

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

E\*TRADE Financial Corporation PAC

ADDRESS (number and street)

671 North Glebe Road

Ballston Tower

☐Check if different  
than previously  
reported. (ACC)

Arlington

VA

22203

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00356345

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☒

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
Post -Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

08

01

2006

through

08

31

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Jane Gelman

Signature of Treasurer

Electronically Filed by Jane Gelman

Date

09

18

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	8	0	1	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
0	8	3	1	2	0	0	6

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2006</span>		61933.13
(b) Cash on Hand at Beginning of Reporting Period .....	98759.70	
(c) Total Receipts (from Line 19) .....	16677.47	105472.27
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	115437.17	167405.40
7. Total Disbursements (from Line 31) .....	86.55	52054.78
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	115350.62	115350.62
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

E\*TRADE Financial Corporation PAC

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	8	0	1	2	0	0	6

To:

M	M	D	D	Y	Y	Y	Y
0	8	3	1	2	0	0	6

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	16233.84	88900.59
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	426.55	16554.60
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡	16660.39	105455.19
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡	16660.39	105455.19
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	17.08	17.08
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	16677.47	105472.27
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	16677.47	105472.27

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		86.55	554.78
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		86.55	554.78
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		0.00	51500.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		86.55	52054.78
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		86.55	52054.78

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	16660.39	105455.19
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	16660.39	105455.19
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	86.55	554.78
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	86.55	554.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 6 / 35

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Carol Phelan-Marsh Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1012220417412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Charles Leonard Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation Senior Mgr, Customer Service Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1012220817412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) David Douglass Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Capital Holdings Occupation Sr Mgr, Brokerage Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1016861417412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**321.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Elizabeth Scholz Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation Director, Consumer Finance Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 624.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1016861517412 Amount of Each Receipt this Period 39.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Alison Mittelstadt Mailing Address 671 North Glebe Rd. City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Capital Holdings Occupation Sr Director, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1030171917412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Randall Brown Mailing Address 4005 Windward Plaza Drive City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Director, Network & Systems Admin. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1045599617412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**273.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)

Michael Charland

Mailing Address 135 E. 57th St.

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Lending Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045599917412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)

Russell Ross

Mailing Address 4500 Bohannon Drive

City State Zip Code  
 Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Director, Corporate Info Security

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600017412

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)

David Grove

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE--Dempsey

Occupation  
SVP, Market Making Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR1045600317412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

537.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Daniel Howe			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 440 South LaSalle			<b>Transaction ID:</b> PR1045600417412	
City State Zip Code Chicago IL 60605			Amount of Each Receipt this Period <div>403.86</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Brokerage Holdings		Occupation EVP, Retail		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>2423.16</div>		
<b>B.</b> Full Name (Last, First, Middle Initial) Adam Townsend			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 10951 White Rock Road			<b>Transaction ID:</b> PR1045600617412	
City State Zip Code Rancho Cordova CA 95670			Amount of Each Receipt this Period <div>231.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Financial Corp.		Occupation SVP, Investor Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>1386.00</div>		
<b>C.</b> Full Name (Last, First, Middle Initial) Steve Lingeman			Date of Receipt <div> <div>M M / D D / Y Y Y Y Y</div> </div>	
Mailing Address 671 North Glebe Road			<b>Transaction ID:</b> PR1045600817412	
City State Zip Code Arlington VA 22203			Amount of Each Receipt this Period <div>117.00</div>	
FEC ID number of contributing federal political committee. <div>C</div>				
Name of Employer E*TRADE Financial Corp		Occupation Director, Software Engineering		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ <div>702.00</div>		

P/R Deduction (\$134.62 Bi-Weekly)

P/R Deduction (\$77.00 Bi-Weekly)

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**751.86**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 10 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Amit Banerjee

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
VP, Software Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045600917412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Karen Wall

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Bank

Occupation  
VP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607617412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** MaryBeth George

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*Trade Capitol Holdings

Occupation  
Director of Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1045607717412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

465.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Murphy Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation VP, Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1055089317412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Edouard Dejoux Mailing Address 135 East 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities, LLC Occupation SVP, Global Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1067673017412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Nicholas Utton Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corporation Occupation Chief Marketing Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1078579117412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

924.90

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Roderick Mikus Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003717412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) John Matos Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Director, Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003817412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) David Poole Mailing Address 4005 Windward Plaza Drive City Alpharetta State GA Zip Code 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Senior Mgr, Corp. Relationship Mgmt. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1083003917412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

279.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Ardwin Droessler

Mailing Address 440 South LaSalle

City State Zip Code  
 Chicago IL 60605

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE--Dempsey

Occupation  
Director, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1088490817412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**B.** Scott Gelber

Mailing Address 135 E. 57th Street

City State Zip Code  
 New York NY 10022

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Brokerage Holdings

Occupation  
Sr Director, PIERS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1088491017412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

**C.** Christine Wolf

Mailing Address 671 North Glebe Road

City State Zip Code  
 Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Financial Corpora-  
tion

Occupation  
EVP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR1090244517412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

465.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Gabriel Dalporto Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation VP, Customer Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911217412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Halpern Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Financial Corporation Occupation SVP, Bank Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911317412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Ariola Yushin-Slaven Mailing Address 135 East 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Communications Dev Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1142911417412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**579.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Kevin Delo Mailing Address 4500 Bohannon Drive City State Zip Code Menlo Park CA 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Director, Retail Product Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1179644517412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Anthony Altobelli Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Securities LLC Occupation Dir. Relationship Management Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1222287717412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lea Stendahl Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corporation Occupation Director, Active Trader Mktg. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 507.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR1253490117412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

351.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Craig Burton Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Director, Financial Planning and Analy Aggregate Year-to-Date ▼ 351.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748217412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Powell Jones Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Director, Metrics and Reporting Aggregate Year-to-Date ▼ 351.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748417412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) David Goldberg Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corpora- tion Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Occupation Director, Business Analysis Aggregate Year-to-Date ▼ 351.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR1271748517412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

351.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Mitchell Caplan Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625117412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Russell Elmer Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation General Counsel Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625317412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Arlen W. Gelbard Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation President, E*TRADE Bank Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408625617412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1730.70

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Robert Lilien Mailing Address 135 E. 57th Street City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation President & COO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626117412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Shane O. Mulron Mailing Address 10951 White Rock Road City State Zip Code Rancho Cardova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation EVP, Retail Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2430.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626417412 Amount of Each Receipt this Period 405.00 P/R Deduction (\$135.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Todd W. Roadman Mailing Address 10951 White Rock Road City State Zip Code Cordova CA 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation SVP, Financial P&A Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR408626617412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**1212.90**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Shawn P. Yadon Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Director, State Government Affairs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 624.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR408627017412 Amount of Each Receipt this Period 39.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Connie Dotson Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Communications Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3438.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115717412 Amount of Each Receipt this Period 573.00 P/R Deduction (\$191.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) James Bidwell Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3461.40			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540115817412 Amount of Each Receipt this Period 576.90 P/R Deduction (\$192.30 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1188.90

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. Todd Mackay

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation

Mg Director, Corp. Dev.

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

2412.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540117017412

Amount of Each Receipt this Period

402.00

P/R Deduction (\$134.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Curtis Smith

Mailing Address 1650 Union Hill Road

City

Alpharetta

State

GA

Zip Code

30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation

SVP, Global Infrastructure

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118517412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. James Swartwout

Mailing Address 10951 White Rock Road

City

Rancho Cordova

State

CA

Zip Code

95670

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities Inc.

Occupation

VP, Order Management

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR540118617412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

864.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Lee Thompson Mailing Address 111 Congress Avenue City Austin State TX Zip Code 78701 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation VP, Chief Technologist Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR540118817412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher V. Reyes Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Brokerage Holdings Occupation Business Mgr, Regulatory Reporting Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR559347617412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Jonathan K. Law Mailing Address 4500 Bohannon Drive City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Business Mgr, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR650307417412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

207.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Jane Gelman  
Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, Administrative Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR673132017412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Greg Framke  
Mailing Address 535 Madison Ave 35th Floor

City State Zip Code  
New York NY 10022

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
Mg Director, CIO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2430.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168117412

Amount of Each Receipt this Period

405.00

P/R Deduction (\$135.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Kenneth Marienau  
Mailing Address 4005 Windward Plaza Drive

City State Zip Code  
Alpharetta GA 30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*Trade Financial Corp.

Occupation  
VP, General Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR799168217412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

753.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew J. Audette Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation EVP, Corp. Controller Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2783.16		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168417412 Amount of Each Receipt this Period 463.86 P/R Deduction (\$154.62 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Michael Curcio Mailing Address 135 East 57th St. 31st Floor City State Zip Code New York NY 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation Mg Director, Global Retail Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2423.16		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168617412 Amount of Each Receipt this Period 403.86 P/R Deduction (\$134.62 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Dennis E. Webb Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt, Inc. Occupation President, Capital Markets Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799168817412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

1098.72

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Robert Simmons Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Chief Financial Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 3420.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR799169017412 Amount of Each Receipt this Period 570.00 P/R Deduction (\$190.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Connie Koontz Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Human Resources Mgr Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR941795117412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lance Ullom Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Global Asset Mgmt Occupation EVP, Fixed Income Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR946040717412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

**846.00**

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Hartley Caldwell Mailing Address 1650 Union Hill Road City State Zip Code Alpharetta GA 30005 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation VP, Re-Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950171217412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Matthew Geary Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Bank Occupation EVP, Risk & Credit Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2423.16		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950171317412 Amount of Each Receipt this Period 403.86 P/R Deduction (\$134.62 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Thomas Parker Mailing Address 3353 Michelson Drive City State Zip Code Irvine CA 92612 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Senior Mgr, Software Engineering Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00		Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950172317412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

679.86

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.**

Full Name (Last, First, Middle Initial)

Sunil Malik

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Global Asset Mgmt.

Occupation  
VP, Whole Loans & MBS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR950172417412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

**B.**

Full Name (Last, First, Middle Initial)

Rachel Bedell

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlinton VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Global Asset Mgmt.  
Inc.

Occupation  
Director, Retail Consumer Lending

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR950172517412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

**C.**

Full Name (Last, First, Middle Initial)

Eric Seasholtz

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
E\*TRADE Global Asset Mgmt.  
Inc.

Occupation  
VP, Mortgage Portfolio

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

**Transaction ID:** PR950172717412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

465.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Klena Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation SVP, Investing Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173017412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Larkin Mailing Address 135 E. 57th Street City New York State NY Zip Code 10022 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Securities LLC Occupation VP, Active Trader Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1386.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173117412 Amount of Each Receipt this Period 231.00 P/R Deduction (\$77.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Lori Smith Mailing Address 10951 White Rock Road City Rancho Cordova State CA Zip Code 95670 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Manager, Communications Center Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR950173417412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

507.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

A. W Chris Staab

Mailing Address 671 North Glebe Road

City State Zip Code  
Arlington VA 22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Global Asset Mgmt

Occupation  
Director, Credit Cards

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

702.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173617412

Amount of Each Receipt this Period

117.00

P/R Deduction (\$39.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

B. Paul Vienick

Mailing Address 135 E. 57th Street

City State Zip Code  
New York NY 10022

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities LLC

Occupation  
SVP, Prodcut Dev.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR950173717412

Amount of Each Receipt this Period

231.00

P/R Deduction (\$77.00 Bi-Weekly)

Full Name (Last, First, Middle Initial)

C. Lisa Romano

Mailing Address 4005 Windward Plaza Drive

City State Zip Code  
Alpharetta GA 30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Financial Corp.

Occupation  
Manager, Procurement

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956826817412

Amount of Each Receipt this Period

45.00

P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

393.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.** Full Name (Last, First, Middle Initial)  
Francisco Gutierrez  
Mailing Address 4500 Bohannon Drive

City State Zip Code  
Menlo Park CA 94025

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
ETrade Finance Corp

Occupation  
Sr Manager, Software Engineer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956827417412

Amount of Each Receipt this Period

45.00

P/R Deduction (\$15.00 Bi-Weekly)

**B.** Full Name (Last, First, Middle Initial)  
Michael Schoenberger  
Mailing Address 4005 Windward Plaza Drive

City State Zip Code  
Alpharetta GA 30005

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Securities, LLC

Occupation  
Senior Mgr, Relationship Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR956828317412

Amount of Each Receipt this Period

45.00

P/R Deduction (\$15.00 Bi-Weekly)

**C.** Full Name (Last, First, Middle Initial)  
Joseph Corso  
Mailing Address 440 S. LaSalle St.  
Suite 3030

City State Zip Code  
Chicago IL 60605

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE GVR

Occupation  
Manager, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y

Transaction ID: PR971302017412

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

165.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Noel Dalzell Mailing Address 440 S. LaSalle St. City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE--GVR Occupation Director, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302117412 Amount of Each Receipt this Period 117.00 P/R Deduction (\$39.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Colleen Ryan Mailing Address 440 S. LaSalle St. Suite 3030 City Chicago State IL Zip Code 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE GVR Occupation Director, ETCM Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 450.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302417412 Amount of Each Receipt this Period 75.00 P/R Deduction (\$25.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) June Batcheller Mailing Address 671 North Glebe Road City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer ETrade Capitol Holdings Occupation Director, Compliance Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971302617412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

237.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Kris Simpson Mailing Address 671 North Glebe Road City State Zip Code Arlington VA 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation Attorney Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303317412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Amato Mailing Address 440 S. LaSalle Street City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE-GVR Occupation Senior Mgr, Trading Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303517412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) James Carney Mailing Address 440 La Salle Street City State Zip Code Chicago IL 60605 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE-Engelman Occupation Mgr, Marketing Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y <b>Transaction ID:</b> PR971303617412 Amount of Each Receipt this Period 45.00 P/R Deduction (\$15.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

135.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Eric Tassell			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971303917412	
Mailing Address 9255 Town Center Drive			Amount of Each Receipt this Period 45.00	
City San Diego	State CA	Zip Code 92121		
FEC ID number of contributing federal political committee. C				
Name of Employer E*TRADE Securities, LLC		Occupation Senior Mgr, Retail		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 270.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Ken Elder			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304017412	
Mailing Address 671 North Glebe Road			Amount of Each Receipt this Period 45.00	
City Arlington	State VA	Zip Code 22203		
FEC ID number of contributing federal political committee. C				
Name of Employer E*TRADE Global Asset Mgmt		Occupation Sr. Portfolio Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 270.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Marco Pellini			Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304117412	
Mailing Address 440 South LaSalle			Amount of Each Receipt this Period 60.00	
City Chicago	State IL	Zip Code 60605		
FEC ID number of contributing federal political committee. C				
Name of Employer E*TRADE--Dempsey		Occupation VP, Trading Ops		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 759.00		

P/R Deduction (\$15.00 Bi-Weekly)

P/R Deduction (\$15.00 Bi-Weekly)

P/R Deduction (\$20.00 Bi-Weekly)

SUBTOTAL of Receipts This Page (optional) .....

150.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Alpert Mailing Address 671 North Glebe Rd.  City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*Trade Financial Corp. Occupation Director, Corp Dev. Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 486.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304417412 Amount of Each Receipt this Period 81.00  P/R Deduction (\$27.00 Bi-Weekly)
<b>B.</b> Full Name (Last, First, Middle Initial) Heather Carroll-Cox Mailing Address 671 North Glebe Road  City Arlington State VA Zip Code 22203 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Bank Occupation VP, Retail Banking Ops Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 702.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304517412 Amount of Each Receipt this Period 117.00  P/R Deduction (\$39.00 Bi-Weekly)
<b>C.</b> Full Name (Last, First, Middle Initial) Adam McChane Mailing Address 4500 Bohannon Drive  City Menlo Park State CA Zip Code 94025 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer E*TRADE Financial Corp. Occupation Executive Producer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00		Date of Receipt M M / D D / Y Y Y Y Y Transaction ID: PR971304817412 Amount of Each Receipt this Period 45.00  P/R Deduction (\$15.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

243.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 35

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

**A.**

Full Name (Last, First, Middle Initial)

Dirk Wyckoff

Mailing Address 671 North Glebe Road

City

Arlington

State

VA

Zip Code

22203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
E\*TRADE Bank

Occupation

Director, Ass't Controller

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y

Transaction ID: PR971305017412

Amount of Each Receipt this Period

60.00

P/R Deduction (\$20.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

16233.84

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 35 / 35

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

E\*TRADE Financial Corporation PAC

Full Name (Last, First, Middle Initial)

**A.** Wells Fargo Bank, N.A.

Mailing Address PO Box 63020

City  
San Francisco

State  
CA

Zip Code  
94163

Purpose of Disbursement  
Client Analysis Fee

Candidate Name

001  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: 12979398

Date of Disbursement

MM / DD / YYYY  
08 / 21 / 2006

Amount of Each Disbursement this Period

86.55

Client Analysis Fee

**SUBTOTAL** of Disbursements This Page (optional) .....

86.55

**TOTAL** This Period (last page this line number only) .....

86.55