

FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463 RQ-2

April 7, 2021

TONY HALE, TREASURER TED LIEU FOR CONGRESS 777 S. FIGUEROA ST. SUITE 4050 LOS ANGELES, CA 90017

Response Due Date 05/12/2021

## IDENTIFICATION NUMBER: C00556506

## REFERENCE: 30 DAY POST-GENERAL REPORT (10/15/2020 - 11/23/2020)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following <u>3</u> item(s):

1. Schedule A of your report indicates that your committee may have failed to file one or more of the required 48-hour notices regarding "last minute" contributions (to include loans, in-kind contributions, and advances) received by your committee after the close of books for the 12 Day Pre-General Report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. (11 CFR 104.5(f))

If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information.

**2.** Your committee filed a 48-hour notice disclosing a "last minute" contribution from Yee Phong Thian on 10/21/2020. This contribution does not appear on a Schedule A of this report. Please amend your report to include this contribution or provide an explanation of this apparent discrepancy. (11 CFR § 104.3(a)(4)(i))

3. Your committee filed a 48-hour notice disclosing a "last minute" contribution

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from Jordan Bromley on 10/19/2020. Only a partial amount of this contribution has been disclosed on Schedule A of this report. Please amend your report to include the entire amount of this contribution or provide an explanation of this apparent discrepancy. (11 CFR § 104.3(a)(4)(i))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1458.

Sincerely,

Sum Workington

Susan Worthington Senior Campaign Finance Analyst

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## Missing 48-Hour Notices Ted Lieu for Congress (C00556506)

<b>Contributor Name</b>	Date	Amount	Election
Everytown for Gun Safety Action Fund Inc. PAC	10/21/20	\$1,000.00	G2020
Yu, Helen Hong	10/21/20	\$2,000.00	G2020
Wang, Chuching	10/25/20	\$1,000.00	G2020
DMFI PAC	10/28/20	\$2,000.00	G2020
National Venture Capital Association PAC	10/28/20	\$2,500.00	G2020