

AMERICAN WATER WORKS COMPANY, INC.  
ALLIANCE FOR INFORMED GOVERNMENT POLICY  
REGARDING WATER RESOURCE MANAGEMENT

RECEIVED  
FEC MAIL ROOM  
2001 SEP 24 A 10:30

**VIA CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

September 18, 2001

Ms. Alice Kang, Report Analyst  
Federal Election Commission  
Report Analysis Division  
999 E. Street, N.W.  
Washington, D.C. 20463

**RE: American Water Works Company, Inc. Alliance For Informed Government Policy  
Regarding Water Resource Management (the "Alliance") October Quarterly Report  
(7/1/00 through 9/30/00) FEC LD. #C00354548**

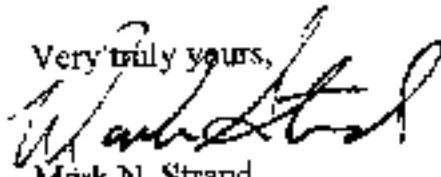
Dear Ms. Kang:

This is in response to your letter of August 2001, a copy of which is attached for ease of reference, in which you requested that the Alliance file an amendment or clarification with the Federal Election Commission (the "Commission") regarding the contribution the Alliance made to the "McCullum Victory Committee" which was reported in the Alliance's October Quarterly Report (7/1/00 through 9/30/00) ("October Report").

The Alliance has elected to file an amendment to its October Report. Accordingly, I enclose for filing with the Commission an amended original and one copy of the cover sheet and Schedule B for the Alliance's report Form 3X for the period ended October 15, 2000. The entries "lagged" (i.e. the first two entries on Schedule B) denote the amendments.

Kindly acknowledge receipt of the enclosed materials by date stamping the additional copy of the cover and Schedule B and returning same to the undersigned in the enclosed, self-addressed, stamped envelope.

Very truly yours,

  
Mark N. Strand  
Treasurer

Encls.

c: W. Timothy Pohl, Esq. (w/o enc.)  
George W. Patrick, Esq. (w/o enc.)

COPY

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FEC MAIL ROOM

2001 SEP 24 A 10:30



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-5

Mark N. Strand, Treasurer  
AM Water Works Co. Inc. Alliance for  
an Informed Gov. Policy Regarding  
Water Resource Mang  
P.O. Box 1770  
Voorhees, NJ 08043

AUG 3 2001

Identification Number: C00354548

Reference: October Quarterly Report (7/1/00-9/30/00)

Dear Mr. Strand:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B supporting Line 23 discloses a contribution to "*McCollum Victory Committee*" for "*To Support National Republican Senatorial Committee Activities.*" Please amend your report to clarify the ultimate recipient of this contribution.

Any amendment or clarification should be filed with the Federal Election Commission. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

A handwritten signature in cursive script, appearing to read "Alice Kang".

Alice Kang  
Reports Analyst  
Report Analysis Division

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

RECEIVED  
FEC MAIL ROOM

2001 SEP 24 A 10:30

USE FEC MAILING LABEL  
OR  
TYPE OR PRINT

1. NAME OF COMMITTEE (in full) AMERICAN WATER WORKS COMPANY, INC. ALLIANCE FOR INFORMED GOVERNMENT POLICY REGARDING WATER RESOURCE MANAGEMENT	
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 1025 Laurel Oak Road	2. FEC IDENTIFICATION NUMBER C00354548
CITY, STATE and ZIP CODE Voorhees, NJ 08048	3. <input checked="" type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## 4. TYPE OF REPORT

- (a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

### Monthly Report Due On:

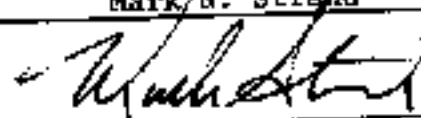
- |                                      |                                       |                                      |
|--------------------------------------|---------------------------------------|--------------------------------------|
| <input type="checkbox"/> February 20 | <input type="checkbox"/> June 20      | <input type="checkbox"/> October 20  |
| <input type="checkbox"/> March 20    | <input type="checkbox"/> July 20      | <input type="checkbox"/> November 20 |
| <input type="checkbox"/> April 20    | <input type="checkbox"/> August 20    | <input type="checkbox"/> December 20 |
| <input type="checkbox"/> May 20      | <input type="checkbox"/> September 20 | <input type="checkbox"/> January 31  |

- 12-Day Pre-Election Report for this \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_  
 30-Day Post-Election Report following the General Election  
on \_\_\_\_\_ in the State of \_\_\_\_\_

- (b) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <u>07/01/00</u> through <u>09/30/00</u>		\$ -0-
6. (a) Cash on Hand January 1, <del>19</del> 2000		
(b) Cash on Hand at Beginning of Reporting Period	\$ 77,239.89	
(c) Total Receipts (from Line 18)	\$ 1,501.06	\$ 83,216.66
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(b) and 6(c) for Column B)	\$ 78,740.95	\$ 83,216.66
7. Total Disbursements (from Line 30)	\$ 37,803.77	\$ 42,279.48
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 40,937.18	\$ 40,937.18
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule G and/or Schedule D)	\$ -0-	For further information contact: Federal Election Commission 899 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-694-1100
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule G and/or Schedule D)	\$ -0-	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mark N. Strand	Date 9/18/2001
Signature of Treasurer 	

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X  
(revised 9/83)

# DETAILED SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE AMERICAN WATER WORKS COMPANY, INC. ALLIANCE FOR INFORMED GOVERNMENT POLICY REGARDING WATER RESOURCE MANAGEMENT		REPORT COVERING PERIOD FROM 07/01/00 TO 09/30/00	
		COLUMN A Total This Period	COLUMN B Calendar Year
<b>I. Receipts</b>			
11. Contributions (other than loans) From:			
a. Individuals/Persons Other Than Political Committees		\$ 500.00	\$81,685.52
i. Itemized (use Schedule A)		-0-	-0-
ii. Unitemized		-0-	-0-
iii. Total (add i and ii) >		500.00	81,685.52
b. Political Party Committees		-0-	-0-
c. Other Political Committees (such as PACs)		-0-	-0-
d. Total Contributions (add a ii, b and c) >		500.00	81,685.52
12. Transfers From Affiliated/Other Party Committees		-0-	-0-
13. All Loans Received		-0-	-0-
14. Loan Repayments Received		-0-	-0-
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		-0-	-0-
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		1,001.06	1,531.14
17. Other Federal Receipts (Dividends, Interest, etc.)		-0-	-0-
18. Transfers from Nonfederal Account for Joint Activity		1,501.06	83,216.66
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >		1,501.06	83,216.66
20. Total Federal Receipts (subtract line 18 from line 19) >			
<b>II. Disbursements</b>			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)		-0-	-0-
i. Federal Share		-0-	-0-
ii. Non-Federal Share		53.77	229.48
b. Other Federal Operating Expenditures		53.77	229.48
c. Total Operating Expenditures (add a i, a ii, and b) >		-0-	-0-
22. Transfers to Affiliated/Other Party Committees		\$37,750.00	\$42,050.00
23. Contributions to Federal Candidates/Committees and Other Political Committees		-0-	-0-
24. Independent Expenditures (use Schedule E)		-0-	-0-
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)		-0-	-0-
26. Loan Repayments Made		-0-	-0-
27. Loans Made		-0-	-0-
28. Refunds of Contributions To:		-0-	-0-
a. Individuals/Persons Other Than Political Committees		-0-	-0-
b. Political Party Committees		-0-	-0-
c. Other Political Committees (such as PACs)		-0-	-0-
d. Total Contribution Refunds (add a, b and c) >		-0-	-0-
29. Other Disbursements		37,803.77	42,279.48
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >		37,803.77	42,279.48
31. Total Federal Disbursements (subtract line 21 a ii from line 30) >			
<b>III. Net Contributions/Operating Expenditures</b>			
32. Total Contributions (other than loans) (from line 11d)		500.00	81,685.52
33. Total Contribution Refunds (from line 28d)		-0-	-0-
34. Net Contributions (other than loans) (subtract line 33 from 32)		500.00	81,685.52
35. Total Federal Operating Expenditures (add 21 a i and 21 b) >		53.77	229.48
36. Offsets to Operating Expenditures (from line 15)		-0-	-0-
		\$ 53.77	\$ 229.48

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full) **AMERICAN WATER WORKS COMPANY, INC. ALLIANCE FOR INFORMED GOVERNMENT POLICY REGARDING WATER RESOURCE MANAGEMENT**

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Ray LaHood 3311 N. Sterling, Suite 10 Peoria, IL 61604-1837	Ray LaHood House IL-18 \$250 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$ 250.00
Wally Herger for Congress P.O. Box 16021 Alexandria, VA 22302	Wally Herger House CA-2 \$1,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$1,000.00
Friends of Clay Shaw 4451 Brookfield Corporate Drive Suite 200 Chantilly, VA 20151	Clay Shaw House FL-22 \$1,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$1,000.00
Walsh for Congress Committee 4451 Brookfield Corporate Drive Suite 200 Chantilly, VA 20151	Jim Walsh House NY-25 \$1,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$1,000.00
Lincoln Chafee for U.S. Senate 425 Second Street, NE Washington, DC 20002	Lincoln Chafee Senate RI \$1,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$1,000.00
Pallone for Congress P.O. Box 3176 Long Branch, NJ 07740	Frank Pallone House NJ-6 \$1,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$1,000.00
National Association of Water Companies - PAC 1725 K Street NW, Suite 1212 Washington, DC 20006	Financial support for NAWC-PAC \$5,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/12/00	\$5,000.00
Santorum 2000 436 South Main Street Pittsburgh, PA 15220	Rick Santorum Senate PA \$5,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/14/00	\$5,000.00
Bill McCollum for U.S. Senate 605 East Robinson Street, Suite 105 Orlando, FL 32801	Bill McCollum Senate FL \$5,000 Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/15/00	\$5,000.00

SUBTOTAL of Disbursements This Page (optional) ..... \$20,250.00

TOTAL This Period (last page this line number only) .....

\*Contribution recipient inadvertently omitted from this Schedule B filed with the FEC on October 16, 2000, for the period ended October 15, 2000.

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 2 OF 2  
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full) AMERICAN WATER WORKS COMPANY, INC. ALLIANCE FOR INFORMED GOVERNMENT POLICY REGARDING WATER RESOURCE MANAGEMENT

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Earmarked for NRSC - see below	Date (month, day, year)	Amount of Each Disbursement This Period
McCullum Victory Committee 605 East Robinson Street, Suite 105 Orlando, FL 32801	Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify)	09/15/00	\$5,000.00
B. Full Name, Mailing Address and ZIP Code National Republican Senatorial Committee 425 Second Street, NE Washington, DC 20002	Purpose of Disbursement 2000 Annual Contribution	Date (month, day, year) 09/15/00	Amount of Each Disbursement This Period 55,000.00 Memo Entry - Earmarked to McCullum Victory Committee
C. Full Name, Mailing Address and ZIP Code Friends of Congressman Coyne Federal Bldg., Room 2009 Liberty Avenue Pittsburgh, PA 15227	Purpose of Disbursement William J. Coyne House PA-17 \$500.00	Date (month, day, year) 09/20/00	Amount of Each Disbursement This Period \$ 500.00
D. Full Name, Mailing Address and ZIP Code People for English Committee P.O. Box 1940 Erie, PA	Purpose of Disbursement Phil English House PA-21 \$1,500.00	Date (month, day, year) 09/25/00	Amount of Each Disbursement This Period \$1,500.00
E. Full Name, Mailing Address and ZIP Code Nussle for Congress Committee P.O. Box 324 Manchester, IA 52057	Purpose of Disbursement Jim Nussle House IA-2 \$1,500.00	Date (month, day, year) 09/25/00	Amount of Each Disbursement This Period \$1,500.00
F. Full Name, Mailing Address and ZIP Code Dave Camp for Congress P.O. Box 423 Midland, MI 48640	Purpose of Disbursement Dave Camp House MI-4 \$1,500.00	Date (month, day, year) 09/26/00	Amount of Each Disbursement This Period \$1,500.00
G. Full Name, Mailing Address and ZIP Code Friends of FARR P.O. Box 122 Monterey, CA 93942	Purpose of Disbursement Sam FARR House CA-17 \$2,500.00	Date (month, day, year) 08/29/00	Amount of Each Disbursement This Period \$2,500.00
H. Full Name, Mailing Address and ZIP Code Crane for Congress Committee* P.O. Box 8534 Rolling Meadows, IL 60008-8534	Purpose of Disbursement Phil Crane House IL-8 \$5,000.00	Date (month, day, year) 09/13/00	Amount of Each Disbursement This Period \$5,000.00
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$17,500.00


TOTAL This Period (last page this line number only)

\$37,750.00

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> First Class Mail	POSTMARKED
<input checked="" type="checkbox"/> Registered/Certified Mail	POSTMARKED (R/C) 9-18-01
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other ( Specify):	Postmarked and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
 PREPARER	9-24-01 DATE PREPARED