REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

OCT 24 12 26 PM '96

USE FEC MAILING LABEL OR TYPE OR PRINT

1. NAME OF COMMITTEE (in full)

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street) ☐ Check if different than previously reported

200 Vesey Street, 27th Floor

CITY, STATE and ZIP CODE

New York, New York 10285

2. FEC IDENTIFICATION NUMBER
C00127670

3. ☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

4. TYPE OF REPORT

(a) ☐ April 15 Quarterly Report

☐ July 15 Quarterly Report

☐ October 15 Quarterly Report

☐ January 31 Year End Report

☐ July 31 Mid Year Report (Non-election Year Only)

☐ Termination Report

Monthly Report Due On:

☐ February 20 ☐ June 20 ☐ October 20
☐ March 20 ☐ July 20 ☐ November 20
☐ April 20 ☐ August 20 ☐ December 20
☐ May 20 ☐ September 20 ☐ January 31

☒ Twelfth day report preceding General (Type of Election) election on 11/05/96 in the State of N/A

☐ Thirtieth day report following the General Election on _____ in the State of _____.

(b) Is this Report an Amendment? ☐ YES ☒ NO

SUMMARY 5. Covering Period 10/01/96 through 10/16/96	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 19 96		$ 148,737.72
(b) Cash on Hand at Beginning of Reporting Period	$ 117,269.49	
(c) Total Receipts (from Line 19)	$ -0-	$ 107,405.09
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	$ 117,269.49	$ 256,142.81
7. Total Disbursements (from Line 30)	$ 17,500.00	$ 156,373.32
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	$ 99,769.49	$ 99,769.49
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	$ -0-	

For further information contact:
Federal Election Commission
999 E Street, NW
Washington, DC 20463
Toll Free 800-424-9530
Local 202-219-3420

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer
EDWARD S. GRIEB

Signature of Treasurer

Date 10/18/96

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

FEC FORM 3X
(revised 9/93)

FE8AN060

DETAILED SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS
PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE: Action Fund of Lehman Brothers Holdings Inc.

REPORT COVERING PERIOD FROM 10/01/96 TO: 10/16/96

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year	
11. Contributions (other than loans) From:			
a. Individuals/Persons Other Than Political Committees			
i. Itemized (use Schedule A)	-0-	59,696.95	11(a)i
ii. Unitemized	-0-	44,465.14	11(a)ii
iii. Total (add i and ii) ➤	-0-	104,162.09	11(a)iii
b. Political Party Committees	-0-	-0-	11(b)
c. Other Political Committees (such as PACs)	-0-	-0-	11(c)
d. Total Contributions (add a iii, b and c) ➤	-0-	104,162.09	11(d)
12. Transfers From Affiliated/Other Party Committees	-0-	-0-	12
13. All Loans Received	-0-	-0-	13
14. Loan Repayments Received	-0-	-0-	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)	-0-	-0-	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	-0-	375.00	16
17. Other Federal Receipts (Dividends, Interest, etc.)	-0-	2,868.00	17
18. Transfers from Nonfederal Account for Joint Activity	-0-	-0-	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) ➤	-0-	107,405.09	19
20. Total Federal Receipts (subtract line 18 from line 19) ➤	-0-	107,405.09	20
II. Disbursements			
21. Operating Expenditures:			
a. Shared Federal/Non-Federal Activity (from Schedule H4)			
i. Federal Share	-0-	-0-	21(a)i
ii. Non-Federal Share	-0-	-0-	21(a)ii
b. Other Federal Operating Expenditures	-0-	693.32	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) ➤	-0-	693.32	21(c)
22. Transfers to Affiliated/Other Party Committees	-0-	-0-	22
23. Contributions to Federal Candidates/Committees and Other Political Committees	17,500.00	155,500.00	23
24. Independent Expenditures (use Schedule E)	-0-	-0-	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)	-0-	-0-	25
26. Loan Repayments Made	-0-	-0-	26
27. Loans Made	-0-	-0-	27
28. Refunds of Contributions To:			
a. Individuals/Persons Other Than Political Committees	-0-	180.00	28(a)
b. Political Party Committees	-0-	-0-	28(b)
c. Other Political Committees (such as PACs)	-0-	-0-	28(c)
d. Total Contribution Refunds (add a, b and c) ➤	-0-	180.00	28(d)
29. Other Disbursements	-0-	-0-	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) ➤	17,500.00	156,373.32	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) ➤	17,500.00	156,373.32	31
III. Net Contributions/Operating Expenditures			
32. Total Contributions (other than loans)(from line 11d)	-0-	104,162.09	32
33. Total Contribution Refunds (from line 28d)	-0-	180.00	33
34. Net Contributions (other than loans)(subtract line 33 from 32)	-0-	103,982.09	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) ➤	-0-	693.32	35
36. Offsets to Operating Expenditures (from line 15)	-0-	-0-	36
37. Net Operating Expenditures (subtract line 36 from 35) ➤	-0-	693.32	37

FE8A/089

SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

ANY INFORMATION COPIED FROM SUCH REPORTS OR STATEMENTS MAY NOT BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR COMMERCIAL PURPOSES, OTHER THAN USING THE NAME AND ADDRESS OF ANY POLITICAL COMMITTEE TO SOLICIT CONTRIBUTIONS FROM SUCH COMMITTEE.

Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Zimmer for Senate P.O. Box 6888 Lawrenceville, NJ 08648	Contrib. to Senate cand. Dick Zimmer, NJ YTD: $ 2,000.00 DISBURSEMENT FOR: General '96	10/09/96	$ 2,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Gramm '96 Senate Re-Election Cmte P.O. Box 565087 Dallas, TX 75356	Contrib. to Senate cand. Phil Gramm, TX YTD: $ 4,000.00 DISBURSEMENT FOR: General '96	10/09/96	$ 1,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Democratic Senatorial Campaign Cmte 430 S. Capitol St., SE Washington, DC 20003	Contrib to Multi-cand. Democratic Senatorial Campaign Cmte YTD: $ 10,000.00 DISBURSEMENT FOR: N/A	10/09/96	$ 5,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Congressman Bart Gordon Cmte P.O. Box 75214 Washington, DC 20013	Contrib. to House cand. Bart Gordon, 06/TN YTD: $ 2,000.00 DISBURSEMENT FOR: General '96	10/14/96	$ 1,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Pallone for Congress P.O. Box 31764 Long Branch, NJ 07740	Contrib. to House cand. Frank Pallone, 06/NJ YTD: $ 1,500.00 DISBURSEMENT FOR: General '96	10/14/96	$ 1,000.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 10,000.00

SCHEDULE B — ITEMIZED DISBURSEMENTS — LINE NUMBER 23

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Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Klug for Congress, Inc. P.O. Box 5619 Madison, WI 53705	Contrib. to House cand. Scott Klug, 02/WI YTD: $ 2,000.00 DISBURSEMENT FOR: General '96	10/14/96 $	1,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Citizens for Bunning 1717 Dixie Hwy., #180 Fort Wright, KY 41011	Contrib. to House cand. Jim Bunning, 04/KY YTD: $ 1,500.00 DISBURSEMENT FOR: General '96	10/14/96 $	500.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Coburn for Congress Cmte P.O. Box 504 Muskogee, OK 74402	Contrib. to House cand. Tom Coburn, 02/OK YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96 $	1,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Friends of Clay Shaw P.O. Box 2188 Ft. Lauderdale, FL 33303	Contrib. to House cand. Clay Shaw, 22/FL YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96 $	1,000.00
FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Anna Eshoo for Congress 312 4th St., SE Washington, DC 20003	Contrib. to House cand. Anna Eshoo, 14/CA YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96 $	1,000.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 4,500.00

SCHEDULE B ITEMIZED DISBURSEMENTS LINE NUMBER 23

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Action Fund of Lehman Brothers Holdings Inc. C-00127670

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Rick White for Congress Cmte P.O. Box 8156 Kirkland, WA 98034	Contrib. to House cand. Rick White, 01/WA YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96	$ 1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Christopher Cox Congressional Cmte 1912 Glen Dr. Alexandria, VA 22307	Contrib. to House cand. Christopher Cox, 47/CA YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96	$ 1,000.00

FULL NAME, ADDRESS AND ZIP	PURPOSE	DATE	AMOUNT
Hastert for Congress Cmte 6344 Cavalier Corridor Falls Church, VA 22044	Contrib. to House cand. Dennis Hastert, 14/IL YTD: $ 1,000.00 DISBURSEMENT FOR: General '96	10/14/96	$ 1,000.00

SUBTOTAL OF DISBURSEMENTS THIS PAGE ..... $ 3,000.00
TOTAL THIS PERIOD ..... $ 17,500.00

Federal Election Commission

ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS

The Commission has added this page to the end of this filing to indicate how it was received.

☐	Hand Delivered	DATE OF RECEIPT
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MNS — PREPARER

10-24-96 — DATE PREPARED

FEC FORM 70-2 (12/92)