

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Headwaters Incorporated PAC

ADDRESS (number and street)

10653 S. River Front Parkway

Suite 300

☐Check if different  
than previously  
reported. (ACC)

South Jordan

UT

84095

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00388751

3. IS THIS  
REPORT☒NEW  
(N)**OR**☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report (Q1)☐July 15  
Quarterly Report (Q2)☐October 15  
Quarterly Report (Q3)☒January 31  
Quarterly Report (YE)☐July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

1 1

2 8

2 0 0 6

through

1 2

3 1

2 0 0 6

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Mr. John N. Ward

Signature of Treasurer

Electronically Filed by Mr. John N. Ward

Date

0 1

3 0

2 0 0 7

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
Headwaters Incorporated PAC

Report Covering the Period: From: 

M	M
1	1

D	D
2	8

Y	Y	Y	Y
2	0	0	6

 To: 

M	M
1	2

D	D
3	1

Y	Y	Y	Y
2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1	2006	116825.67
(b) Cash on Hand at Beginning of Reporting Period .....	126212.61	
(c) Total Receipts (from Line 19) .....	12498.11	92965.05
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	138710.72	209790.72
7. Total Disbursements (from Line 31) .....	3000.00	74080.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	135710.72	135710.72
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Headwaters Incorporated PAC

Report Covering the Period:

From:

M M  
1 1D D  
2 8Y Y Y Y  
2 0 0 6

To:

M M  
1 2D D  
3 1Y Y Y Y  
2 0 0 6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	11944.19	86898.92
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	553.92	6066.13
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	12498.11	92965.05
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	12498.11	92965.05
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	12498.11	92965.05
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	12498.11	92965.05

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		0.00	70000.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		3000.00	4080.00
(b) Political Party Committees .....		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		3000.00	4080.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		3000.00	74080.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		3000.00	74080.00

**DETAILED SUMMARY PAGE**

of Disbursements

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Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	12498.11	92965.05
34. Total Contribution Refunds (from Line 28(d)) .....	3000.00	4080.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	9498.11	88885.05
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 27

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Jonathan R. Bacher

Mailing Address 2103 Valley Avenue

City State Zip Code  
Wilmington DE 19810

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.54

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		0	8		2	0	0	6

Transaction ID: SA11A1.6341

Amount of Each Receipt this Period

0.02

payroll deduction - pledge  
remainder

**B.** Full Name (Last, First, Middle Initial)  
Mr. Scott Ballard

Mailing Address 4390 Wasatch Meadows Drive

City State Zip Code  
West Jordan UT 84088

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Treasurer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		2	2		2	0	0	6

Transaction ID: SA11A1.6342

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

**C.** Full Name (Last, First, Middle Initial)  
Mr. Kirk A. Benson

Mailing Address 4184 W. Alpine Cove Drive

City State Zip Code  
Alpine UT 84004

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Chief Executive Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.80

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		2	2		2	0	0	6

Transaction ID: SA11A1.6344

Amount of Each Receipt this Period

384.60

biweekly payroll deduction  
\$192.30

SUBTOTAL of Receipts This Page (optional) .....

423.08

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Mr. R. Sam Christensen Mailing Address 800 5th Avenue, Suite 101-294 City State Zip Code <u>Seattle</u> <u>AL</u> <u>98104</u> FEC ID number of contributing federal political committee. <u>C</u> Name of Employer Black Bear Ventures, LP Occupation Manager/Headwaters Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <u>1000.00</u>			Date of Receipt M M / D D / Y Y Y Y Y <u>1 2</u> / <u>0 5</u> / <u>2 0 0 6</u> <b>Transaction ID:</b> SA11A1.6327 Amount of Each Receipt this Period <u>1000.00</u>
<b>B.</b> Full Name (Last, First, Middle Initial) Jim DeLizzio Mailing Address 532 Barry Drive City State Zip Code <u>Springfield</u> <u>AL</u> <u>19064</u> FEC ID number of contributing federal political committee. <u>C</u> Name of Employer Headwaters Resources Occupation VP, Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <u>500.02</u>			Date of Receipt M M / D D / Y Y Y Y Y <u>1 2</u> / <u>2 2</u> / <u>2 0 0 6</u> <b>Transaction ID:</b> SA11A1.6348 Amount of Each Receipt this Period <u>38.46</u> Payroll Deduction Biweekly \$19.23
<b>C.</b> Full Name (Last, First, Middle Initial) Mr. Daniel A. Diadiun, II Mailing Address 4505 Autumn Ridge Circle City State Zip Code <u>Midland</u> <u>MI</u> <u>48642</u> FEC ID number of contributing federal political committee. <u>C</u> Name of Employer Headwaters Resources Occupation Eastern Region Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <u>375.00</u>			Date of Receipt M M / D D / Y Y Y Y Y <u>1 2</u> / <u>2 2</u> / <u>2 0 0 6</u> <b>Transaction ID:</b> SA11A1.6351 Amount of Each Receipt this Period <u>30.00</u> biweekly payroll deduction \$15.00

SUBTOTAL of Receipts This Page (optional) .....

1068.46

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 8 / 27

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Patrick Dunn  
Mailing Address 22145 Daleview Drive

City State Zip Code  
Nori MI 48374

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Hdwtrs Const Matls - Tapco

Occupation  
Exec VP Specialty Sales & Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.50

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 9 / 2 0 0 6

Transaction ID: SA11A1.6352

Amount of Each Receipt this Period

96.15

weekly payroll deduction  
\$19.23

**B.** Full Name (Last, First, Middle Initial)  
Mr. Gary England  
Mailing Address 2980 E Granite Slope Lane

City State Zip Code  
Sandy UT 84092

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Resources

Occupation  
Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6354

Amount of Each Receipt this Period

153.84

biweekly payroll deduction  
\$76.92

**C.** Full Name (Last, First, Middle Initial)  
Mr. Alan G. Falls  
Mailing Address 2362 North Trail

City State Zip Code  
Midland MI 48642

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Resources

Occupation  
Eastern Region Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6355

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00

**SUBTOTAL** of Receipts This Page (optional) .....

289.99

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Peter P. Fote  
Mailing Address 611 North Cortez

City State Zip Code  
Salt Lake City UT 84103

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Inc.

Occupation  
Director of Capital Projects

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6356

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

**B.** Full Name (Last, First, Middle Initial)  
Mr. Kenneth R. Frailey  
Mailing Address 263 North First East

City State Zip Code  
Tooele UT 84074

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Energy Services

Occupation  
Covol Fuels President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.85

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6357

Amount of Each Receipt this Period

307.70

biweekly payroll deduction  
\$153.85

**C.** Full Name (Last, First, Middle Initial)  
Mr. Daniel Garson  
Mailing Address 1370 Grand Avenue #B

City State Zip Code  
San Marcos CA 92078

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Const Mats

Occupation  
Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

636.50

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6360

Amount of Each Receipt this Period

52.00

biweekly payroll deduction  
\$26.00

**SUBTOTAL** of Receipts This Page (optional) .....

398.16

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)

Mr. William H. Gehrmann

Mailing Address 5248 CR 302

City State Zip Code  
 Nacogdoches TX 75961

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3692.40

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6362

Amount of Each Receipt this Period

153.85

biweekly payroll deduction  
\$153.85

B. Full Name (Last, First, Middle Initial)

Mr. William H. Gehrmann

Mailing Address 5248 CR 302

City State Zip Code  
 Nacogdoches TX 75961

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.15

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6363

Amount of Each Receipt this Period

153.75

biweekly payroll deduction  
\$153.75

C. Full Name (Last, First, Middle Initial)

Mr. Barton K. Gentsch

Mailing Address 1103 Donwayne Drive

City State Zip Code  
 Oxford MI 48371

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Construction  
MtlisOccupation  
VP, Manufacturing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 0 5 / 2 0 0 6

Transaction ID: SA11A1.6319

Amount of Each Receipt this Period

500.00

SUBTOTAL of Receipts This Page (optional) .....

807.60

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Richard R. Halverson

Mailing Address 9002 381 Avenue, SE

City State Zip Code  
Snoqualmie WA 98065

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Resources

Occupation  
Western Region Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.54

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6366

Amount of Each Receipt this Period

0.02

payroll deduction - pledge  
remainder

**B.** Full Name (Last, First, Middle Initial)  
Mr. Richard R. Halverson

Mailing Address 9002 381 Avenue, SE

City State Zip Code  
Snoqualmie WA 98065

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Resources

Occupation  
Western Region Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.77

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6368

Amount of Each Receipt this Period

19.23

biweekly payroll deduction  
\$19.23

**C.** Full Name (Last, First, Middle Initial)  
Michael Hampton

Mailing Address 1409 Heatherton Way

City State Zip Code  
Salt Lake City UT 84121

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Incorporated

Occupation  
Director, Regulatory Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6369

Amount of Each Receipt this Period

10.00

biweekly payroll deduction  
\$10.00

**SUBTOTAL** of Receipts This Page (optional) .....

29.25

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 27

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Everette Harris Mailing Address 23 Miller Drive City State Zip Code Ivyland PA 18974 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Headwaters Tech Innovation Occupation Director Operations Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 510.00		Date of Receipt M M / D D / Y Y Y Y 1 2 / 2 2 / 2 0 0 6 <b>Transaction ID:</b> SA11A1.6374 Amount of Each Receipt this Period 30.00 biweekly payroll deduction \$15.00
<b>B.</b> Full Name (Last, First, Middle Initial) Mr. James A. Herickhoff Mailing Address 5123 E. County Road City State Zip Code Ft. Collins CO 80524 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Wold Talc Co. Occupation Pres & COO/Headwaters Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2000.00		Date of Receipt M M / D D / Y Y Y Y 1 1 / 2 8 / 2 0 0 6 <b>Transaction ID:</b> SA11A1.6331 Amount of Each Receipt this Period 1000.00
<b>C.</b> Full Name (Last, First, Middle Initial) Mr. Brett A. Hickman Mailing Address 87 North Foxhill Road City State Zip Code North Salt Lake UT 84054 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Headwaters Inc Occupation Vice President Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 461.55		Date of Receipt M M / D D / Y Y Y Y 1 2 / 2 2 / 2 0 0 6 <b>Transaction ID:</b> SA11A1.6376 Amount of Each Receipt this Period 307.70 biweekly payroll deduction \$153.85

**SUBTOTAL** of Receipts This Page (optional) .....

1337.70

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 27

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Craig R. Hickman

Mailing Address 1501 New York Avenue

City State Zip Code  
Lawrenceville NJ 08648

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Tech Innovation  
GrpOccupation  
HTI President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.55

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		2	2		2	0	0	6

Transaction ID: SA11A1.6377

Amount of Each Receipt this Period

307.70

biweekly payroll deduction  
\$153.85

**B.** Full Name (Last, First, Middle Initial)  
Mr. Jimmy Lambert

Mailing Address 11240 FM 937

City State Zip Code  
Thornton TN 76887

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		2	2		2	0	0	6

Transaction ID: SA11A1.6379

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

**C.** Full Name (Last, First, Middle Initial)  
Mr. Charles Lawton, III

Mailing Address 5095 S. Sandpiper Drive  
Apt. 565

City State Zip Code  
Salt Lake City UT 84117

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
Director of Ops & Financial Analysis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	2		2	2		2	0	0	6

Transaction ID: SA11A1.6380

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

SUBTOTAL of Receipts This Page (optional) .....

384.62

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Ms. Sharon Madden Mailing Address 2899 East 3900 South City State Zip Code Salt Lake City UT 84124 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Headwaters Incorporated Occupation Director of Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 461.52		Date of Receipt MM / DD / YYYY 12 / 08 / 2006 <b>Transaction ID:</b> SA11A1.6382 Amount of Each Receipt this Period 19.23 biweekly payroll deduction \$19.23
<b>B.</b> Full Name (Last, First, Middle Initial) Ms. Sharon Madden Mailing Address 2899 East 3900 South City State Zip Code Salt Lake City UT 84124 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Headwaters Incorporated Occupation Director of Investor Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 487.52		Date of Receipt MM / DD / YYYY 12 / 22 / 2006 <b>Transaction ID:</b> SA11A1.6383 Amount of Each Receipt this Period 26.00 biweekly payroll deduction \$26
<b>C.</b> Full Name (Last, First, Middle Initial) Mr. David A. Marshall Mailing Address 05634 East Harbor Drive City State Zip Code Fruitland Park FL 34731 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Headwaters Resources Occupation Southern Region Area Manager Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 999.96		Date of Receipt MM / DD / YYYY 12 / 22 / 2006 <b>Transaction ID:</b> SA11A1.6384 Amount of Each Receipt this Period 76.92 biweekly payroll deduction \$38.46

SUBTOTAL of Receipts This Page (optional) .....

122.15

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Dr. Rafic Minkara

Mailing Address 204 Lakeside Drive

City State Zip Code  
Kennesaw GA 30144

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Tech Innovation

Occupation  
Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1921.32

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6387

Amount of Each Receipt this Period

153.84

biweekly payroll deduction  
\$76.92

**B.** Full Name (Last, First, Middle Initial)  
Mr. Niel Nickolaisen

Mailing Address 128 South 850 East

City State Zip Code  
Centerville UT 84014

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Incorporated

Occupation  
Director of Process Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6389

Amount of Each Receipt this Period

40.00

Payroll Deduction Biweekly  
\$20

**C.** Full Name (Last, First, Middle Initial)  
Mr. Herbert D. Nordmeyer

Mailing Address 213 County Road 575

City State Zip Code  
Castroville TX 78009

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Construction  
Mtlis

Occupation  
Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6390

Amount of Each Receipt this Period

30.00

biweekly payroll deduction  
\$15.00

**SUBTOTAL** of Receipts This Page (optional) .....

223.84

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Harvey J. North  
Mailing Address 7895 Westerly Lane

City State Zip Code  
Banbridge Island WA 98110

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Vice President, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6391

Amount of Each Receipt this Period

153.84

biweekly payroll deduction  
\$153.84

**B.** Full Name (Last, First, Middle Initial)  
Mr. Harvey J. North  
Mailing Address 7895 Westerly Lane

City State Zip Code  
Banbridge Island WA 98110

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Vice President, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6392

Amount of Each Receipt this Period

76.92

biweekly payroll deduction  
\$76.92

**C.** Full Name (Last, First, Middle Initial)  
Mr. Jeff Ockey  
Mailing Address 1167 Marco Polo Lane

City State Zip Code  
South Jordan UT 84095

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.81

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6393

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

SUBTOTAL of Receipts This Page (optional) .....

269.22

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Larry Petersen  
Mailing Address 200 Shotwell Drive

City State Zip Code  
Franklin OH 45005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Hdwtrs Const Mtls - Tapco

Occupation  
Plant Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

485.75

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 1 4 / 2 0 0 6

Transaction ID: SA11A1.6396

Amount of Each Receipt this Period

38.86

weekly payroll deduction  
\$19.43

**B.** Full Name (Last, First, Middle Initial)  
Mr. Larry Petersen  
Mailing Address 200 Shotwell Drive

City State Zip Code  
Franklin OH 45005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Hdwtrs Const Mtls - Tapco

Occupation  
Plant Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

504.98

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 8 / 2 0 0 6

Transaction ID: SA11A1.6397

Amount of Each Receipt this Period

19.23

weekly payroll deduction  
\$19.23

**C.** Full Name (Last, First, Middle Initial)  
Mr. James H. Pouns  
Mailing Address 6230 Cedar Creek

City State Zip Code  
Houston TX 77057

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Construction  
Mtls

Occupation  
Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6399

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00

**SUBTOTAL** of Receipts This Page (optional) .....

98.09

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Full Name (Last, First, Middle Initial)  
Ms Jennifer L. Reynolds

Mailing Address 1555 Hunsaker Street

City State Zip Code  
Oceanside CA 92054

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Hdwtrs Const Matls - Eldo-  
radoOccupation  
Director of Finance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6400

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00B. Full Name (Last, First, Middle Initial)  
Mr. Donald S. Risher

Mailing Address 405 East 300 North

City State Zip Code  
Alpine UT 84084

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Energy ServicesOccupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6401

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23C. Full Name (Last, First, Middle Initial)  
Mr. Michael J. Robinson

Mailing Address 978 Anders Road

City State Zip Code  
Lansdale PA 19446

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters ResourcesOccupation  
Eastern Region Area VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6402

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

SUBTOTAL of Receipts This Page (optional) .....

116.92

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.**

Full Name (Last, First, Middle Initial)

Mr. Virgil Rochowansky

Mailing Address 1370 Grand Avenue, Bldg B

City State Zip Code  
 San Marcos CA 92078

FEC ID number of contributing federal political committee.

C

Name of Employer  
Hdwtrs Const Matls - Eldo-  
radoOccupation  
Director of IT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6404

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00**B.**

Full Name (Last, First, Middle Initial)

Mr. Michael Rueter

Mailing Address 350 Maiden Lane

City State Zip Code  
 Plymouth Meeting PA 19462

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Tech Innovation  
GrpOccupation  
Director of Process Design

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6405

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00**C.**

Full Name (Last, First, Middle Initial)

Mr. Artemio B. Sandoval

Mailing Address PO Box 489

City State Zip Code  
 Carnation WA 98014

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Const MatlsOccupation  
Director of Tech Support

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.54

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6406

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

SUBTOTAL of Receipts This Page (optional) .....

118.46

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

A. Mr. David A Schaefer

Full Name (Last, First, Middle Initial)

Mailing Address 1262 Quail Gardens Court

City State Zip Code  
Encinitas CA 92024

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Const MtlsOccupation  
VP Operational Admin

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6407

Amount of Each Receipt this Period

153.84

biweekly payroll deduction  
\$76.92

B. Mr. Ronald S. Sherbak

Full Name (Last, First, Middle Initial)

Mailing Address 10006 Pinehurst Drive

City State Zip Code  
Sandy UT 84092

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Energy ServicesOccupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.02

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6411

Amount of Each Receipt this Period

38.50

biweekly payroll deduction  
\$19.25

C. Ms Debra Shirley

Full Name (Last, First, Middle Initial)

Mailing Address 2246 Governor Way

City State Zip Code  
Buford GA 30519

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Construction  
MtlsOccupation  
Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.75

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6412

Amount of Each Receipt this Period

19.23

biweekly payroll deduction  
\$19.23

SUBTOTAL of Receipts This Page (optional) .....

211.57

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Ms Debra Shirley  
Mailing Address 2246 Governor Way

City State Zip Code  
Buford GA 30519

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Construction  
Mtlis

Occupation  
Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.77

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6413

Amount of Each Receipt this Period

0.02

payroll deduction - pledge  
remainder

**B.** Full Name (Last, First, Middle Initial)  
Mr. Jerry S. Smith  
Mailing Address 157 West Shadowpoint Circle

City State Zip Code  
The Woodlands TX 77381

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Resources

Occupation  
Southern Region Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6414

Amount of Each Receipt this Period

153.84

biweekly payroll deduction  
\$76.92

**C.** Full Name (Last, First, Middle Initial)  
Mr. Scott K. Sorensen  
Mailing Address 2135 Yuma Street

City State Zip Code  
Salt Lake City UT 84109

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Incorporated

Occupation  
Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6415

Amount of Each Receipt this Period

100.00

Payroll Deduction Biweekly  
\$50

**SUBTOTAL** of Receipts This Page (optional) .....

253.86

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 27

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Robert Stalzer

Mailing Address 33 Prospect Avenue

City State Zip Code  
 Plainsboro NJ 08536

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Tech Innovation  
Grp

Occupation  
Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6416

Amount of Each Receipt this Period

15.00

biweekly payroll deduction  
\$15.00

**B.** Full Name (Last, First, Middle Initial)  
Mr. Russell B. Stapp

Mailing Address 3928 Marguerite Drive

City State Zip Code  
 Ackworth GA 30101

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Resources

Occupation  
Director of Corporate Quality

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6417

Amount of Each Receipt this Period

40.00

biweekly payroll deduction  
\$20.00

**C.** Full Name (Last, First, Middle Initial)  
Mr. Steven G. Stewart

Mailing Address 4523 S. Sunset Circle

City State Zip Code  
 Bountiful UT 84060

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Incorporated

Occupation  
Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1990.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 0 6 / 2 0 0 6

Transaction ID: SA11A1.6334

Amount of Each Receipt this Period

390.00

SUBTOTAL of Receipts This Page (optional) .....

445.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. D. Kerry Stubbs  
Mailing Address 4151 West 5950 South

City State Zip Code  
Salt Lake City UT 84118

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Director of Financial Reporting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6418

Amount of Each Receipt this Period

50.00

biweekly payroll deduction  
\$25.00

**B.** Full Name (Last, First, Middle Initial)  
Mr. R. Keith Thompson  
Mailing Address 5565 West 10030 North

City State Zip Code  
Highland UT 84003

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Energy ServicesOccupation  
Director, Synfuel Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 0 8 / 2 0 0 6

Transaction ID: SA11A1.6419

Amount of Each Receipt this Period

76.92

biweekly payroll deduction  
\$76.92

**C.** Full Name (Last, First, Middle Initial)  
Mr. R. Keith Thompson  
Mailing Address 5565 West 10030 North

City State Zip Code  
Highland UT 84003

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Energy ServicesOccupation  
Director, Synfuel Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1923.08

Date of Receipt

M M / D D / Y Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6420

Amount of Each Receipt this Period

0.08

payroll deduction - pledge  
remainder

SUBTOTAL of Receipts This Page (optional) .....

127.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Troy Walker  
Mailing Address 285 Winesap Drive

City State Zip Code  
Port Matilda PA 16870

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Energy ServicesOccupation  
Technical Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 8 / 2 0 0 6

Transaction ID: SA11A1.6335

Amount of Each Receipt this Period

500.00

**B.** Full Name (Last, First, Middle Initial)  
Mr. John N. Ward  
Mailing Address 920 W. Bloomsbury

City State Zip Code  
Murray UT 84123

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters IncorporatedOccupation  
Vice President, Mktg & Govt Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6421

Amount of Each Receipt this Period

200.00

Payroll Deduction Biweekly  
\$100

**C.** Full Name (Last, First, Middle Initial)  
Mr. Thomas A. Ward  
Mailing Address 2922 Canal Drive

City State Zip Code  
Port Huron MI 48060

FEC ID number of contributing federal political committee.

C

Name of Employer  
Headwaters Construction  
MatisOccupation  
VP Tapco Manufacturing Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 1 2 / 2 0 0 6

Transaction ID: SA11A1.6336

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional) .....

950.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Thomas Webster

Mailing Address 2914 Luciernaga Street, Unit C

City State Zip Code  
 Carlsbad CA 92009

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Hdwtrs Const Matls - Eldo-  
rado

Occupation  
Director of Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.52

Date of Receipt

M M / D D / Y Y Y Y  
 1 2 / 2 1 / 2 0 0 6

Transaction ID: SA11A1.6422

Amount of Each Receipt this Period

38.46

biweekly payroll deduction  
\$19.23

**B.** Full Name (Last, First, Middle Initial)  
Raymond Weller

Mailing Address 7602 S. Main

City State Zip Code  
 Midvale UT 84047

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Inc

Occupation  
Board Member

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 8 / 2 0 0 6

Transaction ID: SA11A1.6337

Amount of Each Receipt this Period

1000.00

**C.** Full Name (Last, First, Middle Initial)  
Mr. Bobby Whisnant

Mailing Address 1669 CR 101

City State Zip Code  
 Columbus TX 78934

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Headwaters Construction  
Mtlis

Occupation  
COO / VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5653.74

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 8 / 2 0 0 6

Transaction ID: SA11A1.6338

Amount of Each Receipt this Period

3000.00

**SUBTOTAL** of Receipts This Page (optional) .....

4038.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

**A.** Full Name (Last, First, Middle Initial)  
Mr. Bobby Whisnant

Mailing Address 1669 CR 101

City State Zip Code  
Columbus TX 78934

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Headwaters Construction  
Mtlis

Occupation  
COO / VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5884.50

Date of Receipt

M M / D D / Y Y Y Y  
1 2 / 2 2 / 2 0 0 6

Transaction ID: SA11A1.6423

Amount of Each Receipt this Period

230.76

Payroll Deduction Biweekly  
\$115.38

**SUBTOTAL** of Receipts This Page (optional) .....

230.76

**TOTAL** This Period (last page this line number only) .....

11944.19

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Headwaters Incorporated PAC

Full Name (Last, First, Middle Initial)

**A.** Mr. Bobby Whisnant

Mailing Address 1669 CR 101

City  
Columbus

State  
TX

Zip Code  
78934

Purpose of Disbursement  
refund - over limit contribution

Candidate Name

Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: SB28A.6340

Date of Disbursement

/   /

Amount of Each Disbursement this Period

3000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

3000.00

**TOTAL** This Period (last page this line number only) .....

3000.00