05/20/2004 11 : 53

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

**For Other Than An Authorized Committee**

Office Use Only

1. NAME OF COMMITTEE (in full) — **USE FEC MAILING LABEL OR TYPE OR PRINT** ▼ — Example: If typing, type over the lines

Genentech, Inc. Political Action Committee

ADDRESS (number and street) ▼ 460 Point San Bruno Blvd.

M.S. 24

Check if different than previously reported. (ACC)

South San Francisc | CA | 94080

2. **FEC IDENTIFICATION NUMBER** ▼ — CITY ▲ STATE ▲ ZIPCODE ▲

C00199257

3. IS THIS REPORT — NEW (N) **OR** X AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)

July 15 Quarterly Report(Q2)

October 15 Quarterly Report(Q3)

January 31 Quarterly Report(YE)

July 31 Mid-Year Report(Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On: X

| | | | |
|---|---|---|---|
| Feb 20 (M2) | May 20 (M5) | Aug 20 (M8) | Nov 20 (M11) (Non-Election Year Only) |
| Mar 20 (M3) | Jun 20 (M6) | Sep 20 (M9) | Dec 20 (M12) (Non-Election Year Only) |
| Apr 20 (M4) | Jul 20 (M7) | Oct 20 (M10) | Jan 31 (YE) |

(c) 12-Day **PRE**-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12G)

Election on in the State of

(d) 30-Day **Post**-Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on in the State of

5. Covering Period 01 01 2004 through 01 31 2004

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Robert Wong

Signature of Treasurer Electronically Filed by Robert Wong Date 05 13 2004

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

| Office Use Only | | | | | | | |
|---|---|---|---|---|---|---|---|

**FEC FORM 3X**
(Rev. 02/2003)

# SUMMARY PAGE
## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name
Genenbach, Inc. Political Action Committee

Report Covering the Period: From: 01 01 2004 To: 01 31 2004

| | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|---|---|
| 6. (a) Cash on Hand January 1 2004 | | 11632.54 |
| (b) Cash on Hand at Begining of Reporting Period ..... | 11632.54 | |
| (c) Total Receipts (from Line 19) ..... | 8349.10 | 8349.10 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) ..... | 20181.64 | 20181.64 |
| 7. Total Disbursements (from Line 31) ..... | 2000.00 | 2000.00 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) ..... | 18181.64 | 18181.64 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) ..... | 0.00 | |

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

# DETAILED SUMMARY PAGE
OF RECEIPTS

FEC Form 3X (Rev. 02/2003)



Write or Type Committee Name

Genentech, Inc. Political Action Committee

Report Covering the Period: From: 01 01 2004 To: 01 31 2004

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) ..... | 2027.28 | |
| (ii) Unitemized ..... | 6321.82 | |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ▶ | 8349.10 | 8349.10 |
| (b) Political Party Committees ..... | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) ..... | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) ..... ▶ | 8349.10 | 8349.10 |
| 12. Transfers From Affiliated/Other Party Committees ..... | 0.00 | 0.00 |
| 13. All Loans Received ..... | 0.00 | 0.00 |
| 14. Loan Repayments Received ..... | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees ..... | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) ..... | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) ..... | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) ..... | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ..... | 8349.10 | 8349.10 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) ..... | 8349.10 | 8349.10 |

# DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)



| II. DISBURSEMENTS | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 21. Operating Expenditures: | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) Non-Federal Share | 0.00 | 0.00 |
| (b) Other Federal Operating Expenditures | 0.00 | 0.00 |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) ▶ | 0.00 | 0.00 |
| 22. Transfers to Affiliated/Other Party Committees | 0.00 | 0.00 |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees | 2000.00 | 2000.00 |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F) | 0.00 | 0.00 |
| 26. Loan Repayments Made | 0.00 | 0.00 |
| 27. Loans Made | 0.00 | 0.00 |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶ | 0.00 | 0.00 |
| 29. Other Disbursements | 0.00 | 0.00 |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | 0.00 | 0.00 |
| (ii) "Levin" Share | 0.00 | 0.00 |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 2000.00 | 2000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31) | 2000.00 | 2000.00 |

# DETAILED SUMMARY PAGE

of Disbursements



| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|---|---|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 8349.10 | 8349.10 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 8349.10 | 8349.10 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

# SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
James J. Barta
Mailing Address 21 Regent Place
City: Palo Alto State: CA Zip Code: 94301
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: VP, Compliance
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 210.54
Date of Receipt: 01 15 2004
Transaction ID: R43933
Amount of Each Receipt this Period: 105.27
Payroll Deduction

**B.**
Full Name (Last, First, Middle Initial)
James J. Barta
Mailing Address 21 Regent Place
City: Palo Alto State: CA Zip Code: 94301
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: VP, Compliance
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 210.54
Date of Receipt: 01 31 2004
Transaction ID: R44241
Amount of Each Receipt this Period: 105.27
Payroll Deduction

**C.**
Full Name (Last, First, Middle Initial)
Susan D. Desmond-Hellmann
Mailing Address 139 Kings Ct
City: San Carlos State: CA Zip Code: 94070
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: EVP, Develop & Prod Ops & CMO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 15 2004
Transaction ID: R43976
Amount of Each Receipt this Period: 208.33
Payroll Deduction

SUBTOTAL of Receipts This Page (optional) ..... ▶ 418.87

TOTAL This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial): Susan D. Desmond-Hellmann
Mailing Address: 139 Kings Ct
City: San Carlos State: CA Zip Code: 94070
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: EVP, Develop & Prod Ops & CMO
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 31 2004
Transaction ID: R44284
Amount of Each Receipt this Period: 208.33
Payroll Deduction

**B.**
Full Name (Last, First, Middle Initial): Robert Garnick
Mailing Address: 10 Kingswood Circle
City: Hillsborough State: CA Zip Code: 94010
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: SVP, Reg Quality Compliance
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 233.34
Date of Receipt: 01 15 2004
Transaction ID: R44002
Amount of Each Receipt this Period: 116.67
Payroll Deduction

**C.**
Full Name (Last, First, Middle Initial): Robert Garnick
Mailing Address: 10 Kingswood Circle
City: Hillsborough State: CA Zip Code: 94010
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: SVP, Reg Quality Compliance
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 233.34
Date of Receipt: 01 31 2004
Transaction ID: R44310
Amount of Each Receipt this Period: 116.67
Payroll Deduction

SUBTOTAL of Receipts This Page (optional) ..... ▶ **441.67**

TOTAL This Period (last page this line number only) ..... ▶

# SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Louis Lavigne, Jr.
Mailing Address 132B Martino Rd
City: Lafayette State: CA Zip Code: 94549
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: EVP & CFO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 333.42
Date of Receipt: 01 15 2004
Transaction ID: R44064
Amount of Each Receipt this Period: 166.71
Payroll Deduction

**B.**
Full Name (Last, First, Middle Initial)
Louis Lavigne, Jr.
Mailing Address 132B Martino Rd
City: Lafayette State: CA Zip Code: 94549
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: EVP & CFO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 333.42
Date of Receipt: 01 31 2004
Transaction ID: R44372
Amount of Each Receipt this Period: 166.71
Payroll Deduction

**C.**
Full Name (Last, First, Middle Initial)
Arthur D. Levinson
Mailing Address 30 Homeplace Ct
City: Hillsborough State: CA Zip Code: 94010
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: Chairman, President and CEO
Receipt For: Primary General
Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 15 2004
Transaction ID: R44069
Amount of Each Receipt this Period: 208.33
Payroll Deduction

SUBTOTAL of Receipts This Page (optional) ..... ▶ 541.75

TOTAL This Period (last page this line number only) ..... ▶

## SCHEDULE A (FEC Form 3X)
## ITEMIZED RECEIPTS

Use separate schedule(s) or each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 10
(check only one)
[X] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Arthur D. Levinson
Mailing Address 30 Homeplace Ct
City: Hillsborough State: CA Zip Code: 94010
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: Chairman, President and CEO
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 31 2004
Transaction ID: R44377
Amount of Each Receipt this Period: 208.33
Payroll Deduction

**B.**
Full Name (Last, First, Middle Initial)
Walter K. Moore
Mailing Address 1822 Solitaire Ln
City: Mclean State: VA Zip Code: 22101
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: VP, Government Affairs
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 15 2004
Transaction ID: R44103
Amount of Each Receipt this Period: 208.33
Payroll Deduction

**C.**
Full Name (Last, First, Middle Initial)
Walter K. Moore
Mailing Address 1822 Solitaire Ln
City: Mclean State: VA Zip Code: 22101
FEC ID number of contributing federal political committee: C
Name of Employer: Genentech, Inc.
Occupation: VP, Government Affairs
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date: 416.66
Date of Receipt: 01 31 2004
Transaction ID: R44411
Amount of Each Receipt this Period: 208.33
Payroll Deduction

SUBTOTAL of Receipts This Page (optional) ..... ▶ **624.99**

TOTAL This Period (last page this line number only) ..... ▶ **2027.28**

# SCHEDULE B (FEC Form 3X)
## ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

| | | | | | |
|---|---|---|---|---|---|
| ☐ 21b | ☐ 22 | ☒ 23 | ☐ 24 | ☐ 25 | ☐ 26 |
| ☐ 27 | ☐ 28a | ☐ 28b | ☐ 28c | ☐ 29 | ☐ 30b |



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NAME OF COMMITTEE (In Full)
Genentech, Inc. Political Action Committee

**A.**
Full Name (Last, First, Middle Initial)
Citizens for Arlen Specter

Mailing Address 426 C Street, NE
Carriage House

City: Washington
State: DC
Zip Code: 20002

Purpose of Disbursement: Contr.

Candidate Name: Arlen Specter

Category/Type

Office Sought: House / X Senate / President
State: PA District

Disbursement For: 2004
X Primary / General / Other (specify)

Transaction ID: D849
Date of Disbursement: 01 / 30 / 2004

Amount of Each Disbursement this Period: 1000.00

**B.**
Full Name (Last, First, Middle Initial)
Friends of Blanche Lincoln

Mailing Address 122 Maryland Avenue, NE #3D

City: Washington
State: DC
Zip Code: 20002

Purpose of Disbursement: Contr.

Candidate Name: Blanche Lambert Lincoln

Category/Type

Office Sought: House / X Senate / President
State: AR District

Disbursement For: 2004
X Primary / General / Other (specify)

Transaction ID: D848
Date of Disbursement: 01 / 30 / 2004

Amount of Each Disbursement this Period: 1000.00

| | |
|---|---|
| SUBTOTAL of Disbursements This Page (optional) ..... ▶ | 2000.00 |
| TOTAL This Period (last page this line number only) ..... ▶ | 2000.00 |