

**FEC  
FORM 3X**

**REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines  
 The Caterpillar Employee Political Action Committee

ADDRESS (number and street) **100 N.E. Adams**  
 Peoria IL 61629-1430  
 Check if different than previously reported. (ACC)

2. **FEC IDENTIFICATION NUMBER** CITY STATE ZIP CODE

C00148031

3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)  
 (a) Quarterly Reports:  
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3) Jun 20 (M6)  Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (M13)  
 April 15 Quarterly Report(Q1)  
 July 15 Quarterly Report(Q2)  
 October 15 Quarterly Report(Q3)  
 January 31 Quarterly Report(YE)  
 Election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 July 31 Mid-Year Report(Non-election Year Only) (MY)  
 (c) 12-Day **PRE**Election Report for the: Primary (12P) General (12G) Runoff (12R)  
 Convention (12C) Special (12S)  
 (d) 30-Day **Post**-Election Report for the: General (30G) Runoff (30R) Special (30S)  
 Election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 Termination Report (TER) \_\_\_\_\_ in the State of \_\_\_\_\_

5. Covering Period 08 01 2002 through 08 31 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Gary Vest

Signature of Treasurer Electronically Filed by Gary Vest Date 09 19 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office Use Only

**FEC FORM 3X**  
(Revised 1/2001)

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name  
The Caterpillar Employee Political Action Committee

Report Covering the Period: From: 08 01 2002 To: 08 31 2002

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2002		105903.70
(b) Cash on Hand at Beginning of Reporting Period .....	52601.71	
(c) Total Receipts (from Line 19) .....	13940.53	109275.54
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	66542.24	215079.24
7. Total Disbursements (from Line 30) .....	2500.00	151037.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	64042.24	64042.24
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D) .....	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE  
OF RECEIPTS**

FEC Form 3X (Revised 1/2001)

Page 3

Write or Type Committee Name

The Caterpillar Employee Political Action Committee

Report Covering the Period: From: <sup>MM</sup>08 <sup>DD</sup>01 <sup>YYYY</sup>2002 To: <sup>MM</sup>08 <sup>DD</sup>31 <sup>YYYY</sup>2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A) .....	3280.50	
(ii) Unitemized .....	10624.70	
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	13905.20	108872.20
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4) .....	13905.20	108872.20
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	35.33	403.34
18. Transfers from Nonfederal Account for Joint Activity .....	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) .....	13940.53	109275.54
20. Total Federal Receipts (subtract Line 18 from Line 19) .....	13940.53	109275.54

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Revised 1/2001)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	237.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	0.00	237.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	106500.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	500.00	500.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶	500.00	500.00
29. Other Disbursements.....	2000.00	43800.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ▶	2500.00	151037.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ▶	2500.00	151037.00
<b>III. Net Contributions/Operating Expenditures</b>		
32. Total Contributions (other than loans) from Line 11(d), page 3).....	13905.20	108872.20
33. Total Contribution Refunds (from Line 28(d)).....	500.00	500.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	13405.20	108372.20
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ▶	0.00	237.00
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ▶	0.00	237.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 5 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Donald INGS

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
102 Trellingwood Dr

City State Zip Code  
Morrisville NC 27560

Amount of Each Receipt this Period  
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)  
Primary General Other (specify) ▼ 800.00

Transaction ID: PR38055328408

**B.** Full Name (Last, First, Middle Initial)  
Mark HANBACK

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
28421 Cooper Rd.

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Product Manager-Undercarriage

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 275.00

Transaction ID: PR38052998408

**C.** Full Name (Last, First, Middle Initial)  
James GREEN

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
1133 W Brookforest Dr

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)  
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38052268408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **170.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 27

(check only one)

11a  11b  11c  12  
 13  14  15  16  17

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NAME OF COMMITTEE (In Full)

The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy ELDER

Mailing Address

918 W Bridgetowne

City

State

Zip Code

Dunlap

IL

61525

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

40.00

FEC ID number of contributing  
federal political committee.

Name of Employer  
Caterpillar, Inc.

Occupation

Director Of Corp Public Affair

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

P/R Deduction (\$40.00 Mon-  
thly)

Transaction ID: PR38048528408

Full Name (Last, First, Middle Initial)

B. James ENGLISH

Mailing Address

2001 21st Ave South

Apt #212

City

State

Zip Code

Nashville

TN

37212

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

30.00

FEC ID number of contributing  
federal political committee.

Name of Employer  
Caterpillar, Inc.

Occupation

CFSC Executive Vice President

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

P/R Deduction (\$30.00 Mon-  
thly)

Transaction ID: PR38048738408

Full Name (Last, First, Middle Initial)

C. Kenneth Dennis

Mailing Address

3197 Camino De Las Piedras

City

State

Zip Code

El Cajon

CA

92019

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

30.00

FEC ID number of contributing  
federal political committee.

Name of Employer  
Caterpillar Inc - Solar

Occupation

Caterpillar Solar Manager

Receipt For:

Primary General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

P/R Deduction (\$30.00 Mon-  
thly)

Transaction ID: PR38048328408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶

**100.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 7 / 27				
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input type="checkbox"/> 17		

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Francis MC PHEETERS**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
5201 N Shag Bark Cir

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)  
Primary General Other (specify) ▼ 720.00

Transaction ID: PR38060478408

Full Name (Last, First, Middle Initial)  
**B. Ali BAHAJ**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
Caterpillar Inc 100 N.e. Adams St

City State Zip Code  
Peoria IL 61629

Amount of Each Receipt this Period  
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 240.00

Transaction ID: PR38042648408

Full Name (Last, First, Middle Initial)  
**C. Sidney BANWART**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
12918 Georgetowne Rd

City State Zip Code  
Dunlap IL 61525

Amount of Each Receipt this Period  
100.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)  
Primary General Other (specify) ▼ 800.00

Transaction ID: PR38042828408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **220.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 8 / 27				
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input type="checkbox"/> 17		

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
James BEARD

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
133 Prospect Hill

City State Zip Code  
Nashville TN 37205

Amount of Each Receipt this Period  
82.50

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$82.50 Monthly)  
Primary General Other (specify) ▼ 500.00

Transaction ID: PR38043238408

**B.** Full Name (Last, First, Middle Initial)  
Daniel BINZ

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
8D Crestview Dr

City State Zip Code  
Oswego IL 60543

Amount of Each Receipt this Period  
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Forest Products Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 240.00

Transaction ID: PR38043918408

**C.** Full Name (Last, First, Middle Initial)  
Kent ADAMS

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
9196 Brushboro Drive

City State Zip Code  
Brentwood TN 37027

Amount of Each Receipt this Period  
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Corp Support Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)  
Primary General Other (specify) ▼ 280.00

Transaction ID: PR38041768408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **127.50**

**TOTAL** This Period (last page this line number only) ..... ▶



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 9 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Glen BARTON

Mailing Address  
242 W Detweiller Dr

City State Zip Code  
Peoria IL 61615

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Chrmn Of Board

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$250.00 Monthly)  
Primary General Other (specify) ▼ 5500.00

Date of Receipt  
M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 0 2

Amount of Each Receipt this Period  
250.00

Transaction ID: PR38043118408

**B.** Full Name (Last, First, Middle Initial)  
Glen BARTON

Mailing Address  
242 W Detweiller Dr

City State Zip Code  
Peoria IL 61615

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Chrmn Of Board

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$250.00 Monthly)  
Primary General Other (specify) ▼ 5000.00

Date of Receipt  
M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 0 2

Amount of Each Receipt this Period  
0.00

[MEMO ITEM]  
Refund(s) on Schedule B Totaling \$500.00 This changes the YTD Total to \$50,00.00

Transaction ID: 7020180

**C.** Full Name (Last, First, Middle Initial)  
Richard BENSON

Mailing Address  
1013 Hillcrest Dr

City State Zip Code  
Washington IL 61571

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$65.00 Monthly)  
Primary General Other (specify) ▼ 520.00

Date of Receipt  
M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 0 2

Amount of Each Receipt this Period  
65.00

Transaction ID: PR38043548408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **315.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 10 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
David ESBECK

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
14083 Caminito Vistana

City State Zip Code  
San Diego CA 92130

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President, Engineering

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38040858408

**B.** Full Name (Last, First, Middle Initial)  
Thomas GALES

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
8587 SW 87th Court

City State Zip Code  
Pinecrest FL 33156

Amount of Each Receipt this Period  
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)  
Primary General Other (specify) ▼ 720.00

Transaction ID: PR38051088408

**C.** Full Name (Last, First, Middle Initial)  
Robin BERAN

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
3250 W Westport Rd

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Director Corporate Tax

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)  
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38043558408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **185.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 11 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Christopher GLYNN**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
63 St Marks Circle

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Director Of Corp Human Rel

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38051748408

Full Name (Last, First, Middle Initial)  
**B. Edward GOODRICH**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
6224 Hillsboro Road

City State Zip Code  
Nashville TN 37215

Amount of Each Receipt this Period  
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Customer Business Center Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)  
Primary General Other (specify) ▼ 260.00

Transaction ID: PR38051948408

Full Name (Last, First, Middle Initial)  
**C. Douglas GREW**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
900 W Bridgetowne Ct

City State Zip Code  
Dunlap IL 61525

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Governmental Affairs Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38047428408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **125.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 12 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Brad CHAMBERS**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
1B Crystal Court

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 250.00

Transaction ID: PR3804628408

Full Name (Last, First, Middle Initial)  
**B. Erich DROCHNER**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
640 Brittany Ct

City State Zip Code  
Mt Zion IL 62549

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Product Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38046058408

Full Name (Last, First, Middle Initial)  
**C. Stephen LARSON**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
4819 Weaver Ridge Blvd

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Regional Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 240.00

Transaction ID: PR38057578408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **130.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 13 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Richard LAVIN

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
4718 N Knoxville Av

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)  
Primary General Other (specify) ▼ 520.00

Transaction ID: PR38057998408

**B.** Full Name (Last, First, Middle Initial)  
William MAYO

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
400 E Jefferson St

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
60.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Product Manager-Large TTT

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 275.00

Transaction ID: PR38056788408

**C.** Full Name (Last, First, Middle Initial)  
Mark MC DANIEL

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
Cat Mexico S A

City State Zip Code  
Monterey Mexico

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Director General

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38060088408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **180.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 14 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Thomas MC DANIEL**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
921 James Ct

City State Zip Code  
Forsyth IL 62535

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38060098408

Full Name (Last, First, Middle Initial)  
**B. Dale MESSINGER**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
206 Orleans Dr

City State Zip Code  
Bloomington IL 61701

Amount of Each Receipt this Period  
35.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Fuel Systems Product Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$35.00 Monthly)  
Primary General Other (specify) ▼ 280.00

Transaction ID: PR38060828408

Full Name (Last, First, Middle Initial)  
**C. Daniel MURPHY**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
5804 N Prospect Rd

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
90.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$90.00 Monthly)  
Primary General Other (specify) ▼ 720.00

Transaction ID: PR38062048408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **165.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 15 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Douglas OBERHELMAN

Mailing Address  
6005 N Kickapoo Edward

City State Zip Code  
Edwards IL 61528

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)  
Primary General Other (specify) ▼ 800.00

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
75.00

Transaction ID: PR38062998408

**B.** Full Name (Last, First, Middle Initial)  
James OWENS

Mailing Address  
5504 N Prospect Rd

City State Zip Code  
Peoria Heights IL 61616

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$100.00 Monthly)  
Primary General Other (specify) ▼ 800.00

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
100.00

Transaction ID: PR38063388408

**C.** Full Name (Last, First, Middle Initial)  
Gerard PALMER

Mailing Address  
2415 Fawn Lake Cir

City State Zip Code  
Naperville IL 60564

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)  
Primary General Other (specify) ▼ 560.00

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
85.00

Transaction ID: PR38063428408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **260.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 16 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A. Alan RASSI** Date of Receipt \_\_\_\_\_  
 Mailing Address \_\_\_\_\_  
 5958 N Elm Ln \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Peoria IL 61614  
 Amount of Each Receipt this Period \_\_\_\_\_  
 FEC ID number of contributing federal political committee. \_\_\_\_\_ 100.00  
 Name of Employer \_\_\_\_\_ Occupation \_\_\_\_\_  
 Caterpillar, Inc. Vice President  
 Receipt For: \_\_\_\_\_ Aggregate Year-to-Date ▼ \_\_\_\_\_  
 Primary General Other (specify) ▼ \_\_\_\_\_ 800.00  
 P/R Deduction (\$100.00 Monthly) \_\_\_\_\_  
 Transaction ID: PR38064798408

**B. James REEB** Date of Receipt \_\_\_\_\_  
 Mailing Address \_\_\_\_\_  
 605 Bethel Dr \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Joliet IL 60435  
 Amount of Each Receipt this Period \_\_\_\_\_  
 FEC ID number of contributing federal political committee. \_\_\_\_\_ 50.00  
 Name of Employer \_\_\_\_\_ Occupation \_\_\_\_\_  
 Caterpillar, Inc. Operations Manager  
 Receipt For: \_\_\_\_\_ Aggregate Year-to-Date ▼ \_\_\_\_\_  
 Primary General Other (specify) ▼ \_\_\_\_\_ 400.00  
 P/R Deduction (\$50.00 Monthly) \_\_\_\_\_  
 Transaction ID: PR38064878408

**C. Craig RIEDIGER** Date of Receipt \_\_\_\_\_  
 Mailing Address \_\_\_\_\_  
 CAT S.A.R.L. \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
 Geneva Switzerland 00000  
 Amount of Each Receipt this Period \_\_\_\_\_  
 FEC ID number of contributing federal political committee. \_\_\_\_\_ 45.00  
 Name of Employer \_\_\_\_\_ Occupation \_\_\_\_\_  
 Caterpillar, Inc. Pending  
 Receipt For: \_\_\_\_\_ Aggregate Year-to-Date ▼ \_\_\_\_\_  
 Primary General Other (specify) ▼ \_\_\_\_\_ 360.00  
 P/R Deduction (\$45.00 Monthly) \_\_\_\_\_  
 Transaction ID: PR38065058408

**SUBTOTAL** of Receipts This Page (optional) ..... ► **195.00**  
**TOTAL** This Period (last page this line number only) ..... ►



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 17 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Marvin ROSSER**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
310 Grebner Road

City State Zip Code  
Metamora IL 61548

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Operations Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38065988408

Full Name (Last, First, Middle Initial)  
**B. John RUTHMAN, MD**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
326 W Eagle Nest Rd

City State Zip Code  
Dunlap IL 61525

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Plant Medical Director

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 220.00

Transaction ID: PR38066188408

Full Name (Last, First, Middle Initial)  
**C. Thomas RUXLOW**

Date of Receipt  
M M / D D / Y Y Y Y

Mailing Address  
212 W Woodridge Dr

City State Zip Code  
Dunlap IL 61525

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Director, Corp Security Svcs

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38066188408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **130.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 18 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Bruce SCHLVER

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
4828 Daermesadow Dr

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38067058408

**B.** Full Name (Last, First, Middle Initial)  
Cecilia SCOTT STANFEL

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
37 Spinnaker Way

City State Zip Code  
Coronado Cays CA 92118

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Chief Financial Officer

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 270.00

Transaction ID: PR38067138408

**C.** Full Name (Last, First, Middle Initial)  
Gerak SHAHEEN

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
119 E Pawnee Ct

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
63.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$63.00 Monthly)  
Primary General Other (specify) ▼ 504.00

Transaction ID: PR38067418408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **143.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 19 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
William SMITH

Mailing Address  
12314 N Wood Ridge Ct

City State Zip Code  
Dunlap IL 61525

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Commerical Systems & Svcs Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 240.00

Transaction ID: PR38068448408

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
30.00

**B.** Full Name (Last, First, Middle Initial)  
Robert STRUBE

Mailing Address  
108 Forestview Rd

City State Zip Code  
Morton IL 61550

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. President, Caterpillar Ag Prod

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38068538408

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
40.00

**C.** Full Name (Last, First, Middle Initial)  
Mark THOMPSON

Mailing Address  
10 Diamond Pt

City State Zip Code  
Morton IL 61550

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 220.00

Transaction ID: PR38070378408

Date of Receipt  
M / D / Y Y Y Y

Amount of Each Receipt this Period  
50.00

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **120.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 20 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Richard THOMPSON**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
2311 E Tanglewood Ln

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Group President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38070398408

Full Name (Last, First, Middle Initial)  
**B. Stephen Turnbull**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
5135 Ellsworth St.

City State Zip Code  
San Diego CA 92110

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar Inc. - Solar Caterpillar Solar Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38070748408

Full Name (Last, First, Middle Initial)  
**C. Thomas WALTERS**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
331 Dover Dr

City State Zip Code  
Chatham IL 62829

Amount of Each Receipt this Period  
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Governmental Affairs Rep

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 220.00

Transaction ID: PR38071678408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **110.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 21 / 27					
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Gary STAMPANATO**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
8D1 Deerwood Ridge

City State Zip Code  
Lafayette IN 47905

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. General Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38068898408

Full Name (Last, First, Middle Initial)  
**B. Gary STROUP**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
12107 Travertine Ct

City State Zip Code  
Poway CA 92064

Amount of Each Receipt this Period  
80.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$80.00 Monthly)  
Primary General Other (specify) ▼ 640.00

Transaction ID: PR38068518408

Full Name (Last, First, Middle Initial)  
**C. William SPRINGER**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
420 Ravinswood Rd

City State Zip Code  
Peoria IL 61615

Amount of Each Receipt this Period  
40.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$40.00 Monthly)  
Primary General Other (specify) ▼ 320.00

Transaction ID: PR38068758408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **160.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 22 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
**A. Paul WRÓBLEWSKI**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
175 Streamview Ct.

City State Zip Code  
Fayetteville GA 30215

Amount of Each Receipt this Period  
45.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Global Tech Services Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$45.00 Monthly)  
Primary General Other (specify) ▼ 360.00

Transaction ID: PR38073138408

Full Name (Last, First, Middle Initial)  
**B. Steven WUNNING**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
471 E High Point Drive

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
85.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$85.00 Monthly)  
Primary General Other (specify) ▼ 660.00

Transaction ID: PR38073148408

Full Name (Last, First, Middle Initial)  
**C. Daniel VIERLING**

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
42 Waldheim Rd

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Logistics Information Svcs Mgr

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38071318408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **180.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 23 / 27	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
William WENDLE

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
6862 N Fox Point Dr

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
30.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Business Resource Manager

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$30.00 Monthly)  
Primary General Other (specify) ▼ 240.00

Transaction ID: PR38072068408

**B.** Full Name (Last, First, Middle Initial)  
Kenneth ZIKA

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
12202 Wake Robin Way

City State Zip Code  
Dunlap IL 61525

Amount of Each Receipt this Period  
60.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Corporate Controller

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 400.00

Transaction ID: PR38073408408

**C.** Full Name (Last, First, Middle Initial)  
Sherril WEST

Date of Receipt  
M / D / Y Y Y Y

Mailing Address  
10 Kara Ct

City State Zip Code  
Washington IL 61571

Amount of Each Receipt this Period  
60.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Vice President

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$60.00 Monthly)  
Primary General Other (specify) ▼ 480.00

Transaction ID: PR38072138408

**SUBTOTAL** of Receipts This Page (optional) ..... ▶ **140.00**

**TOTAL** This Period (last page this line number only) ..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 24 / 27	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Gregory FOLLEY

Date of Receipt  
M / M / D D C C / Y Y Y Y

Mailing Address  
6528 N St Marys Rd

City State Zip Code  
Peoria IL 61614

Amount of Each Receipt this Period  
50.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Director of Comp + Benefits

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$50.00 Monthly)  
Primary General Other (specify) ▼ 220.00

Transaction ID: PR49871678408

**B.** Full Name (Last, First, Middle Initial)  
Michael TAYLOR

Date of Receipt  
M / M / D D C C / Y Y Y Y

Mailing Address  
120 Maple Rdg Dr

City State Zip Code  
Morton IL 61550

Amount of Each Receipt this Period  
75.00

FEC ID number of contributing federal political committee.

Name of Employer Occupation  
Caterpillar, Inc. Assistant Medical Director

Receipt For: Aggregate Year-to-Date ▼ P/R Deduction (\$75.00 Monthly)  
Primary General Other (specify) ▼ 300.00

Transaction ID: PR50077608408

**C.**

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	▶	<b>125.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	▶	<b>3280.50</b>



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 25 / 27
	<input type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input checked="" type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial)  
A. First Bank

Mailing Address  
240 SW Jefferson St.

City State Zip Code  
Peoria IL 61602

Date of Receipt  
M M / D D / Y Y Y Y  
08 / 31 / 2002

FEC ID number of contributing federal political committee.

Amount of Each Receipt this Period  
35.33

Name of Employer Occupation

Receipt For: Aggregate Year-to-Date ▼ Bank interest  
Primary General  
Other (specify) ▼ 403.34

Transaction ID: 7003324

B.

C.

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	▶	<b>35.33</b>
<b>TOTAL</b> This Period (last page this line number only) .....	▶	<b>35.33</b>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
				<input type="checkbox"/> 29

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Glen BARTON</b>		Date of Disbursement 08 / 31 / 2002	
Mailing Address 242 W Detweiller Dr City Pearia State IL Zip Code 61615		Amount of Each Disbursement this Period 500.00	
Purpose of Disbursement Refund of contribution Candidate Name		010 Category/ Type	
Office Sought: House Senate President State:           District: 0	Disbursement For: Primary           General Other (specify) ▼		Refund of contribution  Transaction ID: 7010B08

**B.**

**C.**

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	▶	<b>500.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	▶	<b>500.00</b>

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 27 / 27

21b  22  23  24  25  
 26  27  28a  28b  28c  29

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NAME OF COMMITTEE (In Full)  
The Caterpillar Employee Political Action Committee

Full Name (Last, First, Middle Initial) <b>A. Committee to Elect Dean Alverson</b>		Date of Disbursement 08 / 06 / 2002	
Mailing Address 425 DeMalle Court City Alphaletta State GA Zip Code 30022		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Dean Alverson, STATE SENATE GA		011 Category/ Type	
Candidate Name Dean Alverson		Dean Alverson, STATE SENATE GA	
Office Sought: House X Senate President	Disbursement For: 2002 X Primary      General Other (specify) ▼	Transaction ID: 6853892	
State: GA      District: 27			

Full Name (Last, First, Middle Initial) <b>B. Terry Lawler for State Senate</b>		Date of Disbursement 08 / 06 / 2002	
Mailing Address 1885 Withmere Way City Atlanta State GA Zip Code 30338		Amount of Each Disbursement this Period 1000.00	
Purpose of Disbursement Terry Lawler, STATE SENATE GA		011 Category/ Type	
Candidate Name Terry Lawler		Terry Lawler, STATE SENATE GA	
Office Sought: House X Senate President	Disbursement For: 2002 X Primary      General Other (specify) ▼	Transaction ID: 6854050	
State: GA      District: 40			

**C.**

<b>SUBTOTAL</b> of Disbursements This Page (optional) .....	<b>2000.00</b>
<b>TOTAL</b> This Period (last page this line number only) .....	<b>2000.00</b>