

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) USE FEC MAILING LABEL OR TYPE OR PRINT Example: If typing, type over the lines
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street) 1101 New York Avenue, NW
8th Floor
Check if different than previously reported. (ACC) Washington DC 20005

2. FEC IDENTIFICATION NUMBER C00431312
3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year Report, Termination Report
(b) Monthly Report Due On: Feb 20, Mar 20, Apr 20, May 20, Jun 20, Jul 20, Aug 20, Sep 20, Oct 20, Nov 20, Dec 20, Jan 31
(c) 12-Day PRE-Election Report for the: Primary, General, Convention, Special, Runoff
(d) 30-Day Post-Election Report for the: General, Runoff, Special

5. Covering Period 05 01 2010 through 05 31 2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Kenneth E. Bentsen, Jr.

Signature of Treasurer Electronically Filed by Mr. Kenneth E. Bentsen, Jr. Date 06 18 2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Table with 8 columns and 1 row. Last cell contains 'FEC FORM 3X (Rev. 12/2004)'. Office Use Only label in first cell.

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name
Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From:

M	M
0	5

D	D
0	1

Y	Y	Y	Y
2	0	1	0

 To:

M	M
0	5

D	D
3	1

Y	Y	Y	Y
2	0	1	0

	COLUMN A This Period	COLUMN B Calendar Year-to-Date								
6. (a) Cash on Hand January 1 <table border="1"><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td>2</td><td>0</td><td>1</td><td>0</td></tr></table>	Y	Y	Y	Y	2	0	1	0		111977.94
Y	Y	Y	Y							
2	0	1	0							
(b) Cash on Hand at Beginning of Reporting Period	171136.43									
(c) Total Receipts (from Line 19)	25809.84	161285.70								
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	196946.27	273263.64								
7. Total Disbursements (from Line 31)	19918.18	96235.55								
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	177028.09	177028.09								
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00									
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00									

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: To:

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	25315.90	108224.75
(ii) Unitemized	75.76	10825.40
(iii) TOTAL (add Lines 11(a)(i) and (ii)	25391.66	119050.15
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	35000.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	25391.66	154050.15
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	418.18	2235.55
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	5000.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	25809.84	161285.70
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	25809.84	161285.70

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	418.18	2235.55
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	418.18	2235.55
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	19500.00	94000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	19918.18	96235.55
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	19918.18	96235.55

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	25391.66	154050.15
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25391.66	154050.15
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	418.18	2235.55
37. Offsets to Operating Expenditures (from Line 15, page 3)	418.18	2235.55
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 21
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Thomas J. Kinnally	Date of Receipt MM / DD / YYYY 05 / 03 / 2010
	Mailing Address 10 Parker Place	Transaction ID: 18233910
	City State Zip Code Old Tappan NJ 07675-7351	Amount of Each Receipt this Period 2500.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Morgan Stanley	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	

B.	Full Name (Last, First, Middle Initial) Mr. Ronald Ferrelli	Date of Receipt MM / DD / YYYY 05 / 06 / 2010
	Mailing Address 106 Madison Avenue	Transaction ID: 18234617
	City State Zip Code Spring Lake NJ 07762-1412	Amount of Each Receipt this Period 250.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Morgan Stanley Smith Barney	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

C.	Full Name (Last, First, Middle Initial) Mr. Raymond A. Harris, Jr.	Date of Receipt MM / DD / YYYY 05 / 07 / 2010
	Mailing Address 325 Riverside Drive Apt. 22	Transaction ID: 18235205
	City State Zip Code New York NY 10025-4156	Amount of Each Receipt this Period 500.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Morgan Stanley Smith Barney	Occupation Head Retail Asset Management Services	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional)	3250.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 21
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Mr. Robert A. Kindler</p> <p>Mailing Address 44 Dogwood Lane</p> <p>City State Zip Code Rye NY 10580-1703</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Morgan Stanley Head of Mergers & Acquisitions</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 1000.00</p>	<p>Date of Receipt 05 / 07 / 2010</p> <p>Transaction ID: 18235207</p> <p>Amount of Each Receipt this Period 1000.00</p> <p>Contribution</p>
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<p>B. Full Name (Last, First, Middle Initial) Mr. Richard P. Woltman</p> <p>Mailing Address 9560 Waples St, Suite B</p> <p>City State Zip Code San Diego CA 92121-2931</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Girard Securities, Inc. Chairman</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 500.00</p>	<p>Date of Receipt 05 / 07 / 2010</p> <p>Transaction ID: 18235209</p> <p>Amount of Each Receipt this Period 500.00</p> <p>Contribution</p>
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<p>C. Full Name (Last, First, Middle Initial) Mr. Thomas F Price</p> <p>Mailing Address 585 Farview Avenue</p> <p>City State Zip Code Wyckoff NJ 07481-1141</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Securities Industry and Financial Mark Managing Director</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 346.50</p>	<p>Date of Receipt 05 / 07 / 2010</p> <p>Transaction ID: 18235238</p> <p>Amount of Each Receipt this Period 38.50</p>
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SUBTOTAL of Receipts This Page (optional)	1538.50
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 21

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms Elizabeth Varley		Date of Receipt MM / DD / YYYY 05 / 07 / 2010		
	Mailing Address 8424 Frost Way		Transaction ID: 18235239		
	City Annandale	State VA	Zip Code 22003-2221	Amount of Each Receipt this Period 96.20	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Aggregate Year-to-Date 865.80		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

B.	Full Name (Last, First, Middle Initial) Ms. Margaret Simmons		Date of Receipt MM / DD / YYYY 05 / 07 / 2010		
	Mailing Address 4743 Bradley Blvd. Number 304		Transaction ID: 18235240		
	City Chevy Chase	State MD	Zip Code 20815	Amount of Each Receipt this Period 96.20	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Aggregate Year-to-Date 865.80		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

C.	Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt MM / DD / YYYY 05 / 07 / 2010		
	Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID: 18235242		
	City Washington	State DC	Zip Code 20005-4269	Amount of Each Receipt this Period 38.50	
	FEC ID number of contributing federal political committee. C				
	Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Aggregate Year-to-Date 308.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

SUBTOTAL of Receipts This Page (optional) ▶

230.90

TOTAL This Period (last page this line number only) ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 21
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Ms. N. Ellen McCarthy

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
308.00

Date of Receipt: 05 / 07 / 2010
Transaction ID: 18235243
 Amount of Each Receipt this Period: 38.50

B. Full Name (Last, First, Middle Initial)
Mr. Kenneth E. Bentsen, Jr.

Mailing Address 1101 New York Ave, NW
8th Floor

City State Zip Code
Washington DC 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: EVP, Public Policy and Advocacy

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1731.15

Date of Receipt: 05 / 07 / 2010
Transaction ID: 18235244
 Amount of Each Receipt this Period: 192.35

C. Full Name (Last, First, Middle Initial)
Marin E. Gibson

Mailing Address 796 Port Monmouth Rd.

City State Zip Code
Port Monmouth NJ 07758-1676

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director & Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
519.30

Date of Receipt: 05 / 07 / 2010
Transaction ID: 18235245
 Amount of Each Receipt this Period: 57.70

SUBTOTAL of Receipts This Page (optional) ► **288.55**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 21
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Mr. Carter McDowell

Mailing Address 1101 New York Ave, NW
8th Floor

City Washington State DC Zip Code 20005-4269

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Senior Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 05 / 07 / 2010
Transaction ID: 18235254
 Amount of Each Receipt this Period: 100.00

B. Full Name (Last, First, Middle Initial)
Mr. John J. Maurello, Jr.

Mailing Address 300 East 40th Street, #25N

City New York State NY Zip Code 10016-2004

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: 05 / 10 / 2010
Transaction ID: 18252462
 Amount of Each Receipt this Period: 1000.00
 Contribution

C. Full Name (Last, First, Middle Initial)
Mr. John G. Taft

Mailing Address 1418 Mount Curve Avenue

City Minneapolis State MN Zip Code 55403-1010

FEC ID number of contributing federal political committee. **C**

Name of Employer: RBC Wealth Management
Occupation: President and CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: 05 / 14 / 2010
Transaction ID: 18275220
 Amount of Each Receipt this Period: 5000.00
 Contribution

SUBTOTAL of Receipts This Page (optional) ► **6100.00**

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 / 21
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. E. John Moloney	Date of Receipt MM / DD / YYYY 05 / 14 / 2010
	Mailing Address 18 Devon Road	Transaction ID: 18275221
	City State Zip Code Saint Louis MO 63122-4742	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Moloney Securities Co., Inc.	Occupation President & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

B.	Full Name (Last, First, Middle Initial) Mr James R. Allen	Date of Receipt MM / DD / YYYY 05 / 25 / 2010
	Mailing Address 1401 Northwind Road	Transaction ID: 18287224
	City State Zip Code Louisville KY 40207-1635	Amount of Each Receipt this Period 1000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Hilliard Lyons	Occupation Chief Executive Officer	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

C.	Full Name (Last, First, Middle Initial) Mr. T. Timothy Ryan	Date of Receipt MM / DD / YYYY 05 / 20 / 2010
	Mailing Address 120 East 79th Street	Transaction ID: 18287225
	City State Zip Code New York NY 10075-0319	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation President & CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

SUBTOTAL of Receipts This Page (optional)	7000.00
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 / 21
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Ileana F. Rosenthal	Date of Receipt MM / DD / YYYY 05 / 18 / 2010
	Mailing Address 9613 Reach Road	Transaction ID: 18287226
	City Potomac State MD Zip Code 20854-2857	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Executive Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	

B.	Full Name (Last, First, Middle Initial) Mr. Thomas F Price	Date of Receipt MM / DD / YYYY 05 / 21 / 2010
	Mailing Address 585 Farview Avenue	Transaction ID: 18291160
	City Wyckoff State NJ Zip Code 07481-1141	Amount of Each Receipt this Period 38.50
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 385.00	

C.	Full Name (Last, First, Middle Initial) Ms Elizabeth Varley	Date of Receipt MM / DD / YYYY 05 / 21 / 2010
	Mailing Address 8424 Frost Way	Transaction ID: 18291161
	City Annandale State VA Zip Code 22003-2221	Amount of Each Receipt this Period 96.20
	FEC ID number of contributing federal political committee. C	Contribution
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 962.00	

SUBTOTAL of Receipts This Page (optional)	5134.70
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 21
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12		
<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Ms. Margaret Simmons		Date of Receipt MM / DD / YYYY 05 / 21 / 2010		
	Mailing Address 4743 Bradley Blvd. Number 304		Transaction ID: 18291162		
	City Chevy Chase	State MD	Zip Code 20815	Amount of Each Receipt this Period 96.20	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Securities Industry and Financial Mark	Occupation Mananging Director	Aggregate Year-to-Date 962.00		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

B.	Full Name (Last, First, Middle Initial) Mr. John Hallmark		Date of Receipt MM / DD / YYYY 05 / 21 / 2010		
	Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID: 18291164		
	City Washington	State DC	Zip Code 20005-4269	Amount of Each Receipt this Period 38.50	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Aggregate Year-to-Date 346.50		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

C.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy		Date of Receipt MM / DD / YYYY 05 / 21 / 2010		
	Mailing Address 1101 New York Ave, NW 8th Floor		Transaction ID: 18291165		
	City Washington	State DC	Zip Code 20005-4269	Amount of Each Receipt this Period 38.50	
	FEC ID number of contributing federal political committee. C		Contribution		
	Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	Aggregate Year-to-Date 346.50		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼					

SUBTOTAL of Receipts This Page (optional)	173.20
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 / 21
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.	Date of Receipt MM / DD / YYYY 05 / 21 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18291166
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 192.35
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Securities Industry and Financial Mark Occupation: EVP, Public Policy and Advocacy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1923.50	

B.	Full Name (Last, First, Middle Initial) Marin E. Gibson	Date of Receipt MM / DD / YYYY 05 / 21 / 2010
	Mailing Address 796 Port Monmouth Rd.	Transaction ID: 18291167
	City State Zip Code Port Monmouth NJ 07758-1676	Amount of Each Receipt this Period 57.70
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Securities Industry and Financial Mark Occupation: Managing Director & Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 577.00	

C.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell	Date of Receipt MM / DD / YYYY 05 / 21 / 2010
	Mailing Address 1101 New York Ave, NW 8th Floor	Transaction ID: 18291169
	City State Zip Code Washington DC 20005-4269	Amount of Each Receipt this Period 100.00
	FEC ID number of contributing federal political committee. C	Contribution
	Name of Employer: Securities Industry and Financial Mark Occupation: Senior Managing Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1000.00	

SUBTOTAL of Receipts This Page (optional)	350.05
TOTAL This Period (last page this line number only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 21
(check only one)

<input checked="" type="checkbox"/>	11a	<input type="checkbox"/>	11b	<input type="checkbox"/>	11c	<input type="checkbox"/>	12	<input type="checkbox"/>	13	<input type="checkbox"/>	14	<input type="checkbox"/>	15	<input type="checkbox"/>	16	<input type="checkbox"/>	17
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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial) Mr. Matthew M. Boardman		Date of Receipt MM / DD / YYYY 05 / 28 / 2010	
Mailing Address 650 From Road		Transaction ID: 18317564	
City Paramus	State NJ	Zip Code 07652-3517	Amount of Each Receipt this Period 250.00
FEC ID number of contributing federal political committee. C		Contribution	
Name of Employer RBC Wealth Management	Occupation Managing Director - Head of Fixed Inco	Aggregate Year-to-Date 250.00	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

B.

Full Name (Last, First, Middle Initial) Ms. Ruth Porat		Date of Receipt MM / DD / YYYY 05 / 28 / 2010	
Mailing Address 1 West 72nd Street		Transaction ID: 18317665	
City New York	State NY	Zip Code 10023-3486	Amount of Each Receipt this Period 1000.00
FEC ID number of contributing federal political committee. C		Contribution	
Name of Employer Morgan Stanley	Occupation Chief Financial Officer	Aggregate Year-to-Date 1000.00	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional)	1250.00
TOTAL This Period (last page this line number only)	25315.90

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:		PAGE 16 / 21	
	(check only one)			
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Association		Date of Receipt	
	Mailing Address 120 Broadway Floor 35		M M / D D / Y Y Y Y 0 5 / 1 8 / 2 0 1 0	
	City	State	Zip Code	Transaction ID: 18278409
	New York	NY	10271	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.		C	418.18
	Name of Employer		Occupation	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	Bank Fee Reimbursement	
		2235.55		

SUBTOTAL of Receipts This Page (optional)	▶	418.18
TOTAL This Period (last page this line number only)	▶	418.18

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 17 / 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

<p>A. Full Name (Last, First, Middle Initial) Bachus For Congress Committee</p> <p>Mailing Address P.O. Box 131134</p> <p>City Birmingham State AL Zip Code 35213</p> <p>Purpose of Disbursement Contribution</p> <p>Candidate Name Rep. Spencer Thomas Bachus, III</p> <p>Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: AL District: 06</p> <p>Disbursement For: 2010 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p>Transaction ID: 18252546</p> <p>Date of Disbursement M M / D D / Y Y Y Y 0 5 / 1 0 / 2 0 1 0</p> <p>Amount of Each Disbursement this Period 2500.00</p> <p>Contribution</p>
<p>B. Full Name (Last, First, Middle Initial) Pete Sessions For Congress</p> <p>Mailing Address PO Box 823047</p> <p>City Dallas State TX Zip Code 75382</p> <p>Purpose of Disbursement Contribution</p> <p>Candidate Name Mr. Pete Sessions</p> <p>Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: TX District: 05</p> <p>Disbursement For: 2010 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p>Transaction ID: 18252584</p> <p>Date of Disbursement M M / D D / Y Y Y Y 0 5 / 1 0 / 2 0 1 0</p> <p>Amount of Each Disbursement this Period 1500.00</p> <p>Contribution</p>
<p>C. Full Name (Last, First, Middle Initial) National Republican Senatorial Committee</p> <p>Mailing Address 425 2nd Street, NE</p> <p>City Washington State DC Zip Code 20002</p> <p>Purpose of Disbursement Contribution</p> <p>Candidate Name National Republican Senatorial Committee</p> <p>Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District:</p> <p>Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p>	<p>Transaction ID: 18252714</p> <p>Date of Disbursement M M / D D / Y Y Y Y 0 5 / 1 0 / 2 0 1 0</p> <p>Amount of Each Disbursement this Period 5000.00</p> <p>Contribution</p>

SUBTOTAL of Disbursements This Page (optional)	9000.00
TOTAL This Period (last page this line number only)	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 / 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial) Democratic Senatorial Campaign Committee <hr/> Mailing Address 120 Maryland Avenue, NE <hr/> City Washington State DC Zip Code 20002 Purpose of Disbursement Contribution Candidate Name Democratic Senatorial Campaign Committee Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: District:	Transaction ID: 18287602 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 2 5 / 2 0 1 0
	Amount of Each Disbursement this Period 5000.00
	Contribution
	Category/Type 011
B. Full Name (Last, First, Middle Initial) Berkley For Congress <hr/> Mailing Address 3069 Conquista Court <hr/> City Las Vegas State NV Zip Code 89121 Purpose of Disbursement Contribution Candidate Name Rep. Shelley Berkley Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2010 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: NV District: 01	Transaction ID: 18287604 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 2 5 / 2 0 1 0
	Amount of Each Disbursement this Period 1000.00
	Contribution
	Category/Type 011
C. Full Name (Last, First, Middle Initial) Friends Of Carolyn Mccarthy <hr/> Mailing Address 151 Linden Road <hr/> City Mineola State NY Zip Code 11501 Purpose of Disbursement Contribution Candidate Name Carolyn McCarthy Office Sought: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President Disbursement For: 2010 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ State: NY District: 04	Transaction ID: 18287605 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 2 5 / 2 0 1 0
	Amount of Each Disbursement this Period 2500.00
	Contribution
	Category/Type 011

SUBTOTAL of Disbursements This Page (optional) ▶	8500.00
TOTAL This Period (last page this line number only) ▶	(Empty box)

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 19 / 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial)
Cathy McMorris Rodgers For Congress

Mailing Address Box 137

City Spokane State WA Zip Code 99210

Purpose of Disbursement
Contribution

Candidate Name
Rep. Cathy McMorris Rodgers

010
 011
Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2010
 Primary General
 Other (specify) ▼

State: WA District: 05

Transaction ID: 18287606

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Contribution

B. Full Name (Last, First, Middle Initial)
Georgians for Isakson

Mailing Address P.O. Box 250116

City Atlanta State GA Zip Code 30325

Purpose of Disbursement
Contribution

Candidate Name
Sen. Johnny Isakson

010
 011
Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2010
 Primary General
 Other (specify) ▼

State: GA District:

Transaction ID: 18287607

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Contribution

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18278389 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 0 5 / 2 0 1 0
	Amount of Each Disbursement this Period 143.08
	Category/Type 001
	Bank Fees

B. Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18278402 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 0 3 / 2 0 1 0
	Amount of Each Disbursement this Period 4.95
	Category/Type 001
	Bank Fees

C. Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW City Washington State DC Zip Code 20005 Purpose of Disbursement Bank Fees Candidate Name Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President State: District: Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Transaction ID: 18278403 Date of Disbursement M M / D D / Y Y Y Y 0 5 / 0 3 / 2 0 1 0
	Amount of Each Disbursement this Period 24.78
	Category/Type 001
	Bank Fees

SUBTOTAL of Disbursements This Page (optional) ► 172.81

TOTAL This Period (last page this line number only) ►

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement

Bank Fees

Candidate Name

001
Category/
Type

Office Sought: House
 Senate
 President

State: District:

Disbursement For: Primary General
 Other (specify) ▼

Transaction ID: 18278404

Date of Disbursement

05 / 03 / 2010

Amount of Each Disbursement this Period

31.50

Bank Fees

B.

Full Name (Last, First, Middle Initial)

PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement

Bank Fees

Candidate Name

001
Category/
Type

Office Sought: House
 Senate
 President

State: District:

Disbursement For: Primary General
 Other (specify) ▼

Transaction ID: 18278405

Date of Disbursement

05 / 03 / 2010

Amount of Each Disbursement this Period

213.87

Bank Fees

SUBTOTAL of Disbursements This Page (optional)

245.37

TOTAL This Period (last page this line number only)

418.18