NASBIC

America's Small Business Partners

Political Action Committee

December 2, 1998

Ms. Debbie Chacona
Senior Reports Analyst
Reports Analysis Division
Federal Election Commission
999 E Street, NW
Washington, DC 20463

Dear Ms. Chacona:

Thank you again for your patience and guidance regarding our amended filings.

Enclosed please find the following:

- Amendment to July 15 Quarterly Report: amended to remove improperly reported refund of $500 from the Talent for U.S. Congress Committee.
- Amendment to October 15 Quarterly Report: amended to correctly disclose the $500 refund from the Talent for U.S. Congress Committee; advise of two clerical errors regarding disbursements; and include proper disclosure to redesignations.
- Amendment to Pre-general Report covering activity from October 1 through October 14, 1998: amended to reflect corrected contributions and disbursements from previous filings.
- Post-general Report covering activity from October 15 through November 23, 1998.

I am hopeful that I have properly addressed your findings, but stand ready to provide further details or corrected information. I can be reached by calling (202) 628-5055. Thank you for your help in this matter.

Sincerely,

Jeanette Paschal
NASBIC PAC

National Association of Small Business Investment Companies Political Action Committee
666 11th Street • N.W. • Suite 750 • Washington, • DC 20001
Tel: 202.628.5055 • Fax 202.628.5080
http://www.nasbic.org • E-mail: jpaschal@nasbic.org

For Other Than An Authorized Committee
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)
National Association of Small Business Investment Companies Political Action Committee

ADDRESS (number and street) ☐ Check if different than previously reported
666 11th Street, NW #750

CITY, STATE and ZIP CODE
Washington, DC 20001

RECEIVED FEDERAL ELECTION COMMISSION
Dec 2 1 42 PM '98

2. FEC IDENTIFICATION NUMBER
C00109991

3. ☐ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report
☒ July 15 Quarterly Report
☐ October 15 Quarterly Report
☐ January 31 Year End Report
☐ July 31 Mid Year Report (Non-election Year Only)
☐ Termination Report

Monthly Report Due On:
☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☐ Twelfth day report preceding _____ (Type of Election) election on _____ in the State of _____

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☒ YES ☐ NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period 4/1/98 through 6/30/98		
6. (a) Cash on Hand January 1, 19 98		$ 6381.03
(b) Cash on Hand at Beginning of Reporting Period	$ 18,831.03	
(c) Total Receipts (from Line 19)	$ 7,650.00	$ 37,100.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 26,481.03	$ 43,481.03
7. Total Disbursements (from Line 30)	$ 22,500.00	$ 39,500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 3,981.03	$ 3,981.03
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)	$	
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)	$	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
Lee W. Mercer

Signature of Treasurer
Lee W Mercer

Date
Amended
1 December 1998

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: National Association of Small Business Investment Companies Political Action Committee

REPORT COVERING PERIOD FROM 4/1/98 TO: 6/30/98

	COLUMN A Total This Period	COLUMN B Calendar Year
I. Receipts		
11. Contributions (other than loans) From:		
a. Individual/Persons Other Than Political Committees		
i. Itemized (use Schedule A)	5,259.09	33,709.09
ii. Unitemized	1,890.91	1,890.91
iii. Total (add i and ii) ➤	7,150.00	35,600.00
b. Political Party Committees		
c. Other Political Committees (such as PACs)	500.00	1,500.00
d. Total Contributions (add a iii, b and c) ➤	7,650.00	31,700.00
12. Transfers From Affiliated/Other Party Committees		
13. All Loans Received		
14. Loan Repayments Received		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		
17. Other Federal Receipts (Dividends, Interest, etc.)		
18. Transfers from Nonfederal Account for Joint Activity		
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	7,650.00	31,700.00
20. Total Federal Receipts (subtract line 18 from line 19) ➤	7,650.00	31,700.00
II. Disbursements		
21. Operating Expenditures:		
a. Shared Federal/Non-Federal Activity (from Schedule H4)		
i. Federal Share		
ii. Non-Federal Share		
b. Other Federal Operating Expenditures		
c. Total Operating Expenditures (add a i, a ii, and b) ➤		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees	22,500.00	39,500.00
24. Independent Expenditures (use Schedule E)		
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) [use Schedule F]		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
a. Individuals/Persons Other Than Political Committees		
b. Political Party Committees		
c. Other Political Committees (such as PACs)		
d. Total Contribution Refunds (add a, b and c) ➤		
29. Other Disbursements		
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	22,500.00	39,500.00
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	22,500.00	39,500.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans)(from line 11d)	7,650.00	37,100.00
33. Total Contribution Refunds (from line 28d)		
34. Net Contributions (other than loans)(subtract line 33 from 32)	7,650.00	37,100.00
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤		
36. Offsets to Operating Expenditures (from line 15)		
37. Net Operating Expenditures (subtract line 36 from 35) ➤		

FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

NOV 18 1998

Lee W. Mercer, Treasurer
National Association of Small Business
Investment Companies Political Action
Committee
666 11th Street, NW, Suite 750
Washington, DC 20001

Identification Number: C00109991

Reference: Amended July Quarterly Report (4/1/98-6/30/98), dated 9/2/98

Dear Mr. Mercer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-The totals listed on Lines 6(c), 7, 11(a)(iii), 16, 19, 20, 23, 30, and 31, Column B of the Summary and Detailed Summary Pages appear to be incorrect. Please be advised that you should add the "Calendar Year-to-Date" total from your previous report to the current "Total This Period" figure from Column A to derive the correct Column B total. Please amend your report and any subsequent reports that may be affected by this correction.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,

Debbie Chacona

Debbie Chacona
Senior Reports Analyst
Reports Analysis Division

Debbie C - 12/1/98
Remove $500 receipt on line 26

Because the clarification on the $500 Tab Reimbursement came during the 7/1-.. reporting period it must be in the Oct filing
Per Debbie 12/1/98

* Note - all amendments supersede original filings - so always use most current amendment as guide.

250

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☑	Hand Delivered	Date of Receipt 12-2-98
☐	First Class Mail	POSTMARKED
☐	Registered/Certified Mail	POSTMARKED
☐	No Postmark	
☐	Postmark Illegible	
☐	Received from the House office of Records and Registration	Date of Receipt
☐	Received from the Senate Office of Public Records	Date of Receipt
☐	Other (Specify):	Postmarked _____ and/or Date of Receipt
☐	Electronic Filing	

RC — PREPARER

12-3-98 — DATE PREPARED

(4/98)