



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

February 6, 2017

STEVEN WELZER, TREASURER  
JILL STEIN FOR PRESIDENT  
22 KENDALL ROAD  
LEXINGTON, MA 02421

**Response Due Date**

**03/13/2017**

IDENTIFICATION NUMBER: C00581199

REFERENCE: 30 DAY POST-GENERAL REPORT (10/20/2016 - 11/28/2016)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in enforcement action.** Additional information is needed for the following 5 item(s):

1. Schedule A-P of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$2,700 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for

JILL STEIN FOR PRESIDENT

Page 2 of 6

each option are provided below.

For reattributions, excessive contributions from individuals can be retained if, within 60 days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing

JILL STEIN FOR PRESIDENT

Page 3 of 6

and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 28(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B-P of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A-P of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

**2.** Schedule A-P of your report discloses one or more contributions received after the general election that are designated for the general. These contributions may only be accepted to the extent that the committee has net debts outstanding from the general election. For more information on how to calculate net debts outstanding, please see page 27 of the Campaign Guide for Congressional Candidates and Committees, which is available online at <http://www.fec.gov/pdf/candgui.pdf>. (11 CFR § 110.1(b)(3)(i))

A contribution is considered made when the contributor relinquishes control over the contribution. A contributor shall be considered to have relinquished control over the contribution when it is delivered to the candidate, when it is delivered to an authorized committee of the candidate, or to an agent of an authorized committee of the candidate. A contribution that is mailed to any of the aforementioned recipients will be considered made on the date of the postmark. Envelopes should be retained for the committee's records. (11 CFR § 110.1(b)(6))

If any contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If a contribution was made by the contributor before or on the date of the applicable election, please amend your report to indicate this using memo text, or provide this information in a Miscellaneous Document (Form 99 for electronic filers).

If a contribution exceeds the amount of net debts outstanding from the general election, you may have to refund or redesignate the contribution.

JILL STEIN FOR PRESIDENT

Page 4 of 6

The funds can be retained if, within 60 days of receipt, the excessive amount was properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) the committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B))

If the foregoing conditions for redesignations are not met within 60 days of receipt, the excessive amount must be refunded. See 11 CFR § 103.3(b)(3).

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters redesignating the contributions in question. Refunds are reported on Line 28(a), (b) or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B-P of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A-P of the report covering the period in which the authorization for the redesignation is received. (11 CFR § 104.8(d) (2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund or redesignate the excessive amount will be taken into consideration.

**3.** On the Post-Election Detailed Summary Page of your report, you have incorrectly disclosed the General Election date as 11/1/2016. Using an incorrect date of election will result in incorrect figures for Columns B-P and C-P of the Post-Election Detailed Summary Page. The General Election was held on 11/8/2016. Please amend your report to include the correct General Election date. (11 CFR § 104.3)

**4.** Line 17(a)(ii) of the Detailed Summary Page discloses \$4,477,490.00 in unitemized receipts from individuals/persons other than political committees during the reporting period. When contributions from an individual reach \$200 for an election cycle, each subsequent contribution from that individual must be

JILL STEIN FOR PRESIDENT

Page 5 of 6

itemized, regardless of the amount. The itemization should include the full name, mailing address and zip code of the person, along with the name of his/her employer, the date of the contribution, his/her occupation, the election designation and the election cycle-to-date amount of contributions made by the person. Please amend your report to provide a Schedule A-P if necessary, or to clarify that for the contributions in question, the aggregate total for the election cycle for each contributor does not exceed \$200. (11 CFR § 104.3(a)(4)(i))

5. Schedule A-P of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-General Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. (11 CFR § 104.5(f))

If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information.

- Please be advised that contributions to a recount fund should be itemized on Schedule A-P supporting Line 21 of the Detailed Summary Page. They should not be listed on Line 17(a)(i). (FEC Advisory Opinion 2006-24)

- Your report disclosed a category of financial activity that appears to be disclosed on the wrong line of the Detailed Summary Page. Disbursements for a recount should be properly disclosed on a separate Schedule B-P, supporting Line 29 of the Detailed Summary Page. Please refer to the Form 3-P instructions for each line and FEC Advisory Opinion 2006-24 when determining the proper categorization(s) for your next filing. (11 CFR § 104.3(a) and (b))

**Please note you will not receive an additional notice from the Commission on this matter.** Adequate responses received on or before this date will be taken into consideration. **Requests for extensions of time in which to respond will not be considered.** Failure to comply with the provisions of the Act may result in an enforcement action against the committee. Any response submitted by your committee

JILL STEIN FOR PRESIDENT

Page 6 of 6

will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For additional information about the report review process or specific filing information for your committee type, please visit <http://www.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1174.

Sincerely,

A handwritten signature in black ink, appearing to read "Jaime", with a long, sweeping horizontal line extending to the right.

Jaime Amrhein  
Senior Campaign Finance Analyst  
Reports Analysis Division

433

**Excessive, Prohibited, and Impermissible Contributions**  
**Jill Stein for President (C00581199)**

**Excessive Contributions from Individuals**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>
Grace, Lori	9/2/16	\$2,000.00	G2016
Grace, Lori	10/31/16	\$250.00	G2016
Grace, Lori	11/3/16	\$250.00	G2016
Grace, Lori	11/4/16	\$500.00	G2016
Harmon, Linda	8/25/16	\$500.00	G2016
Harmon, Linda	9/2/16	\$200.00	G2016
Harmon, Linda	9/9/16	\$250.00	G2016
Harmon, Linda	9/19/16	\$700.00	G2016
Harmon, Linda	9/26/16	\$500.00	G2016
Harmon, Linda	10/13/16	\$500.00	G2016
Harmon, Linda	10/17/16	\$1,000.00	G2016
Harmon, Linda	10/18/16	\$1,000.00	G2016
Harmon, Linda	10/19/16	\$200.00	G2016
Harmon, Linda	11/2/16	\$350.00	G2016
Manley, Mark	11/15/15	\$100.00	G2016
Manley, Mark	12/15/15	\$100.00	G2016
Manley, Mark	1/12/16	\$100.00	G2016
Manley, Mark	1/15/16	\$100.00	G2016
Manley, Mark	3/15/16	\$100.00	G2016
Manley, Mark	4/15/16	\$100.00	G2016
Manley, Mark	5/15/16	\$100.00	G2016
Manley, Mark	6/11/16	\$1.00	G2016
Manley, Mark	6/11/16	\$1.00	G2016
Manley, Mark	6/15/16	\$100.00	G2016
Manley, Mark	8/9/16	\$702.00	G2016
Manley, Mark	8/9/16	\$298.00	G2016
Manley, Mark	9/10/16	\$100.00	G2016
Manley, Mark	9/12/16	\$1.00	G2016
Manley, Mark	9/16/16	\$100.00	G2016
Manley, Mark	9/18/16	\$100.00	G2016
Manley, Mark	10/7/16	\$100.00	G2016
Manley, Mark	10/16/16	\$240.00	G2016
Manley, Mark	10/26/16	\$500.00	G2016
Rich, Priscilla	8/7/16	\$100.00	G2016
Rich, Priscilla	8/7/16	\$100.00	G2016

**Excessive, Prohibited, and Impermissible Contributions  
Jill Stein for President (C00581199)**

Rich, Priscilla	8/8/16	\$100.00	G2016
Rich, Priscilla	8/10/16	\$60.00	G2016
Rich, Priscilla	8/11/16	\$27.00	G2016
Rich, Priscilla	8/19/16	\$29.00	G2016
Rich, Priscilla	8/21/16	\$100.00	G2016
Rich, Priscilla	8/25/16	\$29.00	G2016
Rich, Priscilla	8/26/16	\$100.00	G2016
Rich, Priscilla	9/3/16	\$100.00	G2016
Rich, Priscilla	9/5/16	\$60.00	G2016
Rich, Priscilla	9/7/16	\$29.00	G2016
Rich, Priscilla	9/8/16	\$49.00	G2016
Rich, Priscilla	9/9/16	\$5.00	G2016
Rich, Priscilla	9/11/16	\$100.00	G2016
Rich, Priscilla	9/12/16	\$60.00	G2016
Rich, Priscilla	9/14/16	\$100.00	G2016
Rich, Priscilla	9/16/16	\$100.00	G2016
Rich, Priscilla	9/17/16	\$125.00	G2016
Rich, Priscilla	9/21/16	\$59.00	G2016
Rich, Priscilla	9/21/16	\$100.00	G2016
Rich, Priscilla	9/22/16	\$100.00	G2016
Rich, Priscilla	9/23/16	\$27.00	G2016
Rich, Priscilla	9/26/16	\$100.00	G2016
Rich, Priscilla	9/30/16	\$100.00	G2016
Rich, Priscilla	10/3/16	\$100.00	G2016
Rich, Priscilla	10/5/16	\$100.00	G2016
Rich, Priscilla	10/5/16	\$100.00	G2016
Rich, Priscilla	10/7/16	\$100.00	G2016
Rich, Priscilla	10/10/16	\$29.00	G2016
Rich, Priscilla	10/12/16	\$27.00	G2016
Rich, Priscilla	10/17/16	\$29.00	G2016
Rich, Priscilla	10/18/16	\$100.00	G2016
Rich, Priscilla	10/21/16	\$100.00	G2016
Rich, Priscilla	10/21/16	\$100.00	G2016
Rich, Priscilla	10/21/16	\$27.00	G2016
Rich, Priscilla	10/22/16	\$200.00	G2016
Rich, Priscilla	10/24/16	\$29.00	G2016
Rich, Priscilla	10/25/16	\$63.00	G2016
Rich, Priscilla	10/27/16	\$200.00	G2016
Rich, Priscilla	10/28/16	\$27.00	G2016
Rich, Priscilla	10/28/16	\$200.00	G2016

**Excessive, Prohibited, and Impermissible Contributions**  
**Jill Stein for President (C00581199)**

Rich, Priscilla	10/29/16	\$100.00	G2016
Rich, Priscilla	10/30/16	\$120.00	G2016
Rich, Priscilla	10/31/16	\$29.00	G2016
Rich, Priscilla	10/31/16	\$200.00	G2016
Rich, Priscilla	11/1/16	\$200.00	G2016
Rich, Priscilla	11/3/16	\$100.00	G2016
Rich, Priscilla	11/4/16	\$27.00	G2016
Rodriguez, Isaac	10/14/16	\$1,000.00	G2016
Rodriguez, Isaac	10/17/16	\$1,000.00	G2016
Rodriguez, Isaac	10/27/16	\$1,000.00	G2016
Rodriguez, Isaac	10/30/16	\$2,000.00	G2016
Senior, John	10/19/16	\$1,000.00	G2016
Senior, John	11/2/16	\$2,000.00	G2016

**Missing 48-Hour Notices**  
**Jill Stein for President (C00581199)**

<b>Contributor Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>
Eastman-Abaya, Robin	10/20/16	\$1,000.00	G2016
Roush, David	10/20/16	\$2,500.00	G2016
Amin, Mahmood	10/22/16	\$2,700.00	G2016
Amin, Shirin	10/22/16	\$2,600.00	G2016
Niknejad, Kamran	10/22/16	\$1,000.00	G2016
Simonian, Stephan	10/22/16	\$1,000.00	G2016
Soliman, Anwar	10/22/16	\$2,700.00	G2016
Bunetta, Deborah	10/24/16	\$2,000.00	G2016
Levy, Sheldon	10/24/16	\$1,000.00	G2016
Mathews, Walden	10/26/16	\$1,000.00	G2016
Koranyi, Adam	10/27/16	\$1,500.00	G2016
Rodriguez, Isaac	10/27/16	\$1,000.00	G2016
Tsan, Wylie	10/27/16	\$1,000.00	G2016
Walz, Robert	10/27/16	\$1,600.00	G2016
Grace, Frederick	10/28/16	\$1,000.00	G2016
Hart, Louisa	10/29/16	\$1,000.00	G2016
Shukairy, Mohammad	10/29/16	\$2,700.00	G2016
Craig, Barbara	10/30/16	\$1,000.00	G2016
Rodriguez, Isaac	10/30/16	\$2,000.00	G2016
Sun, Kiayu	11/1/16	\$2,000.00	G2016
Grage, Jody	11/2/16	\$1,000.00	G2016
Saber, Shien-lin	11/2/16	\$2,500.00	G2016
Senior, John	11/2/16	\$2,000.00	G2016
McCarthy, Murielle	11/5/16	\$1,000.00	G2016
Power, Romina	11/5/16	\$1,000.00	G2016