997 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 188.35 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Fed & Govt Affairs Dir | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 565.05 |] | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) DEAEIDTA

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| | y information copied from such Reports and St for commercial purposes, other than using the | | | | for the | | pose of | soliciting | contribu | tions | | | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | olitical A | ction Committee | | | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initi Heiar, Keith, J, , | ial) or Full O | organization Name | | Date of Receipt | | | | | | | | | | |
| | Mailing Address 100 Tractor Drive Building CV | | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | | | | |
| | City East Peoria | State IL | Zip Code 61630 | | | | A2020-6 leceipt th | 04485 is Period | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 163. | 03 | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) &U Strategy Manager | | M | emo | tem | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 489.09 | | | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initi Henry, Michael, , , | ial) or Full O | Organization Name | | Date of | Re | eceipt | | | | | | | | |
| | Mailing Address 1904 South First Street 2nd Floor | | Zip Code | | 03 / D D / Y Y Y Y 03 / 31 2020 | | | | | | | | | | |
| | City Champaign | State IL | | | | | A2020-6 | 04705 is Period | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | 95.99 | | | | | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Engineering Manager | | | M | emo | tem | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | | | | | | | | | | | | | |
| С. | Full Name of Individual (Last, First, Middle Initi Hermann, Eric, , , | ial) or Full O | Organization Name | | Date of | Re | eceipt | | | | | | | | |
| | Mailing Address 10203 Sam Houston Park Driv Suite 4000 | | | | 03 | 1 | 31 | | ү ү 2020 | Y | | | | | |
| | City Houston | State TX | Zip Code 77064 | | | | | A2020-6 eceipt th | i 05112 is Period | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | , | 177. | 86 | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) grated Services Manager | | Memo Item | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 533.58 | | | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| | y information copied from such Reports and St. for commercial purposes, other than using the | | | | for the | | pose of | soliciting | contribut | tions | | | | |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initi Heussner, Roy, , , | al) or Full O | rganization Name | Date of Receipt | | | | | | | | | | |
| | Mailing Address 100 NE Adams | | | | 03 31 2020 | | | | | | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | | A2020-6 eceipt th | 04546 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 69.6 | 61 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rations Manager | | Me | emo | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 208.83 |] | | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initi High, Lance, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | | | | |
| | Mailing Address 100 NE Adams | | | | 03 / D D / Y Y Y Y 03 / 31 / 2020 | | | | | | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | - | A2020-60 | | | | | | |
| - | FEC ID number of contributing federal political committee. | C | | | | Amount of Each Receipt this Period | | | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occi Ass | Memo Item | | | | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initi Hill, Crystal, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | | | | |
| | Mailing Address 100 NE Adams | | | | 03 ^M | 1 | 31 | / Y | үүү 2020 | Y | | | | |
| | City Peoria | State IL | Zip Code 61629 | | | | | A2020-6 eceipt th | 04657 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | y . | 9 | 10.0 | 00 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) taff Acct II Legal Entity | | M | emc | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 255.86 |] | | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| | | | for each category of the Detailed Summary Page | × | 11a | | 11b | 11c | 12 | Г | 47 | | |
| | | | not be sold or used by any pe dress of any political committee | | | | | | | ibutio | | | |
| | . , | | | | | | | | | | | | |
| The Caterpillar I | nc. Employee Politi | ical Act | ion Committee | | | | | | | | | | |
| Full Name of Individual Hillman, Jonathan, , | (Last, First, Middle Initial) c , | or Full Org | anization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 14009 Bldg A | Old Galena Rd. | | | | 03 31 2020 | | | | | | | | |
| City Mossville | | State L | Zip Code 61552 | | | | | A2020-6 eceipt th | | iod | | | |
| FEC ID number of contr federal political committe | ů. |) | | | | | . | | | 13.10 | | | |
| Name of Employer (for Caterpillar Inc. | Individual) | | ation (for Individual) I Procurement Manager II | | Me | emo | Item | | | | | | |
| Receipt For: Primary Other (specify) ▼ | General Ag | gregate Ye | ear-to-Date ▼ 639.30 | | | | | | | | | | |
| Full Name of Individual B. Hoeft, David, , , | (Last, First, Middle Initial) c | or Full Org | anization Name | | Date of | Re | ceipt | | | | | | |
| | ox 17689 | | 7.0.1 | 03 / 31 / 2020 Transaction ID : A2020-604897 | | | | | | | | | |
| City Peoria | | State IL | Zip Code 61629 | | | | | | | iod | | | |
| FEC ID number of contr federal political committee | ů. | C | | | | | Amount of Each Receipt this Period | | | | | | |
| Name of Employer (for Caterpillar Inc. | Individual) | Occupation (for Individual) Assistant General Counsel | | | | emo | Item | | | | | | |
| Receipt For: | | gregate Ye | ear-to-Date 🔻 | | | | | | | | | | |
| Other (specify) ▼ | General | 4 | 563.10 | | | | | | | | | | |
| Full Name of Individual C. Hutt, Joe, , , | (Last, First, Middle Initial) c | or Full Org | anization Name | | Date of | Re | ceipt | | | | | | |
| Mailing Address 812 W | Washington Street | | | | 03 | 1 | D D D 31 | / Y | 2020 | | 1 | | |
| City East Peoria | | State IL | Zip Code 61611 | | | | | A2020-6 eceipt th | | iod | | | |
| FEC ID number of contr federal political committe | ů. |) | | | | | , | , , | 17 | 78.97 | | | |
| Name of Employer (for Caterpillar Inc. | Individual) | | ation (for Individual) Human Resource Mgr | | M | emo | Item | | | | | | |
| Receipt For: Primary Other (specify) | General Ag | gregate Ye | ear-to-Date ▼ 536.91 | | | | | | | | | | |
| SUBTOTAL of Receipts T | his Page (optional) | | ••••• | | | | 9 | | 57 | 79.77 | | | |
| TOTAL This Period (last p | age this line number only). | | | | | | | | | | | | |

SCHEDULE A (FEC Form 3X) _____ _

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | | Use separate schedule(s) | (check only one) | | | | |
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| | | for each category of the Detailed Summary Page | X 11a | 11b | 11c | 12 | 17 |
| Any information copied from such Reports or for commercial purposes, other than usi | | | person for the | e purpose | of soliciting | g contribut | ions |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | |
| Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 | | | | of Receipt | t | | |
| | | | | 03 / D D / Y Y Y Y Y 2020 | | | |
| City Mossville | State IL | Zip Code 61552 | | | D : A2020-6 n Receipt th | | |
| FEC ID number of contributing federal political committee. | С | 195.14 | | | | | |
| Caterpillar Inc. | | upation (for Individual) ineering Manager-3 | Memo Item | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 585.42 |] | | | | |
| Full Name of Individual (Last, First, Mide B. Imad, Ramzi, , , | dle Initial) or Full O | rganization Name | Date o | of Receipt | t | | |
| Mailing Address 252 Indigo Bay Circle | | | 03 | / D | D / Y 12 | y y 2020 | Y |
| City Mount Pleasant | State SC | Zip Code 29464 | | | D : A2020-5 n Receipt th | | _ |
| FEC ID number of contributing federal political committee. | С | | 500.00 | | | | |
| Name of Employer (for Individual)Occupation (for Individual)Progress Rail ServicesManager | | | | lemo Iten | n | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 500.00 |] | | | | |
| Full Name of Individual (Last, First, Mide C. James, Danielle, , , | dle Initial) or Full O | rganization Name | Date o | of Receipt | t | | |
| Mailing Address Suite 400 1425 K Street | | | | | 31 | y y 2020 | Y |
| City Washington | State DC | Zip Code 20005 | | | D : A2020-6 n Receipt th | | |
| FEC ID number of contributing federal political committee. | ů l | | | y | y y | 72.7 | 75 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ical Action Committee Mgr | | lemo Iten | n | | |
| Receipt For: Primary General Other (specify) | Aggregate | Aggregate Year-to-Date ▼ 218.25 | | | | | |
| SUBTOTAL of Receipts This Page (option | ial) | | | . , | , | 767.8 | 39 |
| TOTAL This Period (last page this line nu | mber only) | | | - | | | |

SCHEDULE A (FEC Form 3X) -

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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employed | e Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Middle A. Jennings, Tom, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 500 N Morton Ave | | | 03 / D D / Y Y Y Y 2020 | | | | | |
| City Morton | State IL | Zip Code 61550 | Transaction ID : A2020-604439 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | | | 250.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ect Manager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 380.00 |] | | | | | |
| Full Name of Individual (Last, First, Middle B. Johnson, Alicia, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 / D D / Y Y Y Y 2020 | | | | | |
| City _Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-605419 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 220.83 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Iment HR Director | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 662.49 |] | | | | | |
| Full Name of Individual (Last, First, Middle C. Johnson, Cheryl, , , | Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | - | | 03 / D D / Y Y Y Y 2020 | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-605094 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.66 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) f Human Resources Officer | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | |
| SUBTOTAL of Receipts This Page (optional |) | | 887.49 | | | | | |
| TOTAL This Period (last page this line num | ber only) | | | | | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | |
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| II EIVILED RECEIFIS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 1 | | | | | |
| | | | e to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Mide Johnson, Craig, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 2200 Pacific Highway | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City San Diego | State CA | Zip Code 92101 | Transaction ID : A2020-605545 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | | | 416.67 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ociate General Counsel | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 | 1 | | | | | |
| Full Name of Individual (Last, First, Mide Johnson, Denise, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 31 Y Y Y Y Y Y 2020 | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-604989 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.67 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) up President | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | |
| Full Name of Individual (Last, First, Mide C. Kaiser, Jack, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605284 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 100.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) pal Procurement Manager II | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 | 1 | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | 933.34 | | | | | |
| TOTAL This Period (last page this line nu | mber only) | | | | | | | |

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | |
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| ILEIVIIZED KEGEIPIS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | |
| | | | 13 14 15 16 erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) | | _ | | | | | | |
| > The Caterpillar Inc. Employed | e Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Middle A. Karol, Kathryn, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address Suite 400 | | | M = M / D = D / Y = Y = Y = Y | | | | | |
| 1425 K Street | State | Zip Code | 03 31 2020 | | | | | |
| Washington | DC | 20005 | Transaction ID : A2020-605037 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.67 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) President | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 | 1 | | | | | |
| | | | · | | | | | |
| Full Name of Individual (Last, First, Middle B. Kearns, Patrick, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | |
| City | State | Zip Code | Transaction ID : A2020-604438 | | | | | |
| Peoria | IL | 61629 | Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 86.78 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ustry Region Manager | Memo Item | | | | | |
| Receipt For: | Aggregate | Year-to-Date ▼ | | | | | | |
| Other (specify) | | 260.34 |] | | | | | |
| Full Name of Individual (Last, First, Middle C. Kibby, Steve, , , | Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 200 NE Adams Street | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604502 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ior Corporate Counsel | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 675.66 |] | | | | | |
| SUBTOTAL of Receipts This Page (optional |) | | 728.67 | | | | | |
| TOTAL This Period (last page this line num | per only) | | | | | | | |

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| ITEMIZED R | | - | Use separate schedule(s) | (cheo | ck only | on / | e) | L | | |
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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b | 11c | 12 | 17 |
| | | | ny not be sold or used by any p ddress of any political committe | | or the | | ose of | soliciting | contribut | tions |
| \ | MMITTEE (In Full) pillar Inc. Employe | e Political A | ction Committee | | | | | | | |
| Full Name of In A. Knepp, Wes | ndividual (Last, First, Middle | e Initial) or Full O | rganization Name | D | ate of | Red | ceipt | | | |
| Mailing Addres | s 100 NE Adams | | | | м м 03 | / | D D D 31 | / Y | y y 2020 | Y |
| City Peoria | | State IL | Zip Code 61629 | A | | | | A2020-6 eceipt th | 04556 is Period | |
| FEC ID number of contributing federal political committee. | | С | | | | | y 1 | - | 180.6 | 38 |
| Caterpillar Inc. | oyer (for Individual) | | upation (for Individual) or & Employee Relations Mgr | | Me | emo | Item | | | |
| Receipt For: Primary Other (sp | General Decify) ▼ | Aggregate | Year-to-Date ▼ 542.04 |] | | | | | | |
| Full Name of In B. Kobrak, Ha | ndividual (Last, First, Middle ITTY, , , | e Initial) or Full O | rganization Name | D | ate of | Red | ceipt | | | |
| | S 100 NE Adams Ocean Gate Minato Mirai | | | _ | ^M 03 | / | 31 | / Y | y y 2020 | Y |
| City Peoria | | State IL | Zip Code 61629 | | | | | A2020-60 eceipt th | 04500 is Period | |
| FEC ID numbe federal political | er of contributing I committee. | С | | | 198.18 | | | | | |
| Name of Emplo | oyer (for Individual) | | upation (for Individual) es Manager | - [| Me | emo | Item | | | |
| Receipt For: Primary Other (sp | General Decify) ▼ | Aggregate | Year-to-Date ▼ 594.54 |] | | | | | | |
| Full Name of In C. Kreisel, Le | ndividual (Last, First, Middle copold, , , | e Initial) or Full O | rganization Name | | Date of | Red | ceipt | | | |
| Mailing Addres | 8 877 Cloudberry Branch W | lay | | | ^M 03 | / | D D 12 | / Y | 2020 | Y |
| City Jacksonville | | State FL | Zip Code 32259-4453 | A | | | | A2020-5 eceipt th | 31395 is Period | |
| FEC ID number of contributing federal political committee. Name of Employer (for Individual) Progress Rail Services | | С | | ļļ | | | y | , y | 40.4 | 41 |
| | | Occu Man | upation (for Individual) ager | | Me | emo | ltem | | | |
| Receipt For: Primary Other (sp | General Gecify) | Aggregate | Year-to-Date ▼ 251.66 |] | | | | | | |
| SUBTOTAL of R | leceipts This Page (optiona | l) | | | | | , | 9 | 419.2 | 27 |
| TOTAL This Peri | iod (last page this line num | ber only) | | | | | , | - | | |

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| 171 | | | Use separate schedule(s) | (ch | eck only | / or | ne) | L | | | |
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| 111 | EMIZED RECEIPTS | | for each category of the Detailed Summary Page | > | K 11a 13 | | 11b 14 | 11c | 12 | 17 | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | for the | | pose of | soliciting | contribut | ions | |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Kreisel, Leopold, , , | al) or Full Oi | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 877 Cloudberry Branch Way | | | | м м 03 | 1 | 26 | | y y 2020 | Y | |
| | City Jacksonville | State FL | Zip Code 32259-4453 | | | | | A2020-6 Receipt th | 16471 is Period | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 42.2 | 25 | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | | Me | emo | tem | | | | |
| Dessint For: | | | Year-to-Date 293.91 |] | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Kruse, Brian, , , | al) or Full Oi | rganization Name | | Date of | Re | eceipt | | | | |
| Mailing Address 560 Rehoboth Road | | | | | м м 03 | / | 31 |) / Y | 2020 | Y | |
| | City Griffin | State GA | Zip Code 30224 | | | | - | A2020-6 Receipt th | 0 5407 is Period | | |
| | FEC ID number of contributing federal political committee. | C Occupation (for Individual) Global Solutions Manager | | | 100.38 | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | | | Me | emo | tem | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 301.14 | | | | | | | | |
| С. | Full Name of Individual (Last, First, Middle Initia Kupferschmid, Jason, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 3501 South FM Hwy 1417 | | | | 03 ^M | 1 | 31 | | y y 2020 | Y | |
| | City Denison | State TX | Zip Code 75020 | _ | | | | A2020-6 Receipt th | 04682 is Period | | |
| Name of Employer (for Individual) Caterpillar Inc. | | С | | | <u> </u> | | y . | | 86.6 | 64 | |
| | | | upation (for Individual) lity Manager | | Me | emo |) Item | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 259.92 |] | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | • | | | , . | . , | 229.2 | 27 | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | | Use separate schedule(s) | (check only one) | | | | | |
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| IILIVIIZED REGEIFIJ | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 □ | | | | | |
| | | | 13 14 15 16 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Mid A. Kurumbailmadam, Sankar, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 500 N Morton Ave | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Morton | State IL | Zip Code 61550 | Transaction ID : A2020-605495 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) cial Assignment | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | |
| Full Name of Individual (Last, First, Mid B. Lagacy, Julie, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 812 W Washington Str | | | 03 31 / Y Y Y Y 2020 | | | | | |
| City East Peoria | State | Zip Code 61611 | Transaction ID : A2020-604537 | | | | | |
| FEC ID number of contributing federal political committee. | С | | Amount of Each Receipt this Period | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) e President | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | |
| Full Name of Individual (Last, First, Mid C. LaHood, Dan, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605113 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 172.18 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ion Finance Mgr | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 516.54 |] | | | | | |
| SUBTOTAL of Receipts This Page (optic | nal) | | 688.85 | | | | | |
| TOTAL This Period (last page this line n | umber only) | | | | | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | Use separate schedule(s) | | | (check only one) | | | | | | |
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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b | 11c | 12 | 17 |
| | y information copied from such Reports and St for commercial purposes, other than using the | | | | for the | | ose of | soliciting | contribut | tions |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | olitical A | ction Committee | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initi Lautzenheiser, Scott, , , | ial) or Full O | rganization Name | | Date of | Re | ceipt | | | |
| | Mailing Address 100 NE Adams | | | | м м 03 | / | D D D 31 | / Y | y 2020 | Y |
| | City Peoria | State IL | Zip Code 61629 | | | | | A2020-6 eceipt th | 05331 is Period | _ |
| | FEC ID number of contributing federal political committee. | С | | | | | , | | 115.4 | 19 |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Manager | | Me | emo | ltem | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 330.75 | | | | | | | |
| B | Full Name of Individual (Last, First, Middle Initi Long, Suzette, , , | ial) or Full O | rganization Name | | Date of | Be | ceipt | | | |
| | Mailing Address 510 Lake Cook Road | | | | 03 | / | 31 | / Y | 2020 | Y |
| | City Deerfield | State IL | Zip Code 60015 | | | | - | A2020-6 eceipt th | 04731 is Period | |
| | FEC ID number of contributing federal political committee. | C | | | 416.66 | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) neral Counsel-Corp Secretary | | Me | emo | ltem | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initi Lusher, Jason, , , | ial) or Full O | rganization Name | | Date of | Re | ceipt | | | |
| | Mailing Address 14009 Old Galena Rd. Bldg F | | | | м м 03 | 1 | D D 31 | / Y | 2020 | Y |
| | City Mossville | State IL | Zip Code 61552 | | | | | A2020-6 eceipt th | 05504 is Period | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | . , | 157.8 | 35 |
| Name of Employer (for Individual) Caterpillar Inc. | | Occupation (for Individual) Engineering Manager-3 | | | M | emo | ltem | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 473.55 | | | | | | | |
| - | UBTOTAL of Receipts This Page (optional) | | | • | | _ | , | , , | 690.0 | 00 |
| Т | OTAL This Period (last page this line number of | only) | | | | | , | | | |

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| | | Use separate schedule(s) | (check only one) | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 11 15 | | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Emplo | yee Political A | ction Committee | | | | | | | |
| Full Name of Individual (Last, First, Mi A. Markun, Joe, , , | ddle Initial) or Full C | organization Name | Date of Receipt | | | | | | |
| Mailing Address 3701 State Road 26 East | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | |
| City Lafayette | State IN | Zip Code 47905 | Transaction ID : A2020-604592 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Large Engine Operations | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | |
| Full Name of Individual (Last, First, Mi B. Martin, Alex, , , | ddle Initial) or Full C | organization Name | Date of Receipt | | | | | | |
| Mailing Address 2120 West End Avenu P O Box 340001 | | 7. 0.1 | 03 / D D / Y Y Y Y Y Y 2020 | | | | | | |
| City Nashville | State TN | Zip Code 37203 | Transaction ID : A2020-604803 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 136.39 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Iding Manager II | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 409.17 |] | | | | | | |
| Full Name of Individual (Last, First, Mi c. Martin, David , , , | ddle Initial) or Full C | organization Name | Date of Receipt | | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 / D D / Y Y Y Y Y 03 31 2020 | | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-605443 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 500.00 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) o Bus Dev & Strategy Dir | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 500.00 |] | | | | | | |
| SUBTOTAL of Receipts This Page (optic | , onal) | | 736.39 | | | | | | |
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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check d | only c | one) | L | | | | |
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| II EIVIIZED RECEIFIO | | for each category of the Detailed Summary Page | X 11a | 1 _ | 11b 14 | 11c 15 | 12 | 17 | | |
| Any information copied from such Reports or for commercial purposes, other than us | | | erson for th | | rpose of | soliciting | contribut | tions | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | yee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mi A. Matheny, Steve, , , | ddle Initial) or Full C | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 1600 Progress Drive P.O. Box 1037 | | | 0 | | / 31 |) / Ү | Y Y 2020 | Y | | |
| City Albertville | State AL | Zip Code 35950 | | | | A2020-6 Receipt th | 04875 iis Period | | | |
| FEC ID number of contributing federal political committee. | C | | | _ | -y 1 | | 205.2 | 15 | | |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | Ass | upation (for Individual) istant General Counsel Year-to-Date ▼ | | Mem | o Item | | | | | |
| Other (specify) ▼ | | 615.45 |] | | | | | | | |
| Full Name of Individual (Last, First, Mi B. Matteo, James, , , | ddle Initial) or Full C | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 100 NE Adams Uozumi-Cho | | | 0 | | / D [31 |) / Y | y y 2020 | Ŷ | | |
| City Peoria | State | Zip Code 61629 | | | | A2020-6 | | | | |
| FEC ID number of contributing federal political committee. | С | | | | Amount of Each Receipt this Period | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | | | | io Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 340.71 |] | | | | | | | |
| Full Name of Individual (Last, First, Mi C. McCartney, Sandi, , , | ddle Initial) or Full C | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 100 Tractor Drive Building CV City | State | Zip Code | 0 | 3 | / 31 | | 2020 | Ŷ | | |
| East Peoria | IL | 61630 | | | | A2020-6 Receipt th | is Period | | | |
| FEC ID number of contributing federal political committee. | C | | | | , . | . , | 218.0 | 00 | | |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | Lead | Occupation (for Individual) Lead Human Resources Mgr | | | io Item | | | | | |
| Primary General Other (specify) | Aggregate | Year-to-Date ▼ 654.00 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (option | onal) | | | | y | . , | 536.7 | 72 | | |
| TOTAL This Period (last page this line r | umber only) | | . C | | - | - | | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

FOR LINE NUMBER:

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| | EMIZED RECEIPTS | for each category of the Detailed Summary Page | ★ 11a 11b 11c 12 13 14 15 16 17 | | | | | | |
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| or | for commercial purposes, other than using the | | / person for the purpose of soliciting contributions ttee to solicit contributions from such committee. | | | | | | |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Action Committee | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia McGuire, Andrew, A, , Mailing Address 200 NE Adams Street | al) or Full Organization Name | Date of Receipt | | | | | | |
| | City | State Zip Code | 03 31 2020 Transaction ID : A2020-604699 | | | | | | |
| | Peoria | IL 61629 | Amount of Each Receipt this Period | | | | | | |
| | FEC ID number of contributing federal political committee. | C | 416.67 | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Associate General Counsel | Memo Item | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1250.01 | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initia McMullen, Karen, , , | al) or Full Organization Name | Date of Receipt | | | | | | |
| | Mailing Address 100 NE Adams | | 03 31 2020 | | | | | | |
| | City Peoria | StateZip CodeIL61629 | Transaction ID : A2020-605227 Amount of Each Receipt this Period | | | | | | |
| | FEC ID number of contributing federal political committee. | С | 75.83 | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Manager Tax COE | Memo Item | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 227.49 | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initia Mediavilla, Jose, , , | al) or Full Organization Name | Date of Receipt | | | | | | |
| | Mailing Address 8331 N Harbour Pl | | M M / D D / Y | | | | | | |
| | City Parkville | StateZip CodeMO64152 | Transaction ID : A2020-531394 Amount of Each Receipt this Period | | | | | | |
| | FEC ID number of contributing federal political committee. | C | 500.00 | | | | | | |
| | Name of Employer (for Individual) Progress Rail Services | Occupation (for Individual) Manager | Memo Item | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 500.00 | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | 992.50 | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check o | nly o | ne) | | | | | |
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| ILEIVIIZED KEGEIPIJ | | for each category of the Detailed Summary Page | × 11a | | 11b | 11c 15 | 12 | 17 | | |
| Any information copied from such Reports or for commercial purposes, other than usi | | | erson for th | | pose of | soliciting | contribut | ions | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mide | dle Initial) or Full C | rganization Name | Date | of Re | eceipt | | | | | |
| Mailing Address 28194 Caterpillar Lane | | | M 03 | | D D D 31 | / Y | y y 2020 | Y | | |
| City Washington | State IL | Zip Code 61571 | | | | A2020-6 eceipt th | 04425 is Period | | | |
| FEC ID number of contributing federal political committee. | С | | | | -y- | - | 213.4 | 10 | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) hine Development Manager | | Mem | o Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 639.30 |] | | | | | | | |
| Full Name of Individual (Last, First, Mide B. Michael, Dave, , , | dle Initial) or Full C | rganization Name | Date | of Re | eceipt | | | | | |
| Mailing Address 100 NE Adams St. P O Box 340001 | | | 03 | | 31 | / Y | 2020 | Y | | |
| City Peoria | State IL | Zip Code 61629 | | | | A2020-6 | 04479 is Period | | | |
| FEC ID number of contributing federal political committee. | С | C Occupation (for Individual) AFS Commercial Director | | | 100.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | | | | o Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | |
| Full Name of Individual (Last, First, Mide C. Mills, Desmond, , , | dle Initial) or Full C | rganization Name | Date | of Re | eceipt | | | | | |
| Mailing Address 14009 Old Galena Rd. Bldg A City | State | Zip Code | 03 | : | 31 | A2020-6 | 2020 | Y | | |
| Mossville | IL | 61552 | | | | | is Period | | | |
| FEC ID number of contributing federal political committee. | С | | | | , | , | 100.0 | 00 | | |
| Name of Employer (for Individual) Caterpillar Inc. | | Occupation (for Individual) Business Resource Manager | | | o Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (option | ' nal) | | | | , | , | 413.1 | 0 | | |
| TOTAL This Period (last page this line nu | mber only) | | | | - | | | | | |

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | |
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| ILEIVILLED RECEIPIO | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 □ | | | | | |
| | | | person for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Mide A. Milman, Kelsey, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605345 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) uty General Counsel | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1248.00 |] | | | | | |
| Full Name of Individual (Last, First, Mide B. Mitts, Marsha, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605226 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 145.50 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) nager II-Export Controls | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 436.50 |] | | | | | |
| Full Name of Individual (Last, First, Mide C. Mohrman, Patrick, , , | dle Initial) or Full O | rganization Name | Date of Receipt | | | | | |
| Mailing Address Illinois Route 29 at Old PO Box 610 | | | M = M / D = D / Y = Y = Y = Y 2020 03 31 2020 1 | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-605118 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 88.52 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) es Manager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 265.56 |] | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | 650.02 | | | | | |
| TOTAL This Period (last page this line nu | mber only) | | | | | | | |

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | |
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| II EIVILED RECEIPIO | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 1 | | | | | | | |
| | | | person for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Midd A. Montgomery, Djuana, , , | | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 812 W Washington Stree | et | | 03 31 YYYYY 2020 | | | | | | | |
| City East Peoria | State IL | Zip Code 61611 | Transaction ID : A2020-605238 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 147.11 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rmation Technology Mgr | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 441.33 |] | | | | | | | |
| Full Name of Individual (Last, First, Midd B. Mooney, Martin, , , | le Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 3000 North 27th Street | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Decatur | State IL | Zip Code 62526 | Transaction ID : A2020-605377 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 164.77 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ilities Engineering Mgr 1 | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 494.31 |] | | | | | | | |
| Full Name of Individual (Last, First, Midd C. Moore, Jann, , , | le Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605047 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 125.68 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Governmental Affairs Rep | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 377.04 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (optional | al) | | 437.56 | | | | | | | |
| TOTAL This Period (last page this line nur | nber only) | | | | | | | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one | •) | | | | | |
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| ILEIVILLED RECEIPIS | | for each category of the Detailed Summary Page | | 11b 11c 14 15 | 12 | 17 | | | |
| Any information copied from such Reports a or for commercial purposes, other than usir | | | erson for the purpo | ose of soliciting | contributi | ions | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | ee Political A | ction Committee | | | | | | | |
| Full Name of Individual (Last, First, Midd A. Moore, Rich, , , | lle Initial) or Full C | rganization Name | Date of Rece | eipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | M M / 03 | D D / Y 31 | 2020 | Y | | | |
| City Deerfield | State IL | Zip Code 60015 | | on ID : A2020-6 Each Receipt th | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | 200.0 | 0 | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) porate Treasurer | Memo I | Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 | | | | | | | |
| Full Name of Individual (Last, First, Midd B. Moss, Jarrod , , , | lle Initial) or Full C | rganization Name | Date of Rece | eipt | | | | | |
| Mailing Address 6000 W. Caterpillar Trail | | | M M / D D / Y | | | | | | |
| City Green Valley | State AZ | Zip Code 85614 | | n ID : A2020-60 Each Receipt th | | | | | |
| FEC ID number of contributing federal political committee. | C | | 169.45 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) jineering Manager-3 | Memo I | Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 506.03 | | | | | | | |
| Full Name of Individual (Last, First, Midd C. Myers, Bart, , , | lle Initial) or Full C | rganization Name | Date of Rece | eipt | | | | | |
| Mailing Address 10203 Sam Houston Pa Suite 4000 | | | 03 / | M M / D D / Y Y Y Y Y | | | | | |
| City Houston | State TX | Zip Code 77064 | | ach Receipt th | | | | | |
| FEC ID number of contributing federal political committee. | C | | L, | · · · · · | 100.0 | 0 | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) eral Mgr EP Diesel | Memo | Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 | | | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | | | 469.4 | .5 | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Mic Myers, Chris, , , | Idle Initial) or Full C | organization Name | Date of Receipt | | | | | |
| Mailing Address Suite 400 1425 K Street | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Washington | State DC | Zip Code 20005 | Transaction ID : A2020-604824 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 301.44 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) eral Government Affairs Mgr | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 904.32 |] | | | | | |
| Full Name of Individual (Last, First, Mic B. Myers, Kirsten, , , | Idle Initial) or Full C | organization Name | Date of Receipt | | | | | |
| Mailing Address 2120 West End Avenue P O Box 340001 | | | 03 / D D / Y Y Y Y Y 2020 | | | | | |
| City Nashville | State | Zip Code 37203 | Transaction ID : A2020-604812 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 83.59 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) bal Lease Product Manager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 250.77 |] | | | | | |
| Full Name of Individual (Last, First, Mic C. Newell, Dontae, , , | Idle Initial) or Full C | organization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-605207 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | C | | 88.44 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rict Manager | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 266.67 |] | | | | | |
| SUBTOTAL of Receipts This Page (optio | nal) | | 473.47 | | | | | |
| TOTAL This Period (last page this line n | umber only) | | | | | | | |

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| | - | Use separate schedule(s) | (check on | (check only one) | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × 11a | 11b | 11c | 12 | 17 | | |
| Any information copied from such or for commercial purposes, other | | | erson for the | purpose of | f soliciting | contribut | ions | | |
| NAME OF COMMITTEE (In Find the Caterpillar Inc. E | | ction Committee | | | | | | | |
| Full Name of Individual (Last, Nguyen, Chau, , , | First, Middle Initial) or Full O | rganization Name | Date o | of Receipt | | | | | |
| Mailing Address 540 W. Madis Floor 3 | | | 03 | / D 31 | | y y 2020 | Y | | |
| City Chicago | State IL | Zip Code 60661 | | saction ID : It of Each F | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | | 111.8 | 38 | | |
| Name of Employer (for Individu Caterpillar Inc. | , | upation (for Individual) keting & Ops Support Mgr | | lemo Item | | | | | |
| Receipt For: Primary Genera Other (specify) ▼ | | Year-to-Date ▼ 335.64 | 1 | | | | | | |
| Full Name of Individual (Last, B. Norlin, John , , , | First, Middle Initial) or Full O | rganization Name | Date o | of Receipt | | | | | |
| Mailing Address 14009 N Old C Tech Center E | ldg K | 7th Oale | 03 | , 31 | | y y 2020 | Y | | |
| City Mossville | State | Zip Code 61552 | | saction ID : It of Each F | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | | 164.8 | 38 | | |
| Name of Employer (for Individe Caterpillar Inc. | , | upation (for Individual) ineering Manager-1 | | lemo Item | | | | | |
| Receipt For: Primary Genera Other (specify) ▼ | | Year-to-Date ▼ 494.64 |] | | | | | | |
| Full Name of Individual (Last, C. O'Neal, Edward, A, , | First, Middle Initial) or Full O | rganization Name | Date c | of Receipt | | | | | |
| Mailing Address 2540 Bruce R | J. | | 03 | / D | | 2020 | Y | | |
| City Boaz | State AL | Zip Code 35957 | | saction ID : It of Each F | | | | | |
| FEC ID number of contributing federal political committee. | C | | | . , . | . , | 87.4 | 14 | | |
| Name of Employer (for Individu Progress Rail Services | , | upation (for Individual) Health & Safety | | lemo Item | | | | | |
| Receipt For: Primary Genera Other (specify) | | Year-to-Date ▼ 524.64 | 1 | | | | | | |
| SUBTOTAL of Receipts This Pa | ge (optional) | | | | | 364.2 | 20 | | |
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| 171 | | | Use separate schedule(s) | (che | eck only | / or | ne) | L | | - | |
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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b | 11c 15 | 12 | Г | 17 |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | pose of | soliciting | g contri | ibutio | ons |
| $\left.\right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia O'Neal, Edward, A, , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 2540 Bruce Rd. | | | | м м 03 | / | D D 26 | / Y | 2020 | | 1 |
| | City Boaz | State AL | Zip Code 35957 | | | | | A2020-6 eceipt th | | iod | - |
| | FEC ID number of contributing federal political committee. | С | | | | | | | | 87.44 | |
| | Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) Health & Safety | | Me | emc | tem | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 612.08 | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia O'Neil, Ed, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 100 Tractor Drive Building CV | | | | 03 | / | 31 | / Y | 2020 | | |
| | City East Peoria | State | Zip Code 61630 | | | | - | A2020-6 | | | |
| | FEC ID number of contributing federal political committee. | С | | | Amount | OT | | eceipt th | | 00.00 |) |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Earthmoving Operations | | Me | emc | ttem | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 |] | | | | | | | |
| <u>с.</u> | Full Name of Individual (Last, First, Middle Initia Osborn, Sheri, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 2200 Pacific Highway | | | | 03 | 1 | D D 31 | / Y | 2020 | | 1 |
| | City San Diego | State CA | Zip Code 92101 | | | | | A2020-6 eceipt th | | iod | _ |
| | FEC ID number of contributing federal political committee. | С | | | | | , . | - <u>1</u> | 7 | 71.81 | |
| | Name of Employer (for Individual) Solar Turbines | | upation (for Individual) oly Chain Area Sr. Mgr | | Me | emo | tem | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 215.43 | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | • | • | | | , . | , | 25 | 59.25 | |
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| 171 | | | Use separate schedule(s) | (ch | eck only | y or | one) | | | | |
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| | EMIZED RECEIPTS | | for each category of the Detailed Summary Page | | ′ 11a 13 | | 11b 14 | 11c | 12 | 17 | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | for the | | pose of | soliciting | g contribu | tions | |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Pc | olitical Ad | ction Committee | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Parks, Brett, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 | | | | 03 | 1 | 31 | D / Y | y y 2020 | Y | |
| | City Nashville | State TN | Zip Code 37203 | | | | | A2020-6 Receipt th | 05491 his Period | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 200. | 28 | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occu Sen | | M | emc | ttem | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.84 | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Paternoga, Bob, , , | of Individual (Last, First, Middle Initial) or Full Organization Name ga, Bob, , , | | | | | eceipt | | | | |
| | Mailing Address Illinois Route 29 at Old Galena F PO Box 610 | Ro | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | | | - | A2020-6 Receipt th | 05135 nis Period | | |
| | FEC ID number of contributing federal political committee. | C | | | 416.67 | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) neral Manager Reman | | M | emc | ttem | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 | | | | | | | | |
| С. | Full Name of Individual (Last, First, Middle Initia Pellette, Tom, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | |
| | Mailing Address 2200 Pacific Hwy | | | | ^M 03 | / | D 31 | | 2020 | Y | |
| | City San Diego | State CA | Zip Code 92101 | | | | | A2020-6 Receipt th | 05148 his Period | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | - 9 | 416. | 67 | |
| | Name of Employer (for Individual) Solar Turbines | | upation (for Individual) ior Vice President | | M | emo | o Item | | | | |
| Receipt For: Aggree Primary General Other (specify) | | | Year-to-Date ▼ 1250.01 | | | | | | | | |
| | UBTOTAL of Receipts This Page (optional) | | | • | Ľ. | _ | , . | 5 | 1033. | 62 | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | |
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| I LIVILLU RECEIPIO | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 17 | | | | | | | |
| | | | erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mic A. Perez Sandi, Alex, , , | ddle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 8826 2 Route 24 | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Mapleton | State IL | Zip Code 61547 | Transaction ID : A2020-604436 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 95.85 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Manager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 287.55 |] | | | | | | | |
| Full Name of Individual (Last, First, Mic B. Pierce, Robert, , , | Idle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 14009 Old Galena Rd. Bldg A City | State | Zip Code | Mom / D D / Y | | | | | | | |
| Mossville | IL | 61552 | Transaction ID : A2020-604402 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 82.44 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ply Chain Performance Mgr 3 | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 247.32 |] | | | | | | | |
| Full Name of Individual (Last, First, Mic C. Potts, Rachel, , , | dle Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 510 Lake Cook Road | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-604748 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 200.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Enterprise Communications | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 600.00 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (optio | nal) | | 378.29 | | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 □ | | | | | | | |
| | | | erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In F The Caterpillar Inc. I | Employee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, A. Quigg, Jim, , , | First, Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address Illinois Route PO Box 610 | 29 at Old Galena Ro | | 03 / D D / Y Y Y Y 2020 | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-604391 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributin federal political committee. | g | | 416.66 | | | | | | | |
| Name of Employer (for Individ Caterpillar Inc. | , | upation (for Individual) Product Source PIng. & RE | Memo Item | | | | | | | |
| Receipt For: Primary Gener Other (specify) ▼ | | Year-to-Date ▼ 1249.98 |] | | | | | | | |
| Full Name of Individual (Last, B. Rassi, A.J., , , | First, Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 14009 Old G Bldg A | alena Rd. | Zin Code | 03 / D D / Y Y Y Y Y 2020 | | | | | | | |
| City Mossville | | Zip Code 61552 | Transaction ID : A2020-605180 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributin federal political committee. | g C | | | | | | | | | |
| Name of Employer (for Individ Caterpillar Inc. | , | upation (for Individual) bal Procurement Manager II | Memo Item | | | | | | | |
| Receipt For: Primary Gener Other (specify) ▼ | | Year-to-Date ▼ 322.92 |] | | | | | | | |
| Full Name of Individual (Last, C. Rea, David, M, , | First, Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 875 W Cush | - | | M M / D D / Y Y Y Y 03 31 2020 | | | | | | | |
| City Tucson | State AZ | Zip Code 85745 | Transaction ID : A2020-605324 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributin federal political committee. | ^g | | 416.66 | | | | | | | |
| Name of Employer (for Individ Caterpillar Inc. | , | upation (for Individual) luct Manager | Memo Item | | | | | | | |
| Receipt For: Primary Gener Other (specify) | | Year-to-Date ▼ 1249.98 |] | | | | | | | |
| SUBTOTAL of Receipts This Pa | age (optional) | | 943.32 | | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| | EMIZED RECEIPTS | | for each category of the Detailed Summary Page | | ′ 11a 13 | | 11b 14 | 11c | | 2 | 17 | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | for the | | pose of | soliciting | g cont | ributi | ons | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initi Rich, Steve, , , | al) or Full O | organization Name | | Date of | Re | eceipt | | | | | |
| | Mailing Address 875 W Cushing Street | | | | 03 / D D / Y Y Y Y 2020 | | | | | | | |
| | City Tucson | State AZ | Zip Code 85745 | | Transaction ID : A2020-604595 Amount of Each Receipt this Period | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | | | 2 | 200.0 | 0 | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occu Mini | | Me | emc | tem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initi Riggle, Robert, , , | III Name of Individual (Last, First, Middle Initial) or Full Organization Name Riggle, Robert, , , | | | | | | | | | | |
| | Mailing Address 875 W Cushing Street | | | 03 / D D / Y Y Y Y Y 2020 | | | | | | | | |
| | City Tucson | State AZ | Zip Code 85745 | | | | - | A2020-6 | | | | |
| | FEC ID number of contributing federal political committee. | C | | Amount of Each Receipt this Period | | | | | | 2 | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Performance Manager | | Me | emc | tem | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 203.76 | | | | | | | | | |
| <u></u> | Full Name of Individual (Last, First, Middle Initi Roedel, Brian, , , | al) or Full O | Organization Name | | Date of | Re | eceipt | | | | | |
| | Mailing Address 13488 Davenport Dr | | | | 03 | 1 | D 12 | | 202 | | Ý | |
| | City Fishers | State IN | Zip Code 46037-5518 | | | | | A2020-5 Receipt th | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | 9 | 2 | 250.0 | 0 | |
| | Name of Employer (for Individual) Progress Rail Services | Man | - | | M | emo | ttem | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 250.00 | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | •••••• | • | | | , | . , | Ę | 517.92 | 2 | |
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SCHEDULE A (FEC Form 3X) -

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | |
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| | | | erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | ee Political A | ction Committee | | | | | | | |
| Full Name of Individual (Last, First, Midd A. Roethler, Mary, , , | lle Initial) or Full C | rganization Name | Date of Receipt | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604396 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 191.18 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Support Manager | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 573.54 |] | | | | | | |
| Full Name of Individual (Last, First, Midd B. Rolinitis, Steve , , , | Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rolinitis, Steve, , , | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y Y 2020 | | | | | | |
| City Peoria | State | Zip Code 61629 | Transaction ID : A2020-605533 | | | | | | |
| FEC ID number of contributing federal political committee. | С | | Amount of Each Receipt this Period | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ector Risk Management | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | |
| Full Name of Individual (Last, First, Midd C. Rooney, Jim, , , | lle Initial) or Full C | rganization Name | Date of Receipt | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 03 31 2020 | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605248 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 416.67 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ociate General Counsel | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | |
| SUBTOTAL of Receipts This Page (option | al) | | 707.85 | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × 11a 11b 11c 12 | | | | | | |
| | | | 13 14 15 16 17 erson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | |
| Full Name of Individual (Last, First, Midd A. Rubel, Juergen, , , | le Initial) or Full C | rganization Name | Date of Receipt | | | | | | |
| Mailing Address 500 N Morton Ave | | | 03 / D D / Y Y Y Y 2020 | | | | | | |
| City Morton | State IL | Zip Code 61550 | Transaction ID : A2020-605218 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 82.44 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) .ogistics Mgmt Consultant | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 247.32 |] | | | | | | |
| Full Name of Individual (Last, First, Midd B. Ruppel, Jeff, , , | le Initial) or Full C | rganization Name | Date of Receipt | | | | | | |
| Mailing Address 100 NE Adams | State | Zip Code | 03 / 31 / 2020 | | | | | | |
| City Peoria | IL | 61629 | Transaction ID : A2020-604927 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 100.00 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) istant General Counsel | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | |
| Full Name of Individual (Last, First, Midd C. Russell, Melissa, , , | le Initial) or Full C | rganization Name | Date of Receipt | | | | | | |
| Mailing Address Suite 400 1425 K Street | State | Zip Code | | | | | | | |
| City Washington | DC | 20005 | Transaction ID : A2020-605072 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 167.00 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ager Governmental Affairs | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 501.00 |] | | | | | | |
| SUBTOTAL of Receipts This Page (optional | al) | | 349.44 | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × 11a | | 11b | 11c | 12 | | | |
| Any information copied from such Report or for commercial purposes, other than u | | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Emplo | yee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mi Saad, Jeffrey, , , | ddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 100 NE Adams | | | M 03 | | / D 1 | р / Y | 2020 | Y | | |
| City Peoria | State IL | Zip Code 61629 | | | | A2020-6 Receipt th | 04495 iis Period | | | |
| FEC ID number of contributing federal political committee. | С | | | | -gr | | 206. | 75 | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) iness Resource Manager | | Mem | o Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 620.25 | 1 | | | | | | | |
| Full Name of Individual (Last, First, Mi B. Sage, Jason, , , | ddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 400 Regency Forest D Suite 400 | 1 | Zin Onde | 03 | | 31 |) / Y | 2020 | Y | | |
| City Cary | State NC | | | | | A2020-6 | | | | |
| FEC ID number of contributing federal political committee. | С | | | | Amount of Each Receipt this Period | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) d Human Resources Mgr | | Mem | o Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 680.07 |] | | | | | | | |
| Full Name of Individual (Last, First, Mi C. Salahuddin, Jihad, , , | ddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | |
| Mailing Address 501 SW Jefferson | | | 03 | | 31 | | 2020 | Y | | |
| City Peoria | State IL | Zip Code 61629 | | | | A2020-6 Receipt th | i 04562 is Period | | | |
| FEC ID number of contributing federal political committee. | C | | | | , . | . , | 153.4 | 41 | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) elopmental Assignment | | Mem | o Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 460.23 | 1 | | | | | | | |
| SUBTOTAL of Receipts This Page (optic | onal) | | | ï | , . | . , | 586.8 | 35 | | |
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SCHEDULE A (FEC Form 3X) DEAEIDTA

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| 171 | | | Use separate schedule(s) | (ch | (check only one) | | | | | | | | | |
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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c 15 | 12 | 17 | | | | |
| | y information copied from such Reports and St for commercial purposes, other than using the | | | | for the | | pose of | soliciting | contribu | tions | | | | |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | olitical A | ction Committee | | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initi Sale, Ronald, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 8826 2 Route 24 | | | | 03 | 1 | 31 |) / Y | ү ү 2020 | Y | | | | |
| | City Mapleton | State IL | Zip Code 61547 | | | | | A2020-6 Receipt th | 04873 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 86. | 11 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) tion Mgr 4 | | M | emo | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 253.39 | 1 | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initi Sallowicz, Marcos, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 701 Waterford Way Suite 600 | | 1 | | м м 03 | 1 | 31 | | ү ү 2020 | Y | | | | |
| | City Miami | State Zip Code FL 33126 | | | | Transaction ID : A2020-604692 Amount of Each Receipt this Period | | | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 100. | 00 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rketing & Ops Support Mgr | | M | emc | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initi Santilli, Stefano, , , | al) or Full O | rganization Name | | Date of | f Re | eceipt | | | | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 | | | | 03 | / | 31 | | y y 2020 | Y | | | | |
| | City Nashville | State TN | Zip Code 37203 | | | | | A2020-6 Receipt th | 05440 is Period | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | 9 | . , | 188. | 57 | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Analytics Manager | | M | emo | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 566.26 | 1 | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | ······] | | | | , . , | . , | 374. | 68 | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 □ | | | | | | | | |
| | | | erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | | | | |
| Full Name of Individual (Last, First, Middl A. Seely, Mary, , , | e Initial) or Full C | Organization Name | Date of Receipt | | | | | | | | |
| Mailing Address 875 W Cushing Street | | | 03 31 2020 | | | | | | | | |
| City Tucson | State AZ | Zip Code 85745 | Transaction ID : A2020-605472 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 343.17 | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) d Human Resources Mgr | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1029.51 |] | | | | | | | | |
| Full Name of Individual (Last, First, Middl B. Shankwitz, Phil, , , | e Initial) or Full C | Organization Name | Date of Receipt | | | | | | | | |
| Mailing Address 600 Balderson Blvd P O Box 6 | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | |
| City Wamego | State KS | Zip Code 66547 | Transaction ID : A2020-604509 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 188.13 | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ef Engineer | Memo Item | | | | | | | | |
| Receipt For: | Aggregate | Year-to-Date ▼ | | | | | | | | | |
| Other (specify) ▼ | | , 564.39 | | | | | | | | | |
| Full Name of Individual (Last, First, Middl C. Shapland, Brad, , , | | Organization Name | Date of Receipt | | | | | | | | |
| Mailing Address 812 W Washington Stree | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | |
| City East Peoria | State IL | Zip Code 61611 | Transaction ID : A2020-604392 Amount of Each Receipt this Period | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rchitect Manager II | Memo Item | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 266.88 |] | | | | | | | | |
| SUBTOTAL of Receipts This Page (optiona | l) | | 620.26 | | | | | | | | |
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| 171 | | | Use separate schedule(s) | (ch | (check only one) | | | | | | | | |
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| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | | | pose of | | | | | | |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Ad | ction Committee | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Sheffield, Maria, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | |
| | Mailing Address 2120 West End Avenue P O Box 340001 | | | | 03 31 2020 | | | | | | | | |
| | City Nashville | State TN | Zip Code 37203 | | | | | A2020-6 Receipt th | 05076 his Period | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 203. | 08 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) sident Insurance Services | | Me | emc | tem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 609.24 | | | | | | | | | | |
| B. | Full Name of Individual (Last, First, Middle Initia Shepherd, Scott, , , Mailing Address 500 N Morton Ave | al) or Full O | rganization Name | | Date of | Re | ceipt |) / Y | YY | Y | | | |
| | City | State Zip Code | | | | acti | 31 on ID : | A2020-6 | 2020 05028 | | | | |
| | Morton | IL | 61550 | | Amount | of | Each F | Receipt th | nis Period | | | | |
| | FEC ID number of contributing federal political committee. | C | | | | | | | 90. | 00 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Dir Aftermarket Parts Dist | | | | emc | tem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 270.00 | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Shurman, Rod, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | |
| | Mailing Address 10203 Sam Houston Park Drive Suite 4000 | | | | 03 | / | 31 | | y y 2020 | Y | | | |
| | City Houston | State TX | Zip Code 77064 | | | | | A2020-6 Receipt th | 04395 his Period | | | | |
| | FEC ID number of contributing federal political committee. | С | | | Ľ. | | , | . , | 270. | 66 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occu Gene | | M | emo |) Item | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 811.98 | | | | | | | | | | |
| | UBTOTAL of Receipts This Page (optional) | | | | [| _ | y | 9 | 563. | 74 | | | |
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| 171 | | | Use separate schedule(s) | (che | eck only one) | | | | | | | |
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| ITEMIZED RECEIPTS for each category of the Detailed Summary Page | | | | × | 11a | \vdash | 11b | 11c | 12 | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the n | | | | | purp | | | | | | |
| $\left\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | litical Ad | ction Committee | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Shute, Truman, , , | l) or Full Oi | rganization Name | [| Date of | Rec | ceipt | | | | | |
| | Mailing Address 3000 North 27th Street | | | | 03 31 2020 | | | | | | | |
| | City Decatur | State IL | Zip Code 62526 | | | | | 42020-6 eceipt th | 04584 is Perio | d | | |
| | FEC ID number of contributing federal political committee. | С | | | | | , | | 70 |).91 | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) cial Assignment | | Me | emo | ltem | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 216.22 |] | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Sluga, Maureen, , , | l) or Full Oi | rganization Name | | Date of | Rec | ceipt | | | | | |
| | Mailing Address 10203 Sam Houston Park Drive Suite 4000 | 1- | | | м м 03 | / | 31 | / Y | y y 2020 | Y | | |
| | City Houston | State TX | Zip Code 77064 | | | | | 2020-6 | 04481 is Perio | Ч | | |
| | FEC ID number of contributing federal political committee. | С | | | anount | | | | | 3.44 | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Special Assignment | | | Me | emo | ltem | | | | | |
| | Receipt For: Primary General | Aggregate | | | | | | | | | | |
| | Other (specify) v | | , 520.32 | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Smith, Kristen, , , | l) or Full Oi | rganization Name | | Date of | Rec | ceipt | | | | | |
| | Mailing Address Suite 400 1425 K Street | Otata | 77 0 0 1 | | 03 | / | 31 | | 2020 | Y | | |
| | City Washington | State DC | Zip Code 20005 | | | | | 42020-6 eceipt th | is Perio | d | | |
| | FEC ID number of contributing federal political committee. | С | | | | | 7 | , | 75 | 5.00 | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Communications Rep | | Me | emo | ltem | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 225.00 |] | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | • | | | , | , | 319 | .35 | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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PAGE 65 OF

| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) |
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| I LIVILLED RECEIPIO | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 1 |
| | | | person for the purpose of soliciting contributions ee to solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | |
| Full Name of Individual (Last, First, Mic A. Smith, Mary, , , | dle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 3101 Bethsaida Rd | | | 03 12 2020 |
| City Boaz | State AL | Zip Code 35957 | Transaction ID : A2020-531381 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 122.19 |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 719.46 | |
| Full Name of Individual (Last, First, Mic B. Smith, Mary, , , | dle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 3101 Bethsaida Rd | | | 03 / D D / Y Y Y Y Y 26 2020 |
| City Boaz | State AL | Zip Code 35957 | Transaction ID : A2020-616486 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 122.19 |
| Name of Employer (for Individual) Progress Rail Services | | upation (for Individual) nager | Memo Item |
| Receipt For: | Aggregate | Year-to-Date ▼ | |
| Other (specify) ▼ | | 841.65 | |
| Full Name of Individual (Last, First, Mic C. Smith, Wayne, , , | dle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 500 N Morton Ave | | | 03 / D D / Y Y Y Y 2020 |
| City Morton | State IL | Zip Code 61550 | Transaction ID : A2020-605406 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 151.55 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) oly Chain Process Mgr 1 | Memo Item |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 454.65 | |
| SUBTOTAL of Receipts This Page (option | ' nal) | | ▶ 395.93 |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 17 |
| | | | 13 14 15 16 17 berson for the purpose of soliciting contributions e to solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | vee Political A | ction Committee | |
| Full Name of Individual (Last, First, Mic A. Sonnemaker, Brian, , , | ddle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 812 W Washington Str | eet | | M M / D D / Y Y Y Y 03 31 2020 |
| City East Peoria | State IL | Zip Code 61611 | Transaction ID : A2020-604687 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 250.00 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) up CIO IT Engagement Mgr | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 750.00 | 1 |
| Full Name of Individual (Last, First, Mic B. Speake, Laura, , , | ddle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 100 NE Adams | | 7.0.1 | 03 / D D / Y Y Y Y 2020 |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605061 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 147.64 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) jional Chief Economist | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 442.92 | 1 |
| Full Name of Individual (Last, First, Mic C. Staley, Daniel, , , | ddle Initial) or Full O | rganization Name | Date of Receipt |
| Mailing Address 200 NE Adams Street | | | 03 31 2020 |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605059 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 149.07 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) or Corporate Counsel | Memo Item |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 447.21 | 1 |
| SUBTOTAL of Receipts This Page (optio | nal) | | 546.71 |
| TOTAL This Period (last page this line n | umber only) | | |

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| 171 | | | Use separate schedule(s) | (che | eck only | / or | ne) | | | | |
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| 111 | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c | 12 | Г | 17 |
| | y information copied from such Reports and St. for commercial purposes, other than using the | | | | for the | | oose of | soliciting | g contri | ibutio | ns |
| $\left\langle \right\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initi Stanley, Jim, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | |
| | Mailing Address 14009 Old Galena Rd. Bldg E | | | | м м 03 | / | D D 31 | / Y | 2020 | ү ү 0 | 1 |
| | City Mossville | State IL | Zip Code 61552 | | | | i on ID : / | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | . | | 1(| 03.39 | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) nnology Manager | | Me | emo | Item | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 310.17 | 1 | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initi Starr, Jim, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | |
| | Mailing Address 875 W Cushing Street | | | | м м 03 | / | 31 | / Y | 2020 | | |
| | City Tucson | State AZ | Zip Code 85745 | | | | on ID : A | | | | |
| | FEC ID number of contributing federal political committee. | C | | | Amount | OT | Each Re | eceipt th | | 100 87.00 | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) bal Accounts General Mgr | | Me | emo | Item | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 561.00 |] | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initi Steiner, Kevin, , , | al) or Full O | rganization Name | | Date of | Re | ceipt | | | | |
| | Mailing Address 100 NE Adams | | | | 03 ^M | 1 | 31 | L | 2020 |) | 1 |
| | City Peoria | State IL | Zip Code 61629 | | | | i on ID : <i>I</i> Each Re | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | y . | y | 15 | 51.69 | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) neering Manager-1 | | M | emo | ttem | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 455.07 | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | • | | | y | 5 | 44 | 42.08 | |
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| TEMIZED RECEIPTS for each category of the Detailed Summary Page | | | | |
| Any information copied from such Reports an or for commercial purposes, other than using | | | | citing contributions |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | |
| Full Name of Individual (Last, First, Middle A. Stevens, Janet, , , | e Initial) or Full C | rganization Name | Date of Receipt | |
| Mailing Address 3701 State Road 26 East | | | 03 31 / | 2020 |
| City Lafayette | State IN | Zip Code 47905 | Transaction ID : A20 | |
| FEC ID number of contributing federal political committee. | С | | | 153.17 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) iness Support Manager | Memo Item | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 459.51 | | |
| Full Name of Individual (Last, First, Middle B. Stevenson, Brad, , , | e Initial) or Full C | rganization Name | Date of Receipt | |
| Mailing Address 100 NE Adams | | | 03 31 | 2020 |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A202 Amount of Each Recei | |
| FEC ID number of contributing federal political committee. | С | | | 144.55 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) S Manager II | Memo Item | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 433.65 | | |
| Full Name of Individual (Last, First, Middle C. Stockwell, Tammi, , , | e Initial) or Full C | rganization Name | Date of Receipt | |
| Mailing Address 200 NE Adams Street | 01-1- | | 03 / D D / 31 | Y Y Y Y 2020 |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A20 Amount of Each Recei | |
| FEC ID number of contributing federal political committee. | С | | | 122.08 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) & Compliance Manager | Memo Item | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 366.24 | | |
| SUBTOTAL of Receipts This Page (optiona | l) | | | 419.80 |
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SCHEDULE A (FEC Form 3X) DEAEIDTA

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| | ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c 15 | 12 | 17 | | |
| | information copied from such Reports and Sta or commercial purposes, other than using the | | | | for the | | pose of | soliciting | g contribu | tions | | |
| \ | IAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | |
| | ull Name of Individual (Last, First, Middle Initi Stone, Brian, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | |
| _ | Aailing Address Illinois Route 29 at Old Galena PO Box 610 | | | | 03 ^M | 1 | D 31 | D / Y | ү ү 2020 | Y | | |
| | Sity Mossville | State IL | Zip Code 61552 | | | | | A2020-6 Receipt th | | | | |
| | EC ID number of contributing ederal political committee. | С | | | | | | | 118. | 32 | | |
| C | lame of Employer (for Individual) Caterpillar Inc. Receipt For: | Man | upation (for Individual) ufacturing Engrg Mgr 1 | | Me | emc | tem | | | | | |
| Г | Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 354.96 | | | | | | | | | |
| B | ull Name of Individual (Last, First, Middle Initi Stone, Casey, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | |
| _ | Aailing Address 100 Tractor Drive Building CV | State | Zip Code | | 03 | 1 | 31 | | 2020 | Y | | |
| | East Peoria | IL | 61630 | | | | - | A2020-6 Receipt th | | | | |
| | EC ID number of contributing ederal political committee. | C | | | | | - | | 67. | _ | | |
| | Name of Employer (for Individual) aterpillar Inc. | | upation (for Individual) rmation Technology Mgr | | Me | emc | tem | | | | | |
| F | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date 201.03 |] | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initi Stone, Jonathan, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | |
| _ | Aailing Address 27Th & Pershing Rd PO Box 1430 | | | | м м 03 | / | 31 | | ү ү 2020 | Y | | |
| | Dity Decatur | State IL | Zip Code 62525 | | | | | A2020-6 Receipt th | | | | |
| | EC ID number of contributing ederal political committee. | С | | | <u> </u> | | , | . , | 165. | 06 | | |
| C | lame of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) elopmental Assignment | | M | emo | ttem | | | | | |
| F | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 494.69 |] | | | | | | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| | | | Use separate schedule(s) | (che | (check only one) | | | | | | | |
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| ITEMIZED RECEIPTS | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b | 11c 15 | 12 | 17 | | |
| | nation copied from such Reports and a nmercial purposes, other than using th | | | | or the | | pose of | soliciting | contribut | tions | | |
| \ \ | OF COMMITTEE (In Full) Caterpillar Inc. Employee I | Political A | ction Committee | | | | | | | | | |
| | ame of Individual (Last, First, Middle Ir ton, Mark, , , | iitial) or Full O | rganization Name | C | Date of | Re | eceipt | | | | | |
| Mailing | Address 1720 W Kingsbury | | | | м м 03 | / | D D 31 | / Y | y y 2020 | Y | | |
| City Seguir | n | State TX | Zip Code 78155 | A | | | | A2020-6 eceipt th | 04594 is Period | | | |
| | D number of contributing political committee. | С | | | | | -y | | 416.0 | 67 | | |
| Caterp | of Employer (for Individual) illar Inc. | | upation (for Individual) IPSD Large Engines | | Me | emo | tem | | | | | |
| | ot For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | | | |
| | ame of Individual (Last, First, Middle Ir :her, Art, , , | iitial) or Full O | rganization Name | | Date of | f Re | eceipt | | | | | |
| | 9 Address 100 NE Adams Cileungsi Bogor | State | Zin Code | | м м 03 | / | D D D 31 | / Y | 2020 | Y | | |
| City Peoria | | State IL | Zip Code 61629 | | | | - | A2020-6 | 05330 is Period | | | |
| FEC II | D number of contributing I political committee. | С | | | | | | 159.8 | 86 | | | |
| | of Employer (for Individual) illar Inc. | | upation (for Individual) ility Manager | | Me | emo | tem | | | | | |
| | ot For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 479.58 |] | | | | | | | | |
| | ame of Individual (Last, First, Middle Ir up, Dana, , , | itial) or Full O | rganization Name | | Date of | Re | eceipt | | | | | |
| | Address 100 Tractor Drive Building CV | State | Zin Code | [| ^M 03 | ' | 31 | | 2020 | Y | | |
| City East F | Peoria | IL | Zip Code 61630 | A | | | | A2020-6 eceipt th | is Period | | | |
| | D number of contributing I political committee. | С | | | | | y . | , | 213.9 | 92 | | |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | | | upation (for Individual) val Procurement Manager III | | M | emo | ttem | | | | | |
| F | Primary General Other (specify) | Aggregate | Year-to-Date ▼ 641.76 |] | | | | | | | | |
| SUBTOT | AL of Receipts This Page (optional) | | | | | | , . | 9 | 790.4 | 45 | | |
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| 17 | | | Use separate schedule(s) | (check only one) | | | | | | | | | |
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| 11 | TEMIZED RECEIPTS | | for each category of the Detailed Summary Page | | 11a 13 | | 11b 14 | 11c | 12 | 17 | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the r | | | | for the | | pose of | soliciting | g contribu | tions | | | |
| $\overline{)}$ | NAME OF COMMITTEE (In Full) | | | | | | | | | | | | |
| | The Caterpillar Inc. Employee Pc | olitical Ad | ction Committee | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Sundell, Dan, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | |
| | Mailing Address Illinois Route 29 at Old Galena I PO Box 610 | Ro | | 03 31 2020 | | | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | | | | A2020-6 Receipt th | 04542 his Period | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | - | 1.45 | 136. | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) of Operations | | Me | emo | ttem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 409.95 | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Swick, Chris, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | |
| | Mailing Address 5012 Womack Rd | | | | 03 | / | D 1 | | 2020 | Y | | | |
| | City Sanford | State NC | Zip Code 27330 | | | | - | A2020-6 | 05318 nis Period | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | 82. | _ | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ineering Manager-1 | | Me | emo | tem | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 246.24 | | | | | | | | | | |
| <u> </u> | Full Name of Individual (Last, First, Middle Initia Taphorn, Matt, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | |
| | Mailing Address 100 Tractor Drive Building CV | 01-1- | 7- 0-1- | | 03 | / | 31 | | 2020 | Y | | | |
| | City East Peoria | State IL | Zip Code 61630 | | | | | A2020-6 Receipt th | nis Period | | | | |
| | FEC ID number of contributing federal political committee. | С | | | <u> </u> | | , . | - 9 | 123. | 35 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Business Resource Manager | | Me | emo | o Item | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 370.05 | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | •••••• | | | | , | . , | 342. | 08 | | | |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) |
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| ITEMIZED RECEIPTS for each category of the Detailed Summary Page | | ✗ 11a 11b 11c 12 13 14 15 16 1 | |
| | | | e to solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | ee Political A | ction Committee | |
| Full Name of Individual (Last, First, Mid A. Taylor, Carietha, , , | dle Initial) or Full C | rganization Name | Date of Receipt |
| Mailing Address 1720 W Kingsbury | | | M M / D D / Y Y Y Y 03 31 2020 |
| City Seguin | State TX | Zip Code 78155 | Transaction ID : A2020-604717 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 117.11 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ster Black Belt Manager | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 351.33 | 1 |
| Full Name of Individual (Last, First, Mid B. Thompson, Clay, , , | dle Initial) or Full C | rganization Name | Date of Receipt |
| Mailing Address 701 Waterford Way Suite 600 | | | 03 / D D / Y Y Y Y 2020 |
| City Miami | State FL | Zip Code 33126 | Transaction ID : A2020-605123 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 416.66 |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) e President CFSC | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 |] |
| Full Name of Individual (Last, First, Mid C. Tilly, Brad, , , | dle Initial) or Full C | rganization Name | Date of Receipt |
| Mailing Address 875 W Cushing Street | | | 03 / D D / Y Y Y Y 31 2020 |
| City Tucson | State AZ | Zip Code 85745 | Transaction ID : A2020-605556 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 92.32 |
| Name of Employer (for Individual) Caterpillar Inc. Receipt For: | Reg | upation (for Individual) ion Mgr - Global Accounts | Memo Item |
| Primary General Other (specify) | Aggregate | Year-to-Date ▼ 276.96 | 1 |
| SUBTOTAL of Receipts This Page (option | ' nal) | | 626.09 |
| TOTAL This Period (last page this line nu | imber only) | | |

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | |
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| | | | person for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employ | yee Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Mi Tippey, Trent, , , | ddle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address Illinois Route 29 at Olo PO Box 610 | | | 03 / D D / Y Y Y Y Y 2020 | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-605369 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Imercial Manager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | |
| Full Name of Individual (Last, First, Mi B. Tobias, Mitch, , , | ddle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 100 Tractor Drive Building CV Dock CV | 0.4 | 7th Oada | 03 / D D / Y Y Y Y 2020 | | | | | | | |
| City East Peoria | State | Zip Code 61630 | Transaction ID : A2020-604428 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 125.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) cial Assignment | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 320.74 |] | | | | | | | |
| Full Name of Individual (Last, First, Mi C. Toher, Susan, , , | ddle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address Illinois Route 29 at Ole PO Box 610 | | | 03 / D D / Y Y Y Y 2020 | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-605359 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 100.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) luct Manager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | | | |
| SUBTOTAL of Receipts This Page (option | onal) | | 325.00 | | | | | | | |
| TOTAL This Period (last page this line r | umber only) | | | | | | | | | |

SCHEDULE A (FEC Form 3X)

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| | | | for each category of the Detailed Summary Page | × | 11a 13 | | 11b 14 | 11c 15 | 12 | 17 |
| | y information copied from such Reports and S for commercial purposes, other than using the | | | | or the p | | ose of s | soliciting | contrib | utions |
| \rangle | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee P | olitical A | ction Committee | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Init Tomlins, Greg, , , | ial) or Full O | rganization Name | D | ate of | Re | ceipt | | | |
| | Mailing Address 100 Tractor Drive Building CV | | | I I | 03 | / | D D D 31 | / Y | y y 2020 | Y |
| | City East Peoria | State IL | Zip Code 61630 | | | | on ID : A Each Re | | | d |
| | FEC ID number of contributing federal political committee. | С | | | | | y | | 164 | 4.08 |
| Name of Employer (for Individual) Caterpillar Inc. | | | upation (for Individual) ineering Manager-1 | | Me | emo | Item | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 492.24 | | | | | | | |
| | Full Name of Individual (Last, First, Middle Init Tomsovic, Brian, , , | ial) or Full O | rganization Name | D | ate of | Re | ceipt | | | |
| | Mailing Address 1720 W Kingsbury | | | | м м 03 | / | D D 31 | / Y | 2020 | Y |
| | City Seguin | State TX | Zip Code 78155 | | | | on ID : A | | | d |
| - | FEC ID number of contributing federal political committee. | C | | Amount of Each Receipt this Period 78.08 | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | Occupation (for Individual) Marketing Manager | | | Me | emo | Item | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 234.24 | | | | | | | |
| | Full Name of Individual (Last, First, Middle Init Umpleby, Donald, , , III | ial) or Full O | rganization Name | D | ate of | Re | ceipt | | | |
| | Mailing Address 100 NE Adams | | | | ^M 03 | / | D D D 31 | / Y | 2020 | Y |
| | City Peoria | State IL | Zip Code 61629 | | | | on ID : A Each Re | | | d |
| | FEC ID number of contributing federal political committee. | С | | | | | , | , | 416 | 6.67 |
| Name of Employer (for Individual) Caterpillar Inc. | | Occupation (for Individual) Chief Executive Officer | | | Me | emo | ltem | | | |
| | Receipt For: Primary General Other (specify) | Aggregate |] | | | | | | | |
| รเ | JBTOTAL of Receipts This Page (optional) | | | | | | , | . , | 658 | 3.83 |
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SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

FOR LINE NUMBER:

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| | TEMIZED RECEIPTS for each category of the Detailed Summary Page | | | ¥ 11a 13 | | 11b 14 | 11c | 12 16 | 17 | | | | |
| | / information copied from such Reports and Sta for commercial purposes, other than using the | | | | n for the | | pose of | soliciting | contribu | tions | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | |
| Α. | Full Name of Individual (Last, First, Middle Initia Utley, Tana, , , | al) or Full O | rganization Name | | Date o | f Re | eceipt | | | | | | |
| | Mailing Address Illinois Route 29 at Old Galena PO Box 610 | Ro | | 03 31 2020 | | | | | | | | | |
| | City Mossville | State IL | Zip Code 61552 | | | | | A2020-6 Receipt th | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | - | | 416. | 67 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) President | | Μ | lemo | o Item | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 | | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initia Vanek, Jeff, , , | al) or Full O | rganization Name | | Date o | f Re | eceipt | | | | | | |
| | Mailing Address 100 NE Adams Suite 902 | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | | |
| | City Peoria | State | Zip Code 61629 | F | | | | A2020-6 | | | | | |
| | FEC ID number of contributing federal political committee. | C | | | | | Amount of Each Receipt this Period | | | | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) rict Manager | | Memo Item | | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 414.69 | 9 | | | | | | | | | |
| | Full Name of Individual (Last, First, Middle Initia Varghese, Asha, , , | al) or Full O | rganization Name | | Date o | f Re | eceipt | | | | | | |
| | Mailing Address 510 Lake Cook Road | 1 | | | 03 | / | 31 | | 2020 [°] | Y | | | |
| | City Deerfield | State IL | Zip Code 60015 | | | | | A2020-6 Receipt th | | | | | |
| | FEC ID number of contributing federal political committee. | C | | | | | y | . y | 193. | 02 | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | upation (for Individual) ident Cat Foundation | | M | lemo | o Item | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 579.00 | 6 | | | | | | | | | |
| | JBTOTAL of Receipts This Page (optional) | | | | [. [. | | , , , , | , , | 747. | 92 | | | |

SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

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| ITEMIZED RECEIP | 13 | for each category of the Detailed Summary Page | X 11a 11b 11c 12 |
| | | | 13 14 15 16 1 erson for the purpose of soliciting contributions to solicit contributions from such committee. |
| NAME OF COMMITTEE The Caterpillar Ir | (In Full) nc. Employee Political / | Action Committee | |
| Full Name of Individual (A. Volz, Carl, , , | Last, First, Middle Initial) or Full | Organization Name | Date of Receipt |
| Mailing Address 100 NE | Adams | | M M / D D / Y Y Y Y 03 31 2020 |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604628 Amount of Each Receipt this Period |
| FEC ID number of contri federal political committe | ů. | | 72.56 |
| Name of Employer (for li Caterpillar Inc. | , | cupation (for Individual) . Governmental Affairs Rep | Memo Item |
| Receipt For: Primary G Other (specify) ▼ | General Aggregat | e Year-to-Date ▼ 217.68 |] |
| Full Name of Individual (B. Walters, Tom , , , | Last, First, Middle Initial) or Full | Organization Name | Date of Receipt |
| Mailing Address 600 S. S Suite 10 |)1 | M M / D D / Y | |
| City Springfield | State IL | Zip Code 62704 | Transaction ID : A2020-605260 Amount of Each Receipt this Period |
| FEC ID number of contri federal political committe | buting | | |
| Name of Employer (for l Caterpillar Inc. | , | ccupation (for Individual) S State & Americas GGCA Dir. | Memo Item |
| Receipt For: Primary C Other (specify) ▼ | Seneral Aggregat | e Year-to-Date ▼ 675.00 |] |
| Full Name of Individual (C. Walton, Dave, , , | Last, First, Middle Initial) or Full | Organization Name | Date of Receipt |
| Mailing Address 2120 W PO Bo City | est End Avenue x 340001 State | Zip Code | 03 / 31 2020 |
| Nashville | TN | 37203 | Transaction ID : A2020-604385 Amount of Each Receipt this Period |
| FEC ID number of contri federal political committe | <u> </u> | | 416.66 |
| Name of Employer (for In Caterpillar Inc. | , | cupation (for Individual) ce President | Memo Item |
| Receipt For: Primary G Other (specify) | Seneral Aggregat | e Year-to-Date ▼ 1249.98 |] |
| SUBTOTAL of Receipts Th | nis Page (optional) | | 714.22 |
| TOTAL This Period (last page | age this line number only) | | |

SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| ITEMIZED RECEIPTS | - | Use separate schedule(s) | (check only one) | | | | | | | | | |
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| | | | erson for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Empl | oyee Political A | ction Committee | | | | | | | | | | |
| Full Name of Individual (Last, First, Walton, Jay, , , | Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 31 2020 | | | | | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-605133 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 416.66 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) Caterpillar Defense | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | | | | | |
| Full Name of Individual (Last, First, B. Waters, Bill, , , | Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 100 NE Adams | | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| City Peoria | State | Zip Code 61629 | Transaction ID : A2020-605201 | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | Amount of Each Receipt this Period | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) iness Support Manager | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 453.69 |] | | | | | | | | | |
| Full Name of Individual (Last, First, Weer, Noel, S, , | Middle Initial) or Full O | rganization Name | Date of Receipt | | | | | | | | | |
| Mailing Address 3000 North 27th Str | 1 | | 03 / D D / Y Y Y Y 2020 | | | | | | | | | |
| City Decatur | State IL | Zip Code 62526 | Transaction ID : A2020-605411 Amount of Each Receipt this Period | | | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 72.08 | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) s & Oprns PIng Coord 2 | Memo Item | | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 214.88 | 1 | | | | | | | | | |
| SUBTOTAL of Receipts This Page (or | tional) | | 639.97 | | | | | | | | | |
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SCHEDULE A (FEC Form 3X) - DEAEIDTA

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| | | Use separate schedule(s) | (check only one) | | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | X 11a 11b 11c 12 13 14 15 16 11 | | | | | | | |
| | | | person for the purpose of soliciting contributions be to solicit contributions from such committee. | | | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employed | e Political A | ction Committee | | | | | | | | |
| Full Name of Individual (Last, First, Middle Weiss, Karl, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 14009 Old Galena Rd. Bldg A | | | 03 31 Y Y Y Y Y 2020 | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | Transaction ID : A2020-605110 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.67 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) President | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | | | |
| Full Name of Individual (Last, First, Middle Weston, Douglas, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 100 NE Adams | | | | | | | | | | |
| City Peoria | State | 2ip Code 61629 | Transaction ID : A2020-604532 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 75.00 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ector of Procurement | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 225.00 |] | | | | | | | |
| Full Name of Individual (Last, First, Middle C. Whiteley, Douglas, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | | | |
| Mailing Address 2416 Mid-America Industr | ial Dr | | M M / D D / Y | | | | | | | |
| City Boonville | State MO | Zip Code 65233-2635 | Transaction ID : A2020-605297 Amount of Each Receipt this Period | | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 180.68 | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) lity Manager | Memo Item | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 542.04 | | | | | | | | |
| SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line numl | , | | 672.35 | | | | | | | |

SCHEDULE A (FEC Form 3X) _____

FOR LINE NUMBER:

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| ITEMIZED RECEIPTS | Use separate schedule(s) | | (check d | | | | | | | | | | |
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| Any information copied from such Report or for commercial purposes, other than | | | erson for th | | rpose of | soliciting | contribut | tions | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Emplo | oyee Political A | ction Committee | | | | | | | | | | | |
| Full Name of Individual (Last, First, M A. Wiest, Valerie, , , | 1iddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | | |
| Mailing Address 14009 Old Galena Ro Bldg F | d. | | | 03 31 2020 | | | | | | | | | |
| City Mossville | State IL | Zip Code 61552 | | | | A2020-6 Receipt th | | _ | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | | | 91.: | 23 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) ineering Manager 4 | | Mem | io Item | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 273.69 |] | | | | | | | | | | |
| Full Name of Individual (Last, First, M Williamson, Ted, , , | liddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | | |
| Mailing Address 100 NE Adams | | | | | | | 2020 | Y | | | | | |
| City Peoria | State | 2ip Code 61629 | | | | A2020-6 Receipt th | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | 68. | | | | | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) S Black Belt-3 | | Mem | io Item | | | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 206.28 | 206.28 | | | | | | | | | | |
| Full Name of Individual (Last, First, M C. Withers, Chad, , , | liddle Initial) or Full O | rganization Name | Date | of R | eceipt | | | | | | | | |
| Mailing Address 510 Lake Cook Road | | | 0: | 3 | / D 1 | | 2020 | Y | | | | | |
| City Deerfield | State IL | Zip Code 60015 | | | | A2020-6 Receipt th | | | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | , | , | 416. | 66 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) f Tax Officer | | Merr | io Item | | | | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 1249.98 |] | | | | | | | | | | |
| SUBTOTAL of Receipts This Page (opt | ional) | | | | , . , | . , | 576.6 | 65 | | | | | |
| TOTAL This Period (last page this line | number only) | | | | - | - | | | | | | | |

SCHEDULE A (FEC Form 3X) _____

FOR LINE NUMBER:

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| 171 | | | Use separate schedule(s) | (che | eck only | y or | ne) | | - | | | | | |
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| 111 | | | for each category of the Detailed Summary Page | × | 11a | | 11b | 11c | | | 17 | | | |
| | y information copied from such Reports and Sta for commercial purposes, other than using the | | | | | | | | | ributio | | | | |
| $\left\rangle$ | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical A | ction Committee | | | | | | | | | | | |
| A. | Full Name of Individual (Last, First, Middle Initia Wolbert, Robert, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 3570 Granite Way | | | | 03 12 2020 | | | | | | | | | |
| | City Martinez | State GA | Zip Code 30907-8974 | | | | | A2020-5 eceipt th | | | _ | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | | | | 76.98 | 3 | | | |
| Name of Employer (for Individual) Progress Rail Services | | | upation (for Individual) nager | | M | emc | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 461.88 |] | | | | | | | | | | |
| в. | Full Name of Individual (Last, First, Middle Initia Wolbert, Robert, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 3570 Granite Way | | | 03 / 26 / Y Y Y Y 26 2020 | | | | | | | | | | |
| | City Martinez | State GA | Zip Code 30907-8974 | - | | | | A2020-6 | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | Amount of Each Receipt this Pe | | | | | | 76.98 | 3 | | | |
| | Name of Employer (for Individual) Progress Rail Services | | | | M | emc | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 538.86 |] | | | | | | | | | | |
| с. | Full Name of Individual (Last, First, Middle Initia Wurtzbacher, Corey, , , | al) or Full O | rganization Name | | Date of | Re | eceipt | | | | | | | |
| | Mailing Address 100 Sanford Street | 1 | | | 03 | 1 | D D D 31 | / Y | 2020 | | | | | |
| | City East Peoria | State IL | Zip Code 61611 | | | | | A2020-6 eceipt th | | | | | | |
| | FEC ID number of contributing federal political committee. | С | | | | | y . | . <u>,</u> | 2 | 10.00 |) | | | |
| | Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) duct Manager | | M | emo | tem | | | | | | | |
| | Receipt For: Primary General Other (specify) | Aggregate |] | | | | | | | | | | | |
| s | UBTOTAL of Receipts This Page (optional) | | | • | | | , | ., | 3 | 63.96 | 6 | | | |
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SCHEDULE A (FEC Form 3X) _____

FOR LINE NUMBER:

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| | - | Use separate schedule(s) | (check only one) | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 13 14 15 16 □ | | | | | |
| | | | 13 14 15 16 berson for the purpose of soliciting contributions e to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employe | e Political A | ction Committee | | | | | | |
| Full Name of Individual (Last, First, Middle A. Yergler, Daren, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 100 NE Adams | | | M M / D D / Y Y Y Y 03 31 2020 | | | | | |
| City Peoria | State IL | Zip Code 61629 | Transaction ID : A2020-604639 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 167.51 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) d Human Resource Mgr | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 502.53 |] | | | | | |
| Full Name of Individual (Last, First, Middle B. Younessi, Ramin, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-605051 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 416.67 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) up President | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 1250.01 |] | | | | | |
| Full Name of Individual (Last, First, Middle C. Young, Michael, , , | e Initial) or Full C | rganization Name | Date of Receipt | | | | | |
| Mailing Address 510 Lake Cook Road | | | 03 / D D / Y Y Y Y 2020 | | | | | |
| City Deerfield | State IL | Zip Code 60015 | Transaction ID : A2020-604821 Amount of Each Receipt this Period | | | | | |
| FEC ID number of contributing federal political committee. | С | | 100.00 | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) cial Assignment | Memo Item | | | | | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 300.00 |] | | | | | |
| SUBTOTAL of Receipts This Page (optiona |) | | 684.18 | | | | | |
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SCHEDULE A (FEC Form 3X)

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| | Use separate schedule(s) | | (check only one) | | | | | | |
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| ITEMIZED RECEIPTS | | for each category of the Detailed Summary Page | ✗ 11a 11b 11c 12 | | | | | | |
| | | | 13 14 15 16 17 person for the purpose of soliciting contributions ee to solicit contributions from such committee. | | | | | | |
| NAME OF COMMITTEE (In Full) | | | | | | | | | |
| The Caterpillar Inc. Employee | e Political A | ction Committee | | | | | | | |
| Full Name of Individual (Last, First, Middle A. Zemke, Wayne, , , | Initial) or Full O | rganization Name | Date of Receipt | | | | | | |
| Mailing Address 10203 Sam Houston Park Drive Suite 4000 | | | 03 / D D / Y Y Y Y Y 2020 | | | | | | |
| City Houston | State TX | Zip Code 77064 | Transaction ID : A2020-605420 Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | 75.00 | | | | | | |
| Name of Employer (for Individual) Caterpillar Inc. | | upation (for Individual) keting Manager | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 225.00 | | | | | | | |
| Full Name of Individual (Last, First, Middle B. | Initial) or Full O | rganization Name | Date of Receipt | | | | | | |
| Mailing Address | | | | | | | | | |
| City | State | Zip Code | Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | C | | | | | | | | |
| Name of Employer (for Individual) | Occi | upation (for Individual) | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date V | | | | | | | |
| Full Name of Individual (Last, First, Middle | Initial) or Full O | rganization Name | Date of Receipt | | | | | | |
| Mailing Address | | | | | | | | | |
| City | State | Zip Code | Amount of Each Receipt this Period | | | | | | |
| FEC ID number of contributing federal political committee. | С | | | | | | | | |
| Name of Employer (for Individual) | Осси | upation (for Individual) | Memo Item | | | | | | |
| Receipt For: Primary General Other (specify) | | Year-to-Date ▼ |] | | | | | | |
| SUBTOTAL of Receipts This Page (optional) | | | 40816.38 | | | | | | |

| | CHEDULE B (FEC Form 3X) | | | | | | NUMBER: PAGE 83 OF | | | | | | 88 | |
|----|---|--|--|---|-------------|-----------|----------------------|--|----------|--|--------|----------------|-----|--|
| | EMIZED DISBURSEMENTS | for each | ach category of the liled Summary Page | | 21b 28a | 22 28b | × 23 280 | ; ; | 26 29 | 27 | | | | |
| | y information copied from such Reports and Stater for commercial purposes, other than using the nar | | | | | | | | | | | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit | tical Acti | on Committe | е | | | | | | | | | | |
| Α. | Full Name (Last, First, Middle Initial) Chrissy Houlahan for Congress | | | | | | Date of Disbursement | | | | | | | |
| | Mailing Address PO Box 222 | Otata | Zie Oode | | | | 03 | | 03 | | 2020 | - | | |
| | Devon Purpose of Disbursement | State PA | Zip Code 19333 | _ | _ | _ | | entificat C00637 | | Number 1 | | | | |
| | Contribution Candidate Name Houlahan, Chrissy, , , Office Sought: x House Disburser | 011 Category/ Type | | | | | | | | D : B7584 Disbursem | ent th | is Per 0.00 | iod | |
| | Senate President State: PA District: 06 | Senate x Primary General President Other (specify) ▼ | | | | | | mo Iten | n | yes | | | | |
| в. | Full Name (Last, First, Middle Initial) Cindy Hyde-Smith for US Senate | ndy Hyde-Smith for US Senate | | | | | | Date of Disbursement | | | | | | |
| | Mailing Address P.O. Box 2930 City State Zip Code | | | | | | | | 03 | | 2020 |) | | |
| | City Jackson Purpose of Disbursement Contribution Candidate Name Hyde-Smith, Cindy, , , | son MS 392 Dise of Disbursement tribution lidate Name | | | 011 C C0067 | | | | | fication Number 0675348 action ID : B758490 Each Disbursement this Period | | | | |
| | Office Sought: House Disburser | ment For: 2 Primary Other (spec | General | | | | 5000.00 | | | | | | | |
| C. | Full Name (Last, First, Middle Initial) Mike Kelly for Congress | | | | | | Date of Disbursement | | | | | | | |
| | Mailing Address PO Box 476 | | | | | | 03 | Ľ | 03 | | 2020 | | | |
| | City State Zip Code Lyndora PA 16045 Purpose of Disbursement Contribution 011 Candidate Name Category/ | | | | | | | FEC Identification Number C C00474189 Transaction ID : B758489 Amount of Each Disbursement this Period | | | | | | |
| | Kelly, George J. (Mike), , , Type Office Sought: X House Senate Primary General President Other (specify) V | | | | | | 2500.00 | | | | | | | |
| s | UBTOTAL of Disbursements This Page (optional) | | | | | • | | | | | 850 | 00.00 | Π | |
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| SCHEDULE B (FEC Form 3X) | | | FOR LINE | NUMBER: PAGE 84 OF 88 | | | | | |
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| ITEMIZED DISBURSEMENTS | for each | arate schedule(s) category of the Summary Page | (check on 21b 28a | ly one) 22 X 23 26 27 | | | | | |
| Any information copied from such Reports and State or for commercial purposes, other than using the na | ements may me and add | not be sold or us lress of any politic | ed by any per al committee | son for the purpose of soliciting contributions to solicit contributions from such committee. | | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Pol | itical Act | ion Committe | ee | | | | | | |
| Full Name (Last, First, Middle Initial) A. Ann Wagner for Congress | | | | Date of Disbursement | | | | | |
| Mailing Address P.O. Box 50 | | 1 | | 03 13 2020 | | | | | |
| City Ballwin | State MO | Zip Code 63022 | | FEC Identification Number | | | | | |
| Purpose of Disbursement Contribution Candidate Name | | | 011 | C C00495846 Transaction ID : B759694 | | | | | |
| Wagner, Ann, , , | | | Category/ Type | Amount of Each Disbursement this Period 1500.00 | | | | | |
| Office Sought: Senate President State: MO District: 02 | Senate x Primary General President Other (specify) ▼ | | | | | | | | |
| Full Name (Last, First, Middle Initial) B. Brady for Congress Mailing Address P.O. Box 8277 | Date of Disbursement | | | | | | | | |
| City The Woodlands Purpose of Disbursement Contribution Candidate Name Brady, Kevin, P, , | , P, , Category/ Type | | | FEC Identification Number C C00311043 Transaction ID : B759692 Amount of Each Disbursement this Period | | | | | |
| Office Sought: House Disburse Senate President State: TX District: 08 | ement For: Primary Other (spe | X General | | 5000.00 | | | | | |
| Full Name (Last, First, Middle Initial) C. Committee To Re-elect Henry Har | nk Johns | son | | Date of Disbursement | | | | | |
| Mailing Address 4153 Flat Shoals Parkway Suite 3 | 322 | | | 03 13 2020 | | | | | |
| City Decatur Purpose of Disbursement Contribution | Decatur GA 30034 Purpose of Disbursement | | | | | | | | |
| Candidate Name Johnson, Hank, , , | Transaction ID : B759695 Amount of Each Disbursement this Period | | | | | | | | |
| Office Sought: Image: Markow House Disburse Senate President Image: Markow House State: GA District: 04 | ement For: Primary Other (spe | General | | 1000.00 | | | | | |
| SUBTOTAL of Disbursements This Page (optional). | | | | 7500.00 | | | | | |
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| SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS | | | r one) 22 X 23 26 27 28b 28c 29 30b on for the purpose of soliciting contributions |
|--|---|--------------------------|---|
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Poli | tical Action Committe | 90 | |
| Full Name (Last, First, Middle Initial) A. Hern for Congress Mailing Address 8630 S Peoria Ave | | Date of Disbursement | |
| Tuisa Purpose of Disbursement Contribution Candidate Name Hern, Kevin, R, , Office Sought: | State OK Zip Code 74132 ment For: 2020 Primary General Other (specify) | 011 Category/ Type | FEC Identification Number C C00636092 Transaction ID : B759689 Amount of Each Disbursement this Period 2000.00 Memo Item |
| Cape Girardeau Purpose of Disbursement Contribution Candidate Name Smith, Jason, , , Office Sought: X House Disburse Senate President State: MO District: 08 | State Zip Code MO 63702 | 011 Category/ Type | Date of Disbursement 03 1 13 2020 FEC Identification Number C C00541862 Transaction ID : B759693 Amount of Each Disbursement this Period 2500.00 Memo Item |
| Peoria Purpose of Disbursement Contribution Candidate Name LaHood, Darin, , , | State Zip Code IL 61612 ment For: 2020 | 011 Category/ Type | Date of Disbursement |
| State: IL District: 18 SUBTOTAL of Disbursements This Page (optional). | Primary General Other (specify) ▼ | ······ • | Memo Item 9500.00 |
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| SCHEDULE B (FEC Form 3X) | Use sena | rate schedule(s) | FOR LINE | - |
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| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | (check only 21b 28a | 22 X 23 26 27 28b 28c 29 30b | |
| Any information copied from such Reports and State or for commercial purposes, other than using the nar | | | | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Poli | tical Action | on Committe | е | |
| Full Name (Last, First, Middle Initial) A. Scalise for Congress | | | | Date of Disbursement |
| Mailing Address PO Box 23219 | State | Zip Code | | |
| Jefferson Purpose of Disbursement | LA | 70183 | | FEC Identification Number |
| Contribution Candidate Name | Contribution 011 | | | Transaction ID : B759690 Amount of Each Disbursement this Period |
| Scalise, Steve, , , Office Sought: x House Disburse | Scalise, Steve, , , Type | | | |
| State: LA District: 01 | Senate President | | | Memo Item |
| Full Name (Last, First, Middle Initial) B. | | Date of Disbursement | | |
| Mailing Address | | | | |
| City | State | Zip Code | | FEC Identification Number |
| Purpose of Disbursement Candidate Name Category/ | | | | C Amount of Each Disbursement this Period |
| Office Sought: House Disburse Senate President | ment For: Primary Other (spec | General | Туре | Memo Item |
| State: District: | | | | |
| C. Mailing Address | | Date of Disbursement | | |
| | State | Zip Code | | FEC Identification Number |
| Purpose of Disbursement | | | | |
| | | | Category/ Type | Amount of Each Disbursement this Period |
| Office Sought: House Disburse Senate President | ment For: Primary Other (spec | General cify) ▼ | | Memo Item |
| State: District: | | | | |
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| SC | CHEDULE B (FEC Form 3X) | | | FOF | RLINE | NUMBER: PAGE 87 OF 88 |
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| ITEMIZED DISBURSEMENTS | | Use separate schedule(s) for each category of the Detailed Summary Page | | | eck only 21b 28a | |
| | y information copied from such Reports and Stater for commercial purposes, other than using the nar | | | | | |
| | NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit | tical Acti | on Committe | е | | |
| Α. | Full Name (Last, First, Middle Initial) Newsom for Governor 2022 Mailing Address 4104 24th Street #766 | | | | | Date of Disbursement |
| | San Francisco Purpose of Disbursement | State CA | Zip Code 94114 | | _ | FEC Identification Number |
| | | 011 Catego Type | | | ory/ | Transaction ID : B758491 Amount of Each Disbursement this Period 5000.00 |
| | State: CA District: | Primary Other (spec | General becify) ▼ | | | Memo Item |
| B. | Full Name (Last, First, Middle Initial) Cooper for North Carolina Mailing Address 434 Fayetteville St. Ste 2020 | 2020 | | | | Date of Disbursement |
| | City State Zip Code Raleigh NC 27601 Purpose of Disbursement 011 G-2020 Governor NC 011 Candidate Name Category/ Cooper, Roy, A, , III Type | | | ory/ | FEC Identification Number C Transaction ID : B761095 Amount of Each Disbursement this Period | |
| | | Type hent For: 2020 Primary X General Other (specify) | | | | 5000.00 Memo Item |
| C. | Full Name (Last, First, Middle Initial) Keith Bell Campaign | | | | Date of Disbursement | |
| | Forney Purpose of Disbursement G-2020 State House 4 TX Candidate Name Bell, Keith, , , | State TX ment For: 2 Primary Other (spec | x General | 011 Categ Typ | ory/ | 03 13 2020 FEC Identification Number C Transaction ID : B759688 Amount of Each Disbursement this Period 1000.00 Memo Item |
| s | UBTOTAL of Disbursements This Page (optional) | | | | 🕨 | 11000.00 |
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| SCHEDULE B (FEC Form 3X) | | | FOR LINE | NUMBER: PAGE 88 OF 88 | |
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| ITEMIZED DISBURSEMENTS | for each | arate schedule(s) category of the Summary Page | (check only 21b 28a | | |
| Any information copied from such Reports and Sta or for commercial purposes, other than using the | | | | on for the purpose of soliciting contributions | |
| NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Po | olitical Act | ion Committe | e | | |
| Full Name (Last, First, Middle Initial) A. Friends of Paul Bettencourt | Date of Disbursement | | | | |
| Mailing Address 1 E. Greenway Plaza Suite 225 | Mailing Address 1 E. Greenway Plaza Suite 225 | | | | |
| City Houston Purpose of Disbursement | State TX | Zip Code 77046 | | FEC Identification Number | |
| P-2022 State Senate 7 TX Candidate Name | State Senate 7 TX 011 te Name Category/ Type | | | C Transaction ID : B759687 | |
| Bettencourt, Paul, , , | | | | Amount of Each Disbursement this Period 1500.00 | |
| Senate President State: TX District: 07 | Primary Other (spe | General ecify) ▼ | | Memo Item | |
| Full Name (Last, First, Middle Initial) B. Glenn Hegar Campaign | | Date of Disbursement | | | |
| Mailing Address 815-A Brazos #389 | Mailing Address 815-A Brazos #389 | | | | |
| City Austin Purpose of Disbursement G-2020 State Comptroller TX | State TX | Zip Code 78701 | 011 | FEC Identification Number | |
| Candidate Name Hegar, Glenn, , , | rsement For: | 2020 | Category/ Type | Transaction ID : B759686 Amount of Each Disbursement this Period 5000.00 | |
| Senate President State: TX District: | Primary Other (spe | x General ecify) | | Memo Item | |
| Full Name (Last, First, Middle Initial) | | | | Date of Disbursement | |
| Mailing Address | | | | | |
| City | State | Zip Code | | FEC Identification Number | |
| Purpose of Disbursement Candidate Name Category/ | | | | Amount of Each Disbursement this Period | |
| Office Sought: House Disbu | Туре | | | | |
| Senate Primary General President Other (specify) ▼ | | | | Memo Item | |
| State: District: SUBTOTAL of Disbursements This Page (optional | al) | | | 6500.00 | |
| TOTAL This Period (last page this line number o | - - | | | 17500.00 | |