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### **FEC** FORM 3X

## **REPORT OF RECEIPTS AND DISBURSEMENTS**

	For Other Than An Aut	horized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Caterpillar Inc. Politica	al Action Committee (	CATPAC)	
ADDRESS (number and street)	510 Lake Cook Road		
Check if different			
than previously reported. (ACC)	Deerfield		IL 60015 –
2. FEC IDENTIFICATION N	UMBER ▼ CI	ТУ▲	STATE ▲ ZIP CODE ▲
C C00148031		S THIS REPORT (N) C	R AMENDED (A)
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	20 (M2) May 20 (	(Non-Election Year Only)
(a) Quarterly Reports:	Ma	r 20 (M3) Jun 20 (M	Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15		20 (M4) Jul 20 (M	7) Oct 20 (M10) Jan 31 (YE)
Quarterly Report (0	(C) 12-Day	Primary (12P)	General (12G) Runoff (12R)
Quarterly Report (0	Report for the:	Convention (12C)	Special (12S)
Quarterly Report (0	Q3)	M = M / D = D	/ Y Y Y Y in the
Year-End Report (	YE) Election	on on	State of
July 31 Mid-Year Report (Non-electic Year Only) (MY)	on (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)		on on	in the State of
5. Covering Period 0	1 01 2021	through 01	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined the	nis Report and to the best o	f my knowledge and belief it is	s true, correct and complete.
Type or Print Name of Treasure	James, Danielle, , , er		
Signature of Treasurer	es, Danielle, , ,	[Electronically Filed]	Date 02 18 2021
NOTE: Submission of false, erron	neous, or incomplete information	on may subject the person signing	ng this Report to the penalties of 52 U.S.C. § 30109
Office			FEC FORM 3X
Use Only			Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

#### Caterpillar Inc. Political Action Committee (CATPAC)

Report Covering the Period: From: 01 01 2021 To: 01 31 2021

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2021		170185.17
	(b) Cash on Hand at Beginning of Reporting Period	170185.17	
	(c) Total Receipts (from Line 19)	63262.49	63262.49
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	233447.66	233447.66
7.	Total Disbursements (from Line 31)	- 19585.92	- 19585.92
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	253033.58	253033.58
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Caterpillar Inc. Political Action Committee (CATPAC)

01 2021 01 31 2021 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 20747.55 20747.55 (i) Itemized (use Schedule A)..... 42513.34 42513.34 (ii) Unitemized ..... (iii) TOTAL (add 63260.89 63260.89 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees ..... (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 63260.89 63260.89 Totals to Line 33, page 5) .....▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts (Dividends, Interest, etc.)..... 1.60 1.60 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) ....... (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))......▶ 63262.49 63262.49 20. Total Federal Receipts 63262.49 63262.49 (subtract Line 18(c) from Line 19) .......▶

#### **DETAILED SUMMARY PAGE**

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Caronaa Toal to Date		
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating	12.00	12.00		
Expenditures	13.80	13.80		
(add 21(a)(i), (a)(ii), and (b))▶	13.80	13.80		
Transfers to Affiliated/Other Party Committees	0.00	0.00		
Contributions to Federal Candidates/Committees and Other Political Committees	- 5000.00	- 5000.00		
Independent Expenditures	0.00	0.00		
(use Schedule E)	0.00	0.00		
(use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made Refunds of Contributions To:	0.00	0.00		
(a) Individuals/Persons Other Than Political Committees	200.28	200.28		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)(d) Total Contribution Refunds	0.00	0.00		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	200.28	200.28		
Other Disbursements (Including				
Non-Federal Donations)	- 14800.00	- 14800.00		
Federal Election Activity (52 U.S.C. § 30101(2) (a) Allocated Federal Election Activity (from Schedule H6)	(0))			
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00		
Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	- 19585.92	- 19585.92		
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	- 19585.92	- 19585.92		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

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III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	63260.89	63260.89
4. Total Contribution Refunds (from Line 28(d))	200.28	200.28
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	63060.61	63060.61
66. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	13.80	13.80
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	13.80	13.80

Use separate schedule(s)

l	FO	FOR LINE NUMBER:			PAGE		6	OF	33
l	(check only one)								
	X	11a	11b	1	1c		12		
I		13	14	1	5		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-222996 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Segment HR Director Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-223036 ΤN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 243.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 243.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 29 2021 City State Zip Code Transaction ID : A2021-222575 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 245.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 245.56 Other (specify) 905.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-222714 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CFO** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2021 City State Zip Code Transaction ID : A2021-223160 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 29 2021 City State Zip Code Transaction ID: A2021-222816 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 234.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 234.24 Other (specify) 1067.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-222810 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 216.63 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-223295 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 29 2021 P.O. Box 1037 City State Zip Code Transaction ID: A2021-222443 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Business Resource Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1049.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 - - -

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 2021 City Zip Code State Transaction ID: A2021-222877 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-222449 Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 235.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 235.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2021 P O Box 340001 City Zip Code State Transaction ID: A2021-223163 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 245.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 245.01 Other (specify) 880.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steve, M,, Date of Receipt Mailing Address 6800 Doerr Lane 2021 City Zip Code State Transaction ID: A2021-223444 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code **Transaction ID: A2021-222506** IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frake, Tom, G, Date of Receipt Mailing Address 100 NE Adams 29 2021 City State Zip Code Transaction ID: A2021-223523 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1083.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 - - -

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2021 City State Zip Code Transaction ID: A2021-223567 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-222474 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive 29 2021 Suite 400 City State Zip Code Transaction ID: A2021-222876 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BHL/TH Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1249.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 2021 City Zip Code State Transaction ID: A2021-89141 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 2021 City State Zip Code Transaction ID: A2021-220078 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing 200.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 200.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 14 2021 City State Zip Code Transaction ID: A2021-25856 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 400.50 Other (specify) 525.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

33 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 2021 City Zip Code State Transaction ID: A2021-89117 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/CMO LRS Sales **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID : A2021-223161 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2021 Bldg A City State Zip Code Transaction ID: A2021-222952 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 217.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 217.36 Other (specify) 834.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-222521 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 201.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 201.86 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-222705 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 230.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2021 City State Zip Code Transaction ID : A2021-222977 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 849.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2021 City State Zip Code Transaction ID: A2021-222494 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City State Zip Code Transaction ID: A2021-223230 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Group President** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 29 2021 1425 K Street City Zip Code State Transaction ID : A2021-222997 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1250.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Receipt For:

Primary

Other (specify)

General

# SCHEDULE A (FEC Form 3X)

33 FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-223449 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 2021 City State Zip Code Transaction ID: A2021-222989 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 29 2021 City State Zip Code Transaction ID: A2021-223469 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Legal Officer Caterpillar Inc.

416.66

Aggregate Year-to-Date ▼

33 FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-223208 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID : A2021-223450 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 215.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 215.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive 29 2021 **Building CV** City State Zip Code Transaction ID: A2021-222574 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 218.00 Other (specify) 849.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGuire, Andy, A,, Date of Receipt Mailing Address 200 NE Adams Street 2021 City Zip Code State Transaction ID: A2021-222726 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-223259 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 29 2021 1425 K Street City Zip Code State Transaction ID: A2021-222419 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 308.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 308.84 Other (specify) 1141.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-223061 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 206.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 206.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2021 PO Box 610 City State Zip Code Transaction ID: A2021-223040 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 29 2021 City State Zip Code Transaction ID: A2021-223528 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1039.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-222895 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Product Source Plng. & RE Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rea, David, M. Date of Receipt Mailing Address 875 W Cushing Street 2021 City State Zip Code **Transaction ID: A2021-223213** ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 29 2021 City State Zip Code Transaction ID: A2021-222896 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1249.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-222861 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 213.99 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 2021 City State Zip Code Transaction ID: A2021-223342 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 349.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 349.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-223307 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 210.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Insurance Services Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.14 Other (specify) 773.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2021 City State Zip Code Transaction ID: A2021-223424 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 351.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 351.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 653 Roden Ave 2021 City State Zip Code **Transaction ID: A2021-89127** AL Boaz 35957-4923 Amount of Each Receipt this Period FEC ID number of contributing 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 244.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 29 2021 City State Zip Code Transaction ID: A2021-223076 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 723.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2021 City Zip Code State Transaction ID: A2021-223330 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **GM IPSD Large Engines** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2021 City State Zip Code **Transaction ID: A2021-222518** IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 224.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 224.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thompson, Clay, , , Date of Receipt Mailing Address 2120 West End Avenue 29 2021 P O Box 340001 City State Zip Code Transaction ID: A2021-222480 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1057.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

33 FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Umpleby, Jim, , , III Date of Receipt Mailing Address 100 NE Adams 2021 City Zip Code State Transaction ID: A2021-222903 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CEO Chairman of the Board Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2021 City State Zip Code Transaction ID: A2021-223474 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 416.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 29 2021 Suite 101 City State Zip Code Transaction ID: A2021-223533 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US State & Americas GGCA Dir. Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 1073.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

33 FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2021 City Zip Code State Transaction ID: A2021-223199 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2021 City State Zip Code Transaction ID: A2021-222836 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 29 2021 Bldg A City State Zip Code Transaction ID: A2021-222995 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.67 Other (specify) 1249.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Caterpillar Inc. Political Action Committee (CATPAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2021 City Zip Code State Transaction ID: A2021-222968 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Tax Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 2021 City State Zip Code Transaction ID : A2021-222491 East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 416.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.32 SUBTOTAL of Receipts This Page (optional)..... 20747.55 TOTAL This Period (last page this line number only).....

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Full Name (Last, First, Middle Initial)  A. Walberg for Congress				Date of Disbu	rsement		
A. Walberg for Congress					D D / Y Y Y Y		
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 29 OF 33
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NAME OF COMMITTEE (In Full)			
Caterpillar Inc. Political Action Com	mittee (CATPA	C)	
Full Name (Last, First, Middle Initial)			
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Mailing Address #12 Keo Drive			01 29 2021
City	State Zip Code		FEC Identification Number
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Purpose of Disbursement P-2022 State Senate 30 AR		011	C
Candidate Name			Transaction ID : B771097
Pondexter Chesterfield, Linda, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2022	71	- 1000.00
x Senate x	Primary Gene	eral	Voided: Original check dated
	Other (specify) ▼		Memo Item 08/31/20
State: AR District: 30			
Full Name (Last, First, Middle Initial)			Date of Disbursement
3. Quirk-Silva for Assembly			M M / D D / Y Y Y Y
Mailing Address 1127 11th Street			01 29 2021
,	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2020 State House 65 CA		011	C
Candidate Name		Category/	Transaction ID : B780091  Amount of Each Disbursement this Period
Quirk-Silva, Sharon, , ,		Type	Amount of Each Disbursement this Feriod
<u></u>	nent For: 2020		- 1500.00
	Primary	eral	Voided: Original check dated
State: CA District: 65	Other (specify)		10/26/20 Memo Item
Full Name (Last, First, Middle Initial)			
Fenberg for Senate			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 1819 Walnut Street			01 29 2021
,	State Zip Code		FEC Identification Number
Boulder Purpose of Disbursement	CO 80302		
G-2020 State Senate 18 CO		011	C
Candidate Name		Category/	Transaction ID : B772536  Amount of Each Disbursement this Period
Fenberg, Stephen, , ,		Type	7 tilled it Ci Eddi Biobal comont tille i ciled
	nent For: 2020		- 400.00
	Primary Gene	eral	Voided: Original check dated
State: CO District: 18	Other (specify) ▼		Memo Item 09/14/20
State. GO Biotriot. 10			
SUBTOTAL of Disbursements This Page (optional)		<u> </u>	- 2900.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 30				
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only				
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b			
Any information copied from such Reports and Statem	nents may not be sold or us	ed by any perso				
or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)  Caterpillar Inc. Political Action Com	nmittee (CATPAC)					
Full Name (Last, First, Middle Initial)						
A. Patrick Neville for State House			Date of Disbursement			
Mailing Address 4128 Astrion Ct.			01 29 2021			
,	State Zip Code		FEC Identification Number			
Castle Rock Purpose of Disbursement	CO 80104					
G-2020 State House 45 CO		011	C Transaction ID - D770525			
Candidate Name		Category/	Transaction ID: B772535  Amount of Each Disbursement this Period			
Neville, Patrick, , ,		Type	- 400.00			
	nent For: 2020 Primary <b>x</b> General		- 400.00			
	Other (specify) ▼		Voided: Original check dated Memo Item 09/14/20			
State: CO District: 45			Wellio Item 63/14/25			
Full Name (Last, First, Middle Initial)						
3. GA House Democratic Caucus			Date of Disbursement			
Mailing Address 501 Pulliam Street SW			01 08 2021			
City	State Zip Code		FEC Identification Number			
	GA 30302					
Purpose of Disbursement State Party Cmte		011	C			
Candidate Name		Category/	Transaction ID: B765734  Amount of Each Disbursement this Period			
		Type				
	nent For: 2020		- 1000.00			
	Primary General Other (specify)		Voided: Original check dated 07/06/20			
State: District:	Not Applicable	e	Memo Item			
Full Name (Last, First, Middle Initial)						
GA Senate Democratic Caucus			Date of Disbursement			
Mailing Address 1100 Spring St. Suite 408			01 29 2021			
Maining Address 1100 opining St. State 400			· 10			
,	State Zip Code		FEC Identification Number			
Atlanta Purpose of Disbursement	GA 30309		С			
State Party Cmte		011	Transaction ID : B780111			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Office Sought: House Disbursem	nent For: 2020	Туре	- 1000.00			
	Primary General		Voided: Original check dated			
	Other (specify) ▼		Memo Item 10/26/20			
State: District:	Not Applicable	Э	L mone ton			
SUBTOTAL of Disbursements This Page (optional)		······	- 2400.00			
TOTAL This Period (last page this line number only).						

SCHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 3					
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only					
		Summary Page	21b 28a	22 28b	23 26 27 28c <b>x</b> 29 30b			
Any information copied from such Reports and Statem	onto mov n	ot he cold or upo						
or for commercial purposes, other than using the nam								
NAME OF COMMITTEE (In Full)								
Caterpillar Inc. Political Action Com	mittee (0	CATPAC)						
Full Name (Last, First, Middle Initial)								
A. Cmte to Elect James Beverly				Date of Dis	sbursement			
Mailing Address 841 Mulberry St.				01	29 2021			
City	State	Zip Code		FEC. Identif	ication Number			
	GA	31201			ication Number			
Purpose of Disbursement G-2020 State House 143 GA			011	C				
Candidate Name			Category/		ction ID: B765728 Each Disbursement this Period			
Beverly, James, , ,			Type	7 tirioditi oi	Eddit Biobardollidik tille i dilod			
Office Sought:  House Disbursem	nent For: 20	020			- 500.00			
	Primary	<b>✗</b> General			Voided: Original check dated			
State: GA District: 14	Other (speci	ify) 🔻		Memo	Item 07/06/20			
Full Name (Last, First, Middle Initial)								
3. Citizens for Dan Blue				Date of Dis	sbursement			
Onizeris for Barr Blue				M M / D D / Y Y Y Y				
Mailing Address P.O. Box 287				01	29 2021			
,	State	Zip Code		FEC Identif	ication Number			
Raleigh Purpose of Disbursement	NC	27602						
G-2020 State Senate 14 NC			011	C				
Candidate Name				Transaction ID: B765677  Amount of Each Disbursement this F				
Blue, Daniel, T, , Jr.			Category/ Type					
Office Sought: House Disbursem	nent For: 2	020		1	- 1000.00			
	Primary	<b>✗</b> General			Voided: Original check dated			
	Other (speci	ify)		Memo	07/06/20 Item			
State: NC District: 14  Full Name (Last, First, Middle Initial)								
Ferrell Haile for State Senate				Date of Dis	sbursement			
Terreil Flane for State Seriate				M = M /	D D / Y Y Y Y			
Mailing Address PO Box 816				01	29 2021			
,	State	Zip Code		FEC Identif	ication Number			
Galltin Purpose of Disbursement	TN	37066						
G-2020 State Senate 18 TN			011	C				
Candidate Name		I			ection ID: B775976 Each Disbursement this Period			
Haile, Ferrell, , ,			Category/ Type	7 tirioditi oi	Eddit Bissarsonient tille i endd			
Office Sought: House Disbursem	nent For: 20				- 1000.00			
	Primary	<b>✗</b> General			Voided: Original check dated			
	Other (speci	пу) ▼		Memo	Item 09/28/20			
State: TN District: 18								
SUBTOTAL of Disbursements This Page (optional)			·····•		- 2500.00			
TOTAL This Period (last page this line number only).								

SCHEDULE B (FEC Form 3X)	Use separate schedu	ام/م\	NUMBER: PAGE 32 OF 33				
ITEMIZED DISBURSEMENTS	for each category of		y one) 22 23 26 27				
	Detailed Summary Pa	age 28a	28b 28c <b>x</b> 29 30b				
Any information copied from such Reports and Statem	nents may not be sold	or used by any pers					
or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full)  Caterpillar Inc. Political Action Com	mittee (CATPA	C)					
Full Name (Last, First, Middle Initial)							
A. Becky Massey Campaign			Date of Disbursement				
Mailing Address 6932 Westland Dr			01 29 2021				
Knoxville	State Zip Code TN 37919		FEC Identification Number				
Purpose of Disbursement G-2020 State Senate 06 TN		011	Transaction ID : B775973				
Candidate Name Massey, Becky, D, ,		Category/ Type	Amount of Each Disbursement this Period				
Office Sought: House Disbursem	nent For: 2020 Primary <b>x</b> Gene		- 1000.00				
	Other (specify) ▼		Voided: Original check dated Memo Item 09/28/20				
Full Name (Last, First, Middle Initial)							
B. Cameron Sexton for State Represe	entative		Date of Disbursement				
Mailing Address 186 Homestead Drive			01 29 2021				
•	State Zip Code		FEC Identification Number				
Crossville Purpose of Disbursement	TN 38555		0				
G-2020 State House 25 TN		011	C Transaction ID - B774404				
Candidate Name		Category/	Transaction ID : B771101 Amount of Each Disbursement this Period				
Sexton, Cameron, , ,		Type	2000.00				
	nent For: 2020 Primary 🗶 Gene	ral	- 3000.00				
	Primary <b>x</b> Gene Other (specify)	iai	Voided: Original check dated 08/31/20				
State: TN District: 25	(1 )/		Memo Item				
Full Name (Last, First, Middle Initial)			5. (8:1				
C. Jeff Yarbro for State Senate			Date of Disbursement				
Mailing Address 144 51st Avenue North			01 29 2021				
,	State Zip Code		FEC Identification Number				
Nashville Purpose of Disbursement	TN 37209						
P-2022 State Senate 21 TN		011	Transaction ID : B771099				
Candidate Name		Category/	Amount of Each Disbursement this Period				
Yarbro, Jeff, , ,		Type	1000.00				
Canada	nent For: 2022 Primary Gene	ıral	- 1000.00				
<u>"</u>	Other (specify) $\blacktriangledown$	i ai	Voided: Original check dated  Memo Item 08/31/20				
State: TN District: 21			Wollio Roll				
SUBTOTAL of Disbursements This Page (optional)		·····•	- 5000.00				
TOTAL This Period (last page this line number only).							

ITEMIZED DISBURSEMENTS	Use separate sch for each category Detailed Summar	nedule(s) of the	FOR LINE N (check only 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)  Caterpillar Inc. Political Action Com	nmittee (CATF	PAC)		
Full Name (Last, First, Middle Initial)  A. Friends of Cecil Bell Jr.  Mailing Address P.O. Box 819		Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
,	State Zip Co			FEC Identification Number
Purpose of Disbursement G-2020 State House 03 TX  Candidate Name  Bell, Cecil, , , Jr.  Office Sought:	Magnolia  TX  77355  Purpose of Disbursement G-2020 State House 03 TX  Candidate Name  Bell, Cecil, , , Jr.  Office Sought:  X House Senate President  Disbursement For: 2020  Primary  X General Other (specify)  ▼			
Full Name (Last, First, Middle Initial)  B. Jose Menendez Campaign  Mailing Address 114 Olga Dr.				Date of Disbursement  O1 29 2021
City San Antonio San Antonio San Antonio Purpose of Disbursement G-2020 State Senate 26 TX  Candidate Name Menendez, Jose, ,,  Office Sought: House Senate Primary Finary Other (specify)  Code 78237  Candidate Name C  Primary Other (specify)				FEC Identification Number  C  Transaction ID: B768256  Amount of Each Disbursement this Period  - 1000.00  Voided: Original check dated 08/03/20
State: TX District: 26  Full Name (Last, First, Middle Initial)  C.  Mailing Address				Date of Disbursement
City S Purpose of Disbursement	State Zip Co	ode		FEC Identification Number
Candidate Name			ategory/ Type	Amount of Each Disbursement this Period
		eneral		Memo Item
SUBTOTAL of Disbursements This Page (optional)				- 2000.00
TOTAL This Period (last page this line number only).				- 14800.00