



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

October 8, 2014

MR. DONALD T. DOHERTY, JR., TREASURER  
PLUMBERS LOCAL UNION NO. 1  
NYC-POLITICAL ACTION COMMITTEE  
158-29 GEORGE MEANY BOULEVARD  
HOWARD BEACH, NY 11414

**Response Due Date**  
**11/12/2014**

IDENTIFICATION NUMBER: C00327478

REFERENCE: JULY QUARTERLY REPORT (04/01/2014 - 06/30/2014)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

**1.** Records at the Commission indicate that your committee and your affiliates may have made contributions which exceed the limits set forth in the Act (see attached). Please be advised that 52 U.S.C. §30116(a) (formerly 2 U.S.C. §441a(a)) prohibits multicandidate committees and their affiliates from making contributions to a candidate for federal office which, when combined, exceed \$5,000 per election.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an excessive contribution, you must request a refund of the excessive amount or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund. (11 CFR §103.3(b) (1) and (3))

If your committee is not an affiliated committee, then your committee must

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demonstrate its independence from party committees within its state, by petitioning the Commission in the form of an Advisory Opinion to determine if it satisfies the criteria of independence described in 11 CFR. §110.3(b).

Please inform the Commission of your corrective action promptly in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). Refunds are reported on Line 16 of the Detailed Summary Page and on Schedule A of the report covering the period during which they are received. Redesignations are reported as memo entries on Schedule B of the report covering the period during which the redesignation is made.

Although the Commission may take further legal action concerning the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

- For your information and consideration when preparing future filings, Schedule B supporting Line 23 of your report discloses contributions to federal candidates for which no election designation has been provided. Commission regulations encourage committees making contributions to federal candidates to designate their contributions in writing for a particular election. Contributions not designated for a particular election will be attributed to the next election for Federal office in which the candidate is participating. (11 CFR §§ 110.1(b)(2) and 110.2(b)(2)) For future filings, please include election designation(s) if applicable.

- Your report discloses certain categories of financial activity that have been reflected on the wrong lines of the Detailed Summary Page. For your information and consideration when preparing future filings, contributions to non-Federal candidates or committees should be properly disclosed on a separate Schedule B, supporting Line 29, of the Detailed Summary Page. Please refer to the instructions for each line when determining the proper categorization(s) for your next filing. (52 U.S.C. §30104(b) (formerly 2 U.S.C §434(b)) and FORM 3X Instructions)

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to

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taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1162.

Sincerely,

A handwritten signature in black ink, appearing to read 'D. Butler', written in a cursive style.

David Butler  
Senior Campaign Finance Analyst  
Reports Analysis Division

**Excessive, Prohibited, and Impermissible Contributions**  
**PLUMBERS LOCAL UNION NO. 1 NYC-POLITICAL ACTION COMMITTEE (C00327478)**

**Excessive Contributions to a Committee/Candidate Committee**

<b>Recipient Name</b>	<b>Date</b>	<b>Amount</b>	<b>Election</b>	<b>Report</b>	<b>Contributing Affiliate</b>
JEFFRIES FOR CONGRESS	8/22/13	\$2,500.00	*P2014	2013 Year-End	C00327478
HAKEEM JEFFRIES	3/21/14	\$2,500.00	P2014	2014 April Monthly	C00012476
JEFFRIES FOR CONGRESS	6/2/14	\$2,500.00	*P2014	2014 July Quarterly	C00327478
STEVE J ISRAEL	2/4/13	\$5,000.00	P2014	2013 March Monthly	C00012476
STEVE ISRAEL FOR CONGRESS	7/24/13	\$2,500.00	*P2014	2013 Year-End	C00327478
STEVE ISRAEL FOR CONGRESS	5/1/14	\$5,000.00	*P2014	2014 July Quarterly	C00327478

\*Schedule B discloses contributions to federal candidates for which no election designation has been provided. Contributions not designated for a particular election will be attributed to the next election for Federal office in which the candidate is participating; therefore, this contribution has been attributed to the next scheduled Federal election for this candidate, the 2014 Primary. (11 CFR §§ 110.2(b)(ii))