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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

- O T T POI	Other Than An Autho	rized Committee	C	Office Use Only
1. NAME OF TYNCOMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
The Caterpillar Inc. Empl	oyee Political Action	Committee		
ADDRESS (number and street)	100 N.E. Adams Street			
Check if different than previously reported. (ACC)	Peoria		IL L	61629-1430
2. FEC IDENTIFICATION NUMBER	BER ▼ CITY.	A	STATE A	ZIP CODE ▲
C C00148031	3. IS T	THIS NEW (N) OR	AMEI (A)	NDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:			Year Only)
(a) Quarterly Reports:	Mar 20			(Non-Election Year Only)
April 15 Quarterly Report (Q1)	Apr 20	_	Oct 20	
July 15 Quarterly Report (Q2)	PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12	
October 15 Quarterly Report (Q3)	rieport for the.			
January 31 Year-End Report (YE)	Election o	on	Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30F	Special (30S)
Termination Report (TER)	Election of	on/	Y Y Y Y Y	in the State of
5. Covering Period 09	01 / 2020	through 09	30	2020
I certify that I have examined this F Type or Print Name of Treasurer	Report and to the best of m James, Danielle, , ,	y knowledge and belief it is t	rue, correct and c	omplete.
Signature of Treasurer James, D	anielle, , ,	[Electronically Filed]	Date 10	12 / 2020
NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 3010				
Office Use Only				FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

		COLUMN A This Period	COLUMN B Calendar Year-to-Date	
6.	(a) Cash on Hand January 1, 2020		432423.22	
	(b) Cash on Hand at Beginning of Reporting Period	267862.44		
	(c) Total Receipts (from Line 19)	66468.19	571486.71	
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	334330.63	1003909.93	
7.	Total Disbursements (from Line 31)	209269.35	878848.65	
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	125061.28	125061.28	
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00		
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00		

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

I. Receipts utions (other than loans) From: dividuals/Persons Other an Political Committees Itemized (use Schedule A)	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
dividuals/Persons Other an Political Committees	56498.33	401124 75
an Political Committees	56498.33	401124 75
	56498.33	401124.75
Itemized (use Schedule A)	30490.33	
		401124.70
Unitemized	9966.52	170305.18
) TOTAL (add		
Lines 11(a)(i) and (ii)▶	66464.85	571429.93
slitical Party Committees	0.00	0.00
her Political Committees		4 4
	0.00	0.00
tal Contributions (add Lines	7	7 7 7
(a)(iii), (b), and (c)) (Carry		
tals to Line 33, page 5)	66464.85	571429.93
ers From Affiliated/Other		
Committees	0.00	0.00
ns Received	0.00	0.00
	0.00	0.00
	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
	45	49. 49. 49.
	0.00	0.00
	4 4	4 4
nds, Interest, etc.)	3.34	56.78
ers from Non-Federal and Levin Funds	4 4	4 4
n-Federal Account		
om Schedule H3)	0.00	0.00
in Franks (frank 6 to 11 to 12)	0.00	0.00
In Funds (from Schedule H5)	0.00	0.00
al Transfers (add 18(a) and 18(b))	0.00	0.00
	her Political Committees her Political Committees uch as PACs)	Diltical Party Committees

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Jaionali Tour-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
Expenditures	19.35	148.65
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	19.35	148.65
Transfers to Affiliated/Other Party	10.00	
CommitteesContributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	120000.00	520000.00
Independent Expenditures (use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	0.00	0.00
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	1750.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))	0.00	1750.00
Other Disbursements (Including		
Non-Federal Donations)	89250.00	356950.00
Federal Election Activity (52 U.S.C. § 30101(20)) (a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	209269.35	878848.65
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	209269.35	878848.65

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5 **COLUMN A COLUMN B Total This Period** Calendar Year-to-Date 66464.85 571429.93 0.00 1750.00 569679.93 66464.85 19.35 148.65 0.00 0.00 19.35 148.65

	Operating Expenditures
33.	Total Contributions (other than loans)
	(from Line 11(d), page 3)
34.	Total Contribution Refunds
	(from Line 28(d))
35.	Net Contributions (other than loans)
	(subtract Line 34 from Line 33)
36.	Total Federal Operating Expenditures
	(add Line 21(a)(i) and Line 21(b))
37.	Offsets to Operating Expenditures
	(from Line 15, page 3)
38.	Net Operating Expenditures
	(subtract Line 37 from Line 36)

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Abbott, Brian, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134034 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 126.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1112.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Abston, Trey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133804 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 64.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 569.19 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Adams, Ken, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134836 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 215.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Adams, Kevin, , , Date of Receipt Mailing Address 11601 93rd Ave N 2020 City Zip Code State Transaction ID: A2020-2133960 MN Maple Grove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 310.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aiken, Kathryn, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2134404 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 10 2020 City State Zip Code Transaction ID: A2020-2093788 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 773.32 Other (specify) 508.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Akif, Sammy, , , Date of Receipt Mailing Address 1208 14th St 2020 City Zip Code State Transaction ID: A2020-2139061 TX Northlake 76226-2139 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 814.92 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Alger, Matt, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID : A2020-2134075 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 169.40 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Life Cycle Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1476.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Allen, Ali, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2133743 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 261.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Amengual, Gilbert, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 2020 City Zip Code State Transaction ID: A2020-2133996 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir of Mktg & Product Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ames, Sean, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134339 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 175.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1526.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Mark, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2133910 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 378.00 Other (specify) 267.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Mat, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-2134363 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 199.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1791.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 10 2020 City State Zip Code Transaction ID: A2020-2093764 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Anderson, Michael, , , Date of Receipt Mailing Address 7015 Ray Nash Dr Nw 24 2020 City Zip Code State Transaction ID: A2020-2139083 WA Gig Harbor 98335-5923 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I Signal Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 249.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Armbrust, Rick, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-2134876 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tech Svc & Product Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armburger, Jay, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133741 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Tech Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Armistead, Tom, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2134463 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parts & Svc Mktg Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 364.86 Other (specify) 116.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arvin, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133869 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global People & Org Dev Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Aylwin, Carlos, A, , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City State Zip Code Transaction ID: A2020-2133899 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr International Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bailey, Doug, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2134051 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 153.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Territory Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1357.68 Other (specify) 228.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bainbridge, Mark, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134013 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFSC Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baker, Todd, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2020 City State Zip Code Transaction ID: A2020-2133958 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baldwin, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133904 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Engineer-Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 352.53 Other (specify) 190.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Balkema, Chris, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2020 City Zip Code State Transaction ID: A2020-2134337 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 174.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 1539.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balmer Millar, Lou, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID : A2020-2133962 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baluis, Vic, , , Date of Receipt Mailing Address 5000 Womack Rd 30 2020 City Zip Code State Transaction ID: A2020-2133982 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 274.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bankes, Chester, , , Date of Receipt Mailing Address 3701 South Street 2020 City Zip Code State Transaction ID: A2020-2133777 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 56.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 588.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barber, Kathy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134036 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1113.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bates, William, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134919 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 207.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beals, Andy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2133781 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 56.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 500.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Beasley, George, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133952 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Underwriter** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Beaupre, Kenny, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2134453 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 131.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beck, Brett, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-2133999 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EHS Manager I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Becker, Chaille, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2133950 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Digital Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Belcher, Jeff, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2020 City State Zip Code Transaction ID: A2020-2133834 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beldock, Teresa, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2133855 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing C 94.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Material Req Planning Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 849.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bennes, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134385 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 243.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2096.19 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Benz, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133779 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 56.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Digital Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 501.06 Other (specify) 394.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Beveridge, Sandra, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-2134387 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 245.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Logistics Mgmt Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 491.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Birkel, Lindsey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133844 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 685.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bishop, Damon, , , Date of Receipt Mailing Address 4633 Perrin Creek 30 2020 **Building 8** City State Zip Code Transaction ID: A2020-2133866 TX San Antonio 75284 Amount of Each Receipt this Period FEC ID number of contributing C 33.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Planning Analyst 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 298.44 Other (specify) 358.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bjerke, Ron, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-2133974 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Blank, James, R,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID : A2020-2133751 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 52.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 434.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blichmann, Mary Ellen, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-2133964 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 152.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bloch, Stephen, E,, Date of Receipt Mailing Address 3701 South Street 2020 City Zip Code State Transaction ID: A2020-2134023 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 103.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Project Engr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 899.39 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blum, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133857 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 97.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Programs Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 858.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blunier, Dave, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-2133946 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 49.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Technologist-Test/Valid Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 419.28 Other (specify) 250.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Boles, James, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2134833 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bonfield, Andrew, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2134426 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **CFO** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Boon, Lynn Ann, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-2133740 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Info Technology Manager-II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 1075.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bowman, Greg, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133800 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 61.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lease Services Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 545.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Boyd, Bryan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133896 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Braun, Eric, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134015 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 760.00 Other (specify) 201.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Breitschwerdt, Otto, , , Date of Receipt Mailing Address 954 NC Highway 42 East 2020 City Zip Code State Transaction ID: A2020-2134398 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BCP Loaders Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brewster, Tony, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134918 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor Relations Representative Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bridenbaugh, Don, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134854 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager-Logistics Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 466.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Briscoe, Kendall, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2134930 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Comm & Public Relations Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 215.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bromm, Jaron, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134379 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 223.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1968.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Brooks, Jen, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133799 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 61.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 540.78 Other (specify) 309.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

233 FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bryant, Jenny, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City Zip Code State Transaction ID: A2020-2134053 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 154.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Fac Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General 1357.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bulfer, Matthew, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-2133780 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 56.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Security Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 331.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Buob, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134888 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 235.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Butikofer, Mark, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2133824 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 70.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Program Mgmt Developer III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 610.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 10 2020 City State Zip Code Transaction ID: A2020-2093768 VA Roanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing 40.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 761.33 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cabay, Kenneth, , , Date of Receipt Mailing Address 6320 Hamlet Trail 24 2020 City State Zip Code Transaction ID: A2020-2139087 VARoanoke 24018-7791 Amount of Each Receipt this Period FEC ID number of contributing C 40.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 801.96 Other (specify) 151.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Caffey, Shomari, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-2134466 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 40.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Developer Receipt For: Aggregate Year-to-Date ▼ Primary General 355.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Calamari, Michael, A, , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2020 City State Zip Code Transaction ID: A2020-2134709 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 18.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 319.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Callear, Brian, , , Date of Receipt Mailing Address 108 Corporate Court 30 2020 City State Zip Code Transaction ID: A2020-2133956 SC Hodges 29653 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 108.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cansler, Lori, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2020 City State Zip Code Transaction ID: A2020-2133934 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 48.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 416.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carney, Rob, , , III Date of Receipt Mailing Address 600 S. Second Street 2020 Suite 101 City State Zip Code Transaction ID: A2020-2134066 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 164.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1425.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Caron, Timothy, J., Date of Receipt Mailing Address 2200 Pacific Highway 30 2020 Zip Code City State Transaction ID: A2020-2134829 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Group Manager V Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 237.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

233 FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carroll, Troy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133805 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 64.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 541.23 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carr, Ron, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134030 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 122.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Infrastructure Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1093.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carter, Janda, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134376 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 216.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1905.75 Other (specify) 403.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

233 FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casey, Mike, , , Date of Receipt Mailing Address 6800 Doerr Lane 2020 City Zip Code State Transaction ID: A2020-2134915 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division CFO Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cassidy, Dena, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City State Zip Code Transaction ID: A2020-2133887 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 38.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Change Control Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 342.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chen, Leo, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 Zip Code City State Transaction ID: A2020-2134353 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 188.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 1657.47 Other (specify) 251.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

233 FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Chouinard, Shelley, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-2134872 61552 IL Mossville Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christiansen, Keith, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-2133860 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Chu, Maggie, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134405 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) 471.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clements, Mary,,, Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134046 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digtl & Glb Transformation Dir Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cobb, Daniel, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID : A2020-2133758 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 53.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Packaging Ops Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.31 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cobo, Mike, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2020 PO Box 348 City State Zip Code Transaction ID: A2020-2134873 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 228.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 34 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cochran, Wayne, , , Date of Receipt Mailing Address 3701 South Street 2020 City Zip Code State Transaction ID: A2020-2133921 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 45.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 390.12 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cole, Keri, , , Date of Receipt Mailing Address 1600 Progress Drive 2020 P.O. Box 1037 City State Zip Code Transaction ID : A2020-2134418 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3750.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coleman, Jerry, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-2134393 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 400.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2550.00 Other (specify) 861.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

233 FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Combs, Miles, , , Date of Receipt Mailing Address 6611 Hoke Road 2020 City Zip Code State Transaction ID: A2020-2133923 OH Clayton 45315 Amount of Each Receipt this Period FEC ID number of contributing C 45.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Logistics Operations Mgr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 397.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cone, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134038 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 129.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Sr. Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1147.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133976 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lean Transactional Sr. Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 225.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 36 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coon, Korey, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2020 City Zip Code State Transaction ID: A2020-2133984 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager MHE/STTT Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Jarrett, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133764 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 53.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Elec Comp Project Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 482.73 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Covey, Kristen, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2133954 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Corporate FP&A Svcs Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 153.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 37 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Craig, Curtis, , , Date of Receipt Mailing Address 560 Rehoboth Road 2020 City Zip Code State Transaction ID: A2020-2133752 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 52.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 466.59 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 10 2020 City State Zip Code Transaction ID: A2020-2093757 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creech, Paul, , , Date of Receipt Mailing Address 111 Alewine Drive 24 2020 City State Zip Code Transaction ID: A2020-2139076 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) 92.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

233 FOR LINE NUMBER: PAGE 38 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Creed, Joe, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City State Zip Code Transaction ID: A2020-2134881 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Creviston, Robert, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-2134909 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Service Engineer** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Criss, Darilynn, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2133755 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Digital Consultant 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 463.11 Other (specify) 102.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 10 2020 City Zip Code State Transaction ID: A2020-2093784 IN **New Castle** 47362 Amount of Each Receipt this Period FEC ID number of contributing C 36.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 677.87 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Cross, Johnny, , , Date of Receipt Mailing Address 4174 E. US Hwy 36 2020 City State Zip Code Transaction ID: A2020-2139067 **New Castle** IN 47362 Amount of Each Receipt this Period FEC ID number of contributing 36.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 714.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Crowell, Ti, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133858 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 97.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 852.84 Other (specify) 170.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

233 FOR LINE NUMBER: PAGE 40 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cushard, Lauren, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133735 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Communications Rep - 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 252.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Daniell, Bruce, , , Date of Receipt Mailing Address 2500 N. 22nd Street 2020 City State Zip Code Transaction ID: A2020-2133809 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 65.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 576.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davidson, Janet, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-2133785 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 58.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Accounts Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 504.33 Other (specify) 153.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 41 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Ella, , Miss, Date of Receipt Mailing Address Suite 400 1425 K Street 2020 City Zip Code State Transaction ID: A2020-2133865 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 33.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **PAC Administrator** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 272.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 10 2020 City State Zip Code Transaction ID: A2020-2093753 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Davis, Ryan, , , Date of Receipt Mailing Address 715 Shasta Drive 24 2020 City State Zip Code Transaction ID: A2020-2139072 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) 73.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

233 FOR LINE NUMBER: PAGE 42 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134011 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Daw, Andy, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-2134044 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dean, Courtney, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134928 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 275.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deckard, Mitch, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City Zip Code State Transaction ID: A2020-2133979 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Deluca, Tony, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134878 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Denis, Andrew, , , Date of Receipt Mailing Address 4-H Park Road 30 2020 PO Box 740 City State Zip Code Transaction ID: A2020-2133926 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 45.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.98 Other (specify) 120.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deselem, John, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2134861 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Development Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Diccion, Amory, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2020 PO Box 348 City State Zip Code Transaction ID : A2020-2133988 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 415.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dickerson, Douglas, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 Building CV Dock CV City State Zip Code Transaction ID: A2020-2133939 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 48.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 433.71 Other (specify) 123.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Doherty, Chris, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2020 City State Zip Code Transaction ID: A2020-2133927 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 416.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Driscoll, Jennifer, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID : A2020-2133745 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Investor Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dudek, Nicholas, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City Zip Code State Transaction ID: A2020-2133931 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 47.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supplier Development Eng III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 421.20 Other (specify) 143.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duffy, Kevin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg F 2020 City State Zip Code Transaction ID: A2020-2134867 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Duhon, Jason, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133838 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Healthcare Design Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 675.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Nathan, , , Date of Receipt Mailing Address 3701 South Street 30 2020 City State Zip Code Transaction ID: A2020-2134340 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing C 175.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1515.11 Other (specify) 275.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Duncan, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133972 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Earle, Kevin, C, , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2133791 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 540.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Easley, Will, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133986 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eastwood, Patricia, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134386 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 245.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2161.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 10 2020 City State Zip Code Transaction ID: A2020-2093766 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1335.11 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Edwards, Brian, , , Date of Receipt Mailing Address 4517 Montalcino Blvd 24 2020 City State Zip Code Transaction ID: A2020-2139085 TX Flower Mound 75022 Amount of Each Receipt this Period FEC ID number of contributing C 71.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1406.20 Other (specify) 387.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ehrsam, Scott, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2133721 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Elijah, Jeff, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2134837 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** English, Paul, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 City Zip Code State Transaction ID: A2020-2133797 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 60.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Specialist-Des Receipt For: Aggregate Year-to-Date ▼ Primary General 536.43 Other (specify) 115.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Engstrom, Jamie, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134838 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Erpenbeck, Steve, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2020 City State Zip Code Transaction ID : A2020-2133723 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineering Specialist-Dev/Res Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Josh, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133819 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 69.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Asset Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 614.37 Other (specify) 124.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Evans, Mike, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-2134338 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 174.93 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Commercial Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1553.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Evenson, Eric, , , Date of Receipt Mailing Address 875 W Cushing Street 09 2020 City State Zip Code Transaction ID : A2020-2133798 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 60.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 529.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 10 2020 City State Zip Code Transaction ID: A2020-2093773 IN Crown Point 46307 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 260.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewing, Charles, , , Date of Receipt Mailing Address 1667 Chalone Court 2020 City Zip Code State Transaction ID: A2020-2139092 Crown Point IN 46307 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fassino, Tony, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2020 Suite 400 City State Zip Code Transaction ID: A2020-2133911 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 378.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ferguson, Michael, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133933 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 47.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Digital Product Owner Receipt For: Aggregate Year-to-Date ▼ Primary General 425.22 Other (specify) 114.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ferguson, Steve, M,, Date of Receipt Mailing Address 6800 Doerr Lane 2020 City Zip Code State Transaction ID: A2020-2134410 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Feucht, Joel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133849 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 89.21 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Engine Systems Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 802.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Filer, Matt, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2133997 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 555.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fink, Christopher, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-2134018 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Supply Chain Manager 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 365.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Finn, Bart, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134074 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 168.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1494.27 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 10 2020 City Zip Code State Transaction ID: A2020-2093759 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Flaherty, Douglas, , , Date of Receipt Mailing Address 4508 Starlite Ln 2020 City Zip Code State Transaction ID: A2020-2139078 KY Louisville 40291 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Flannery, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134349 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 185.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1644.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Flynn, Dan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134389 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Rental Director Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 455.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-2133994 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Foster, Forrest, , , Date of Receipt Mailing Address 3250 E HWY 44 2020 Suite 200 City State Zip Code Transaction ID: A2020-2133925 SD Rapid City 57703 Amount of Each Receipt this Period FEC ID number of contributing 45.95 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 402.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Foster, Steve, S, , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133825 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 72.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General 638.82 Other (specify) 168.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fox, Isaac, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive Suite 4000 2020 City State Zip Code Transaction ID: A2020-2134294 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Systems Application Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 248.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frake, Tom, G, , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134414 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3750.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Franke, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134924 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 451.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frederick, Dave, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2133729 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Friend, Paul, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133810 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 65.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 568.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frohberg, Dave, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-2133973 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 145.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Furness, Debbie, S, Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-2134858 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gannon, Fred, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133902 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Analyst III - Tech Infra Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 358.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 10 2020 City State Zip Code Transaction ID: A2020-2093780 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing C 41.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 772.70 Other (specify) 106.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 60 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Garman, Scott, , , Date of Receipt Mailing Address 3971 S Chana Rd 2020 City Zip Code State Transaction ID: A2020-2139099 IL Chana 61015-9770 Amount of Each Receipt this Period FEC ID number of contributing C 41.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 814.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaw, Matt, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID: A2020-2133837 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. GM Network Solutions & Spt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 675.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gee, Scott, , , Date of Receipt Mailing Address 540 W. Madison Street 30 2020 Floor 3 City State Zip Code Transaction ID: A2020-2133938 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 48.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Services Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 323.51 Other (specify) 165.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Geisz, John, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133953 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Analytics Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry-Stuenkel, Wendi, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID : A2020-2134406 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Integrated Logistics** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. George, Brian, W., Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134002 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 566.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Giesfeldt, James, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City Zip Code State Transaction ID: A2020-2134355 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 192.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1702.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gilbert, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2133786 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 59.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glb Category Procurement Mgr I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 635.59 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ginzel, Jon, , , Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2020 City Zip Code State Transaction ID: A2020-2134027 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing 113.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Mgr Central Human Resources Receipt For: Aggregate Year-to-Date ▼ Primary General 1022.04 Other (specify) 365.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Girard, Kristin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134468 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Svcs Reg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Glawe, Regg,,, Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-2134346 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1635.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gnau, Scott, , , Date of Receipt Mailing Address 600 Memory Lane 30 2020 City State Zip Code Transaction ID: A2020-2133863 PΑ York 17402 Amount of Each Receipt this Period FEC ID number of contributing C 32.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assoc IT Analyst - Appl Receipt For: Aggregate Year-to-Date ▼ Primary General 288.00 Other (specify) 632.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 64 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goddard, John, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City Zip Code State Transaction ID: A2020-2134874 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Supply NPI Ldr III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goode, Darin, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID : A2020-2134847 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Glb Category Proc Mgr III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gorman, Chris, , , Date of Receipt Mailing Address 954 NC Highway 42 East 30 2020 City Zip Code State Transaction ID: A2020-2134055 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 154.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1376.22 Other (specify) 204.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Green, Jamie, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2133862 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Mgr. Corp. Bus. Developmt Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Green, Leah, , , Date of Receipt Mailing Address 1600 Progress Drive 2020 P.O. Box 1037 City State Zip Code Transaction ID : A2020-2133742 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Greuel, Matthew, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-2133818 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 68.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 601.11 Other (specify) 148.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilford, Jerome, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2020 Suite 400 City Zip Code State Transaction ID: A2020-2134424 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 650.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Manager BHL/TH Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3050.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gusso, Rodrigo, , , Date of Receipt Mailing Address 701 Waterford Way 2020 Suite 600 City State Zip Code Transaction ID: A2020-2133733 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gustafson, Ryan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134031 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 123.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Excavator Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 971.67 Other (specify) 803.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gutgesell, Peg, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134072 IL 61552 Mossville Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal IT Architect Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1493.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haffner, Rhonda, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2020 City State Zip Code Transaction ID: A2020-2134890 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hall, Donald, R., Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2133832 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Rgnl/Group Financial Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 268.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hall, John,,, Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2133937 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 48.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 437.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Halloran, Jim, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134347 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. California Reg Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1636.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hampton, Doug, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134853 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Analytics Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 257.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hanback, Corey, B, , Date of Receipt Mailing Address 11601 93rd Ave N 2020 City Zip Code State Transaction ID: A2020-2134060 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 160.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hardin, Eric, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2133917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 405.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hargis, Keith, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133920 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Facilities Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 405.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Lester, , , Date of Receipt Mailing Address 600 Balderson Blvd PO Box 6 2020 City State Zip Code Transaction ID: A2020-2133907 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 41.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Production Scheduler 1** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 365.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 10 2020 City State Zip Code Transaction ID: A2020-2093777 Fort Worth TX 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1875.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Stephen, , , Date of Receipt Mailing Address 12085 New Day Dr 24 2020 City State Zip Code Transaction ID: A2020-2139096 TX Fort Worth 76179-9168 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) 291.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Andrea, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134076 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 174.12 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Human Resources Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1515.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hartmann, Melissa, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID: A2020-2133943 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 49.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **EHS Professional II** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 439.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hartnett, Larry, , , Date of Receipt Mailing Address 500 N. Morton Ave. 30 2020 City State Zip Code Transaction ID: A2020-2134842 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 248.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 - - -

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hawkins, Jim, D, , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2133714 ΑZ 85745 Tucson Amount of Each Receipt this Period FEC ID number of contributing C 27.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager MTES Receipt For: Aggregate Year-to-Date ▼ Primary General 245.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 10 2020 City State Zip Code Transaction ID: A2020-2093752 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3798.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Haycraft, Martin, , , Date of Receipt Mailing Address 404 E Main St 24 2020 City State Zip Code Transaction ID: A2020-2139071 AL Albertville 35950-2444 Amount of Each Receipt this Period FEC ID number of contributing C 200.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 3998.96 Other (specify) 428.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hays, Katie, , , Date of Receipt Mailing Address Suite 400 1425 K Street 2020 City Zip Code State Transaction ID: A2020-2134356 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 194.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Int'l Fed & Govt Affairs Dir Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1712.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hazlehurst, Lori, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-2134912 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CFIS Compliance & Govern Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Heiar, Keith, J., Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2134069 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 167.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1481.82 Other (specify) 386.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 74 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heilman, Jerimy, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV Dock CV 2020 City Zip Code State Transaction ID: A2020-2133885 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 38.43 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 341.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heminger, Pamela, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134427 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henderson, Dan, E, Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-2134365 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Innovation & Emerging Tech Dtr Receipt For: Aggregate Year-to-Date ▼ Primary General 1350.00 Other (specify) 1238.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 75 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henley, Dave, , , Date of Receipt Mailing Address 1627 Veterans Memorial Pkwy E 2020 City Zip Code State Transaction ID: A2020-2133980 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Node Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Henry, Michael, , , Date of Receipt Mailing Address 1904 South First Street 2020 2nd Floor City State Zip Code Transaction ID: A2020-2133859 IL Champaign 61820 Amount of Each Receipt this Period FEC ID number of contributing 98.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 870.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hepler, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133993 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 198.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 76 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herbert, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2133948 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Herman, Jason, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID : A2020-2133812 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 65.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 581.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hermann, Eric, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2134343 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 184.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Integrated Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1619.25 Other (specify) 299.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Heussner, Roy, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133822 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 69.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 626.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hexum, Mark, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2134438 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Division Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hillman, Jonathan, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-2134377 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 217.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 1930.68 Other (specify) 311.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hindert, Brad, , , Date of Receipt Mailing Address Route 29 & Old Galena Road Dock 55 2020 City State Zip Code Transaction ID: A2020-2133918 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Technologist - Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 405.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hitchcox, Stephanie, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City State Zip Code Transaction ID: A2020-2133748 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 50.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chn Performance Engr 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hodge, Jeannie, , , Date of Receipt Mailing Address 600 Memory Lane 30 2020 City State Zip Code Transaction ID: A2020-2134882 PΑ York 17402 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Logistics Center Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 120.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 79 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hodges, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133961 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Learning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hoefft, Tim, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-2133981 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Materials Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hoeft, David, , , Date of Receipt Mailing Address 200 NE Adams Street 30 2020 City State Zip Code Transaction ID: A2020-2134357 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 194.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1709.01 Other (specify) 294.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 80 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoenes, Rob, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-2134863 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hoepfinger, Dean, , , Date of Receipt Mailing Address 2901 Gateway Blvd. 2020 City State Zip Code Transaction ID: A2020-2133774 TX Waco 76712 Amount of Each Receipt this Period FEC ID number of contributing 55.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution Supervisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 479.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Holland, Sally, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133817 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 67.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Receivables Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 601.38 Other (specify) 148.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 81 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hollingshead-Cook, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133990 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Homolka, Robb, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID : A2020-2134840 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hordesky, Jeffrey, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134832 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager-Logistics Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hubbard, Ryan, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-2134437 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Husar, Lucian, M,, Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City State Zip Code Transaction ID: A2020-2133985 Brooklyn Park MN 55445 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hutt, Joe, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City Zip Code State Transaction ID: A2020-2134348 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 185.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1629.51 Other (specify) 260.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

233 FOR LINE NUMBER: PAGE 83 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ibrahim, Daniel, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134370 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 201.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1776.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jacobs, Paul, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID: A2020-2134048 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 150.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Principal Digital Architect Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 301.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. James, Danielle, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-2133831 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 74.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Political Action Committee Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 660.18 Other (specify) 426.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 84 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Janda, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134871 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Market Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Janes, John, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2020 Suite 400 City State Zip Code Transaction ID: A2020-2133736 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mktg & Sales Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Jasinski, John, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2134870 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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233 85 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jerge, Martin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133744 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Security Investigator I Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Johnson, Alicia, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID : A2020-2134382 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 230.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment HR Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2017.47 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Brad, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134364 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) General Mgr Adv Comp Mfg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 480.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 86 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Cheryl, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2134403 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Human Resources Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Craig, , , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City State Zip Code Transaction ID : A2020-2134407 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3750.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johnson, Denise, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134419 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) 1250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Jerry, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 City Zip Code State Transaction ID: A2020-2133789 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.94 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General 530.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Nathan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133936 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 48.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Recruiter III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 424.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnston, Dayven, , , Date of Receipt Mailing Address 2045 West Pike Street 30 2020 City State Zip Code Transaction ID: A2020-2133792 PΑ Houston 15342 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) 168.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jorgenson, Sarah, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134891 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Project Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kaiser, Jack, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134007 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Procurement Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Karol, Kathryn, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-2134420 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) 541.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kearns, Patrick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133852 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 92.80 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Industry Region Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 799.08 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kees, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133975 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kellen, Jason, , , Date of Receipt Mailing Address 9401 85Th Avenue North 30 2020 City Zip Code State Transaction ID: A2020-2134921 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Quality Engineer II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 167.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kerelchuk, Colin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133717 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Australia Ops - Rail Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kibby, Steve, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-2134381 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 225.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2026.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kim, Charlie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg E City State Zip Code Transaction ID: A2020-2133788 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 59.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 527.73 Other (specify) 315.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kinsey, Don, , , Date of Receipt Mailing Address 751 International Dr 2020 City Zip Code State Transaction ID: A2020-2133968 IN Franklin 46131 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facility Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Knepp, Wes, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134344 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Labor & Employee Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1636.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Knotts, Christine, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133813 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 67.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 585.99 Other (specify) 301.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kobrak, Harry, , , Date of Receipt Mailing Address 100 NE Adams Ocean Gate Minato Mirai 2020 City Zip Code State Transaction ID: A2020-2134371 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 205.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1804.41 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kollipara, Bala, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-2133738 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Enterprise Architect** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kopinski, Chris, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134868 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Developmental Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 260.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kroger, James, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133732 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Krueckeberg, Todd, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2133969 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kruse, Brian, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2020 City State Zip Code Transaction ID: A2020-2134024 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 104.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Solutions Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 916.29 Other (specify) 184.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kumpf, Mark, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134864 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lean Support Advisor Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kupferschmid, Jason, , , Date of Receipt Mailing Address 3501 South FM Hwy 1417 2020 City State Zip Code Transaction ID : A2020-2134012 TX Denison 75020 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 809.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kurumbailmadam, Sankar, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134045 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 275.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lagacy, Julie, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2134412 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LaHood, Dan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134467 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 176.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Region Finance Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1561.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Landaeta, Cesar, , , Date of Receipt Mailing Address 701 Waterford Way 30 2020 Suite 600 City State Zip Code Transaction ID: A2020-2134454 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Services Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 622.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lane-Christian, Deborah, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-2133876 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Paralegal V Receipt For: Aggregate Year-to-Date ▼ Primary General 313.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lattz, Daniel, J., Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID : A2020-2134892 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lauber, Priska, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133875 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 35.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Network Specialist III Receipt For: Aggregate Year-to-Date ▼ Primary General 310.59 Other (specify) 95.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lautzenheiser, Scott, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134041 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 144.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1095.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 10 2020 City State Zip Code Transaction ID: A2020-2093755 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lawley, Jimmy, , , Date of Receipt Mailing Address 208 Gwindale Rd 24 2020 City State Zip Code Transaction ID: A2020-2139074 AL Gadsden 35901-5616 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 194.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leamon, Brittany, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2133750 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 51.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Treasury Ops Rep Funding Receipt For: Aggregate Year-to-Date ▼ Primary General 445.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Leary, Lawrence, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133765 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 54.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 485.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** LeBaron, Liz, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 30 2020 PO Box 348 City State Zip Code Transaction ID: A2020-2133991 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 156.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ledford, Curtis, , , Date of Receipt Mailing Address 1167 NC Hwy 42 East 2020 City Zip Code State Transaction ID: A2020-2133905 NC Clayton 27527 Amount of Each Receipt this Period FEC ID number of contributing C 40.58 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supplier Development Eng II Receipt For: Aggregate Year-to-Date ▼ Primary General 320.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lee, Christopher, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-2133919 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Program Mgmt Developer III Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 381.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lenz, Martha, , , Date of Receipt Mailing Address 1708 Wild Rose Ct 10 2020 City State Zip Code Transaction ID: A2020-2093791 IL Naperville 60565 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 98.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ____

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lenz, Martha, , , Date of Receipt Mailing Address 1708 Wild Rose Ct 2020 City State Zip Code Transaction ID: A2020-2139064 IL Naperville 60565 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 237.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lester, Phillip, , , Date of Receipt Mailing Address 904 Ron Ave. 10 2020 City State Zip Code Transaction ID: A2020-2093769 AL Boaz 35956 Amount of Each Receipt this Period FEC ID number of contributing 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lester, Phillip, , , Date of Receipt Mailing Address 904 Ron Ave. 24 2020 City State Zip Code Transaction ID: A2020-2139088 AL Boaz 35956 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.50 Other (specify) 47.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leustek, Jennifer, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133793 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leustek, Matt, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-2134905 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Liang, Chih, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134004 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 185.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Light, Jake, , , Date of Receipt Mailing Address 7300 Lone Tree Road 2020 City Zip Code State Transaction ID: A2020-2133891 TX Victoria 77901 Amount of Each Receipt this Period FEC ID number of contributing C 39.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 351.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lindberg, Richard, , , Date of Receipt Mailing Address 4-H Park Road 2020 P O Box 740 City State Zip Code Transaction ID: A2020-2133828 IL **Pontiac** 61764 Amount of Each Receipt this Period FEC ID number of contributing 74.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engr Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 598.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Lohmeier, Larry, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-2134354 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 188.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 727.52 Other (specify) 302.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Long, Suzette, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2134401 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Counsel-Corp Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lorenzetti, JR, , , Date of Receipt Mailing Address 400 Regency Forest Drive 2020 Suite 400 City State Zip Code Transaction ID: A2020-2133897 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Worldwide Sales & Mrkt Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 255.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Lueker, Josh, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-2133906 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 41.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Staff Acct I Legal Entity Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 364.29 Other (specify) 497.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lusher, Jason, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID: A2020-2134472 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 1.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1112.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Luttrell, Brad, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-2133889 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 39.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 353.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Magner, Scott, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134835 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 65.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Manning, Paul, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134907 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Compensation Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Markun, Joe, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City State Zip Code Transaction ID : A2020-2134010 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Large Engine Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Martin, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134040 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 140.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 1238.46 Other (specify) 265.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Arthur, , , Date of Receipt Mailing Address 9330 Sky Park Court 2020 City Zip Code State Transaction ID: A2020-2133739 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Dir Bus Management-Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, David, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2134423 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Rgnl/Group Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Martin, Max, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2020 Suite 400 City State Zip Code Transaction ID: A2020-2134897 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Distribution & Marketing Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 575.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Rob, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City Zip Code State Transaction ID: A2020-2134875 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Matheny, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134375 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 215.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1875.99 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matheson, Sean, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2133768 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 54.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Supply Network Lead I Receipt For: Aggregate Year-to-Date ▼ Primary General 488.72 Other (specify) 294.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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not be sold or used by any person for the purpose of soliciting contributions										

Any information copied from such Reports and Statements may not be sold or or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matone, Silvano, , , Date of Receipt Mailing Address 1000 West 2nd Street 2020 City Zip Code State Transaction ID: A2020-2133707 TX Odessa 79763 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Industry Representative Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Matousek, Dennis, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 09 2020 PO Box 348 City State Zip Code Transaction ID: A2020-2134925 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mattox, Jason, , , Date of Receipt Mailing Address 560 Rehoboth Road 30 2020 City State Zip Code Transaction ID: A2020-2133883 GΑ Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing C 37.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Performance Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 324.93 Other (specify) 87.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Maxheimer, Leon, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134877 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mayernich, Linda, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133908 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 41.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Paralegal V Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 363.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McCartan, Patrick, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133978 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CFSC Vice President / CFO Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 116.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McCartney, Sandi, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2134378 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 218.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1962.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McClure, Rebecca, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-2133787 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 59.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Customer Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 517.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McDonnough, Matt, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134902 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 302.42 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGuire, Andrew, A,, Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-2134417 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McKay, Heather Rae, R, Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133944 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 49.56 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Business Process Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 439.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McMullen, Karen, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133842 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 77.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 687.00 Other (specify) 543.57 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Meyer, Brett, , , Date of Receipt Mailing Address 400 Regency Forest Drive Suite 400 2020 City Zip Code State Transaction ID: A2020-2134916 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Michael, Dave, , , Date of Receipt Mailing Address 100 NE Adams St. 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-2134008 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **AFS Commercial Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Miller, Scott, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2134436 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mills, Desmond, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-2134019 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Division Risk & Compliance Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Milman, Kelsey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134394 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3744.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Minder, Eric, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 Building CV Dock CV City State Zip Code Transaction ID: A2020-2134908 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 541.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mitts, Marsha, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134043 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 149.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager II-Export Controls Receipt For: Aggregate Year-to-Date ▼ Primary General 1320.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mohrman, Patrick, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133854 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 812.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 10 2020 City State Zip Code Transaction ID: A2020-2093756 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 267.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Monteleone, Arnold, , , Date of Receipt Mailing Address 1000 Morningside Cir 2020 City Zip Code State Transaction ID: A2020-2139075 TX Denison 75020-4154 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Trackwork Division **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Montgomery, Djuana, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID : A2020-2134047 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 150.05 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1332.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Montgomery, Kim, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134857 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Business Analyst II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 200.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mooney, Martin, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2134070 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Facilities Engineering Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 1493.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Jann, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134037 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 128.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Governmental Affairs Rep Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1140.54 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Rich, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134366 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Corporate Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 1800.00 Other (specify) 497.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morton, Susan, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133776 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 56.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Guest Relations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 491.46 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Morzy, Adam, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-2133806 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 64.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Accounting Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 570.48 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moss, Jarrod, , , Date of Receipt Mailing Address 6000 W. Caterpillar Trail 30 2020 City State Zip Code Transaction ID: A2020-2134341 ΑZ Green Valley 85614 Amount of Each Receipt this Period FEC ID number of contributing C 176.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 1545.29 Other (specify) 297.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mosteller, Phillip, , , Date of Receipt Mailing Address 802 Sandhill Sage St 2020 City Zip Code State Transaction ID: A2020-2139077 NV Henderson 89052-2984 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Muir, Tom, , , Date of Receipt Mailing Address 8826 2 Route 24 2020 City State Zip Code Transaction ID: A2020-2134830 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mund, Brian, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2133807 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 64.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 572.13 Other (specify) 104.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for ea Detail Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Muthekepalli, Srinivas, , , Date of Receipt Mailing Address 500 N. Morton Ave 2020 City Zip Code State Transaction ID: A2020-2133814 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 67.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior IS Specialist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 530.63 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myers, Bart, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID : A2020-2134022 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Mgr EP Diesel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Myers, Chris, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City State Zip Code Transaction ID: A2020-2134391 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 307.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Federal Government Affairs Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2731.05 Other (specify) 474.79 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myers, Kirsten, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133848 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 86.10 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Global Lease Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 759.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Newell, Dontae, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2134434 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 91.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 805.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, Chau, , , Date of Receipt Mailing Address 540 W. Madison Street 30 2020 Floor 3 City State Zip Code Transaction ID: A2020-2134028 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 115.24 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1017.00 Other (specify) 292.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nicoll, David, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-2133769 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 55.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norlin, John, , , Date of Receipt Mailing Address 14009 N Old Galena Rd 2020 Tech Center Bldg K City State Zip Code Transaction ID: A2020-2134071 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1493.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** O'Neil, Ed, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-2134009 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Earthmoving Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 323.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name ORourke, Dennis, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2134843 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Orr, Kelly, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City State Zip Code Transaction ID : A2020-2134852 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Strategy Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osborn, Denver, M., Date of Receipt Mailing Address 9280 Sky Park Court 30 2020 City State Zip Code Transaction ID: A2020-2134827 CA San Diego 92123-5398 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Sr Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osborn, Sheri, , , Date of Receipt Mailing Address 2200 Pacific Highway 2020 City Zip Code State Transaction ID: A2020-2133830 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing C 74.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Supply Chain Area Sr. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 654.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osterfeld, Scott, , , Date of Receipt Mailing Address 3450 Executive Way 2020 City State Zip Code Transaction ID: A2020-2134350 FL Miramar 33025 Amount of Each Receipt this Period FEC ID number of contributing 185.64 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 476.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parks, Brett, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134372 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 206.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1820.49 Other (specify) 466.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Paternoga, Bob, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134415 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Reman Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pellette, Tom, , , Date of Receipt Mailing Address 2200 Pacific Hwy 2020 City State Zip Code Transaction ID: A2020-2134416 CA San Diego 92101 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3750.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Perez Sandi, Alex, , , Date of Receipt Mailing Address 8826 2 Route 24 30 2020 City State Zip Code Transaction ID: A2020-2134001 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing C 99.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 828.32 Other (specify) 932.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Peterson, Vanessa, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133747 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 449.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pfautsch, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133835 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Phelps, Jason, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2134883 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product/Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 150.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pickell, Mike, E, Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133892 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 290.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pickett, Kirk, , , Date of Receipt Mailing Address 600 Balderson Blvd P O B<u>ox 6</u> 2020 City State Zip Code Transaction ID: A2020-2134911 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pierce, Robert, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-2133847 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 84.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 746.91 Other (specify) 149.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pitts, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133816 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 67.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 601.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pladers, Missy, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-2133914 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 43.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Staff Acct II - Accountable Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 388.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pohm, John, , , Date of Receipt Mailing Address 1100 Milwaukee Ave 30 2020 PO Box 500 City State Zip Code Transaction ID: A2020-2133878 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing C 35.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manufacturing Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 350.43 Other (specify) 147.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Poling, Dave, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134831 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Tax Director Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Poole, Clint, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID : A2020-2134862 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - CVA Aftermarket Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Potts, Rachel, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134368 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Enterprise Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 1800.00 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Price, Kyle, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133971 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Procurement** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Price, Stephanie, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-2133868 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 34.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 307.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Qualey, Richard, , , Date of Receipt Mailing Address 5122 N. Jefferson Pl. 30 2020 City Zip Code State Transaction ID: A2020-2133782 WA Oak Harbor 98277 Amount of Each Receipt this Period FEC ID number of contributing C 56.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 504.36 Other (specify) 141.49 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quick, Hallie, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133935 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 48.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Compliance Risk Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 427.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quigg, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134397 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Product Source Plng. & RE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rainey, Jamero, , , Date of Receipt Mailing Address 500 N Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134923 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Staff Acct II Cost / DS Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 489.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ramalingam, Prabhakar, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2133870 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rasmussen, Marie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID : A2020-2133767 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 54.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Specialist-Design** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 483.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Rassi, A.J., , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-2134026 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategy & Planning Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 982.92 Other (specify) 199.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rathman, Carol, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133811 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 65.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 583.89 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rea, David, M. Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-2134395 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Mining Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Reese, Jeff, , , Date of Receipt Mailing Address 501 SW Jefferson 30 2020 City State Zip Code Transaction ID: A2020-2133719 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Corporate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 512.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Reiners, Eric, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133965 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Automation Sr. Tech Strategist Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Reinholdt, Ron, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133722 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engrg Tech Team Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Renner, Nicholas, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134910 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Strategy Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Richards, Mark, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2134845 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) S&OP Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rich, Steve, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City State Zip Code Transaction ID: A2020-2134367 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mining General Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rider, Woody, , , Date of Receipt Mailing Address 501 Cardinal Drive 30 2020 City State Zip Code Transaction ID: A2020-2133766 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing C 54.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 461.03 Other (specify) 279.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riggle, Robert, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2133821 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 69.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Performance Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 616.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ringel, Michael, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID : A2020-2134061 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 160.79 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Packaging Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 946.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robbins, Ryan, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-2133775 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 56.01 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Category Buyer IV Receipt For: Aggregate Year-to-Date ▼ Primary General 494.31 Other (specify) 286.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roberson, Keith, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-2134880 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assistant General Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Roberts, Janelle, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2134855 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robinson, Adam, J., Date of Receipt Mailing Address 9370 Sky Park Court / Ruffin Road 30 2020 City Zip Code State Transaction ID: A2020-2133898 CA San Diego 92123 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Solar Turbines ProgramMgrStrategicGrowthIII Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rochford, Mike, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133790 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GM Gas & Med Speed Engines Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rocke, Matt,,, Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID: A2020-2134850 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager-Logistics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodawold, Joseph, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2134063 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 161.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Product Support Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 392.58 Other (specify) 246.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roethler, Mary, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134358 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 196.91 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1737.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rolinitis, Steve, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134003 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director Risk Management** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rooney, Jim, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134409 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Associate General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) 713.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roos, Kimberly, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 2020 City Zip Code State Transaction ID: A2020-2133778 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing C 56.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Consultant** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 486.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rosebraugh, Jeff, , , Date of Receipt Mailing Address 3701 South Street 2020 City State Zip Code Transaction ID: A2020-2133801 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 62.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 524.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rubel, Barbara, , , Date of Receipt Mailing Address 812 W Washington Street 30 2020 City State Zip Code Transaction ID: A2020-2133715 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 29.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT Analyst I - Applications Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 252.09 Other (specify) 148.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rubel, Juergen, , , Date of Receipt Mailing Address 15550 NW 59Th Avenue 2020 City Zip Code State Transaction ID: A2020-2133846 FL Miami Lakes 33014 Amount of Each Receipt this Period FEC ID number of contributing C 84.09 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Logistics Mgmt Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 746.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ruppel, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134017 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Assistant General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Russell, Mark, , , Date of Receipt Mailing Address 1595 Northridge Trace 10 2020 City State Zip Code Transaction ID: A2020-2093751 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services **VP ETS Administration** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 209.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Mark,,, Date of Receipt Mailing Address 1595 Northridge Trace 2020 City Zip Code State Transaction ID: A2020-2139070 AL Albertville 35951 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP ETS Administration Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Melissa, , , Date of Receipt Mailing Address Suite 400 2020 1425 K Street City State Zip Code Transaction ID : A2020-2134068 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 167.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Governmental Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1503.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ruth, Brent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134920 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Performance Mgr4 Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 217.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ryan, Steven, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134927 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Industry Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Jeffrey, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134374 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 213.98 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1882.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sage, Jason, , , Date of Receipt Mailing Address 400 Regency Forest Drive 30 2020 Suite 400 City State Zip Code Transaction ID: A2020-2134383 NC Cary 27518 Amount of Each Receipt this Period FEC ID number of contributing C 231.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 2055.18 Other (specify) 470.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 144 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Salahuddin, Jihad, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134057 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 156.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Venture Capital Investmt Mg Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1389.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sale, Ronald, , Date of Receipt Mailing Address 8826 2 Route 24 2020 City State Zip Code Transaction ID: A2020-2133826 IL Mapleton 61547 Amount of Each Receipt this Period FEC ID number of contributing 72.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Section Mgr 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 662.58 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sallowicz, Marcos, , , Date of Receipt Mailing Address 701 Waterford Way 30 2020 Suite 600 City State Zip Code Transaction ID: A2020-2134455 FL Miami 33126 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing & Ops Support Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 329.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sampson, Jeff, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134849 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Intl Remarketing Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sanders, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2133872 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Funding Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 245.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sandoval, Jesus, , , Date of Receipt Mailing Address 11601 93rd Ave N 30 2020 City Zip Code State Transaction ID: A2020-2133716 MN MapleGrove 55369 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Santilli, Stefano, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134362 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 198.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk Analytics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1725.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schaer, Corey, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2133901 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Data Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 255.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schaffroth, Bill, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133970 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Steel Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 288.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schell, Bill, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-2133951 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schmillen, Kenneth, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2134464 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 45.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts & Svc Mktg Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 404.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schryver, Scott, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134848 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 120.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name See, Alex, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133877 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 35.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Corporate Marketing Analyst Receipt For: Aggregate Year-to-Date ▼ Primary General 313.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Seely, Mary, , , Date of Receipt Mailing Address 6800 Doerr Lane 2020 City State Zip Code Transaction ID : A2020-2134392 TX Schertz 78154 Amount of Each Receipt this Period FEC ID number of contributing 349.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3107.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Selburg, Brent, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134443 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Parts Operations Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 435.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Serdah, Zade, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2134369 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Staff Acct II Cost / DS Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shaffer, Scott, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City State Zip Code Transaction ID: A2020-2134886 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shankwitz, Phil, , , Date of Receipt Mailing Address 600 Balderson Blvd 30 2020 PO Box 6 City State Zip Code Transaction ID: A2020-2134359 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing C 196.97 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 1719.69 Other (specify) 421.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shapland, Brad, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2133851 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 91.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IT Architect Manager II Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 808.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shea, Ryan, , , Date of Receipt Mailing Address 600 Balderson Blvd P O B<u>ox 6</u> 2020 City State Zip Code Transaction ID: A2020-2133784 KS Wamego 66547 Amount of Each Receipt this Period FEC ID number of contributing 57.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 508.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sheffield, Maria, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134373 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 210.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. President Insurance Services Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.90 Other (specify) 359.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shepherd, Scott, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City Zip Code State Transaction ID: A2020-2133850 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 90.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Aftermarket Parts Dist Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 810.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shipman, Jessica, W,, Date of Receipt Mailing Address 501 Cardinal Drive 2020 City State Zip Code Transaction ID : A2020-2133731 MS Corinth 38834 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. 6 Sigma Black Belt-2 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Shurman, Rod, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2134390 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 276.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Oil & Gas Receipt For: Aggregate Year-to-Date ▼ Primary General 2452.53 Other (specify) 396.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shute, Truman, , , Date of Receipt Mailing Address 2800 E. Cedar Hills Drive 2020 City Zip Code State Transaction ID: A2020-2133829 IL Chillicothe 61523 Amount of Each Receipt this Period FEC ID number of contributing 74.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Solutions Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 646.51 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Simmons, Tom, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133894 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Quality Manager 4 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simpson, Trent, A, , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133725 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 144.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sivertsen, Nick, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133995 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Transportation Manager III Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sluga, Maureen, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID : A2020-2134342 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 180.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1581.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Kristen, , , Date of Receipt Mailing Address Suite 400 30 2020 1425 K Street City Zip Code State Transaction ID: A2020-2133839 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing C 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr. Communications Rep Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 305.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mark, , , Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2133772 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 55.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product & Tech Training Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 494.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 10 2020 City State Zip Code Transaction ID: A2020-2093762 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2307.93 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Smith, Mary, , , Date of Receipt Mailing Address 3101 Bethsaida Rd 24 2020 City State Zip Code Transaction ID: A2020-2139081 AL Boaz 35957 Amount of Each Receipt this Period FEC ID number of contributing C 122.19 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 2430.12 Other (specify) 300.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Ron, , , Date of Receipt Mailing Address 3701 State Road 26 East 2020 City Zip Code State Transaction ID: A2020-2133895 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Smith, Wayne, , , Date of Receipt Mailing Address 500 N Morton Ave 2020 City State Zip Code Transaction ID : A2020-2134052 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing 153.82 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Chain Process Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1370.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Soendlin, Deron, , , Date of Receipt Mailing Address 500 N. Morton Ave 30 2020 City State Zip Code Transaction ID: A2020-2134889 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Engagement Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 218.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sonnemaker, Brian, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2134388 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Group CIO IT Engagement Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Speake, Laura, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134049 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 150.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Regional Chief Economist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1337.61 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spears, Drew, , , Date of Receipt Mailing Address 2120 West End Avenue 30 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2133794 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assn - 4 Pillars Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 460.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spielman, Mike, , , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr 2020 PO Box 348 City State Zip Code Transaction ID: A2020-2134841 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Engineering Technical Steward** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spitznagle, Matt, , , Date of Receipt Mailing Address 560 Rehoboth Road 2020 City State Zip Code Transaction ID: A2020-2133940 GA Griffin 30224 Amount of Each Receipt this Period FEC ID number of contributing 49.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 429.45 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Srinivasan, Mahesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134901 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Supply Excellence Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 99.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Staley, Daniel, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City Zip Code State Transaction ID: A2020-2134058 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 157.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Corporate Counsel Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1366.23 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stanley, Jim, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg E City State Zip Code Transaction ID: A2020-2134025 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 108.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Technology Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 945.09 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Starr, Jim, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2134351 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 187.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Aftermarket Services Reg. Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 1683.00 Other (specify) 452.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Steiner, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134056 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 155.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1376.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sterton, Scott, , , Date of Receipt Mailing Address 901 Powell Avenue SW 2020 Suite 110 City State Zip Code Transaction ID: A2020-2134860 WA Renton 98057 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Area Sales Operations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stevens, Janet, , , Date of Receipt Mailing Address 3701 State Road 26 East 30 2020 City State Zip Code Transaction ID: A2020-2134062 IN Lafayette 47905 Amount of Each Receipt this Period FEC ID number of contributing 160.83 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1401.51 Other (specify) 341.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Matt, , , Date of Receipt Mailing Address 9401 85Th Avenue North 2020 City Zip Code State Transaction ID: A2020-2133909 MN Brooklyn Park 55445 Amount of Each Receipt this Period FEC ID number of contributing C 41.60 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engineer 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 369.54 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevenson, Brad, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134042 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 148.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1313.97 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stimeling, John, , , Date of Receipt Mailing Address 1720 W Kingsbury 30 2020 City State Zip Code Transaction ID: A2020-2133749 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 51.88 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 452.64 Other (specify) 242.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stinson, John, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134352 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 187.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manager Commercial Mining Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stockwell, Tammi, , , Date of Receipt Mailing Address 200 NE Adams Street 2020 City State Zip Code Transaction ID: A2020-2134035 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 126.11 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Risk & Compliance Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1110.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stokes, Jennifer, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134906 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Treasury Mgr-Dlr/Rental Supt Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 338.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stoller, Kevin, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134869 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir of Benefit Investments Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stone, Brian, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134029 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 121.87 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Manufacturing Engrg Mgr 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1075.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stone, Casey, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133820 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing C 69.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Information Technology Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 610.14 Other (specify) 216.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stone, Jonathan, , , Date of Receipt Mailing Address 27Th & Pershing Rd PO Box 1430 2020 City State Zip Code Transaction ID: A2020-2134059 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing C 157.96 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Support Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1463.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stratton, Mark, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City State Zip Code Transaction ID: A2020-2134413 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM IPSD Large Engines** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3750.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Strother, Art, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 Cileungsi Bogor City State Zip Code Transaction ID: A2020-2134067 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 164.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1453.14 Other (specify) 739.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stroup, Dana, , , Date of Receipt Mailing Address 100 Tractor Drive Building CV 2020 City Zip Code State Transaction ID: A2020-2134380 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 224.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Procurement Manager III Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1956.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stuart, Bob, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID : A2020-2134917 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Payroll Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sundell, Dan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134039 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 136.65 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM of Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 1229.85 Other (specify) 386.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Swenson, Rod, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-2134885 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Resource Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Swick, Chris, , , Date of Receipt Mailing Address 5012 Womack Rd 2020 City State Zip Code Transaction ID: A2020-2133845 NC Sanford 27330 Amount of Each Receipt this Period FEC ID number of contributing 83.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 742.89 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taher, Mahmoud, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 City Zip Code State Transaction ID: A2020-2134844 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Engrg Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 133.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taluc, Chris, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City Zip Code State Transaction ID: A2020-2134064 IL 61611 East Peoria Amount of Each Receipt this Period FEC ID number of contributing 161.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Portfolio Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taphorn, Matt, , , Date of Receipt Mailing Address 100 Tractor Drive **Building CV** 2020 City State Zip Code Transaction ID : A2020-2134033 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division CFO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1117.53 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thaker, Hrishikesh, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City State Zip Code Transaction ID: A2020-2133823 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 69.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Engineering Proj Team Leadr Receipt For: Aggregate Year-to-Date ▼ Primary General 609.06 Other (specify) 357.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Thomas, Heather, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133754 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.49 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Mktg Consultant Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 244.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Thompson, Clay, , , Date of Receipt Mailing Address 2120 West End Avenue 2020 P O Box 340001 City State Zip Code Transaction ID: A2020-2134399 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Vice President CFSC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tilly, Brad, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2133856 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 95.52 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 840.48 Other (specify) 564.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tilson, Jim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 State City Zip Code Transaction ID: A2020-2134851 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Technologist - Design Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tippey, Trent, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134006 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Commercial Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tobias, Mitch, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 Building CV Dock CV City State Zip Code Transaction ID : A2020-2134032 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 1070.74 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Toher, Susan, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2134005 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Product Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tolin, Fred, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City State Zip Code Transaction ID: A2020-2133903 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 40.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 353.46 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tomlins, Greg, , , Date of Receipt Mailing Address 100 Tractor Drive 30 2020 **Building CV** City State Zip Code Transaction ID: A2020-2134073 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 168.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-1** Receipt For: Aggregate Year-to-Date ▼ Primary General 1489.02 Other (specify) 308.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tomsovic, Brian, , , Date of Receipt Mailing Address 1720 W Kingsbury 2020 City Zip Code State Transaction ID: A2020-2133843 TX Seguin 78155 Amount of Each Receipt this Period FEC ID number of contributing C 79.86 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 708.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Towne, Ursula, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg L City State Zip Code Transaction ID : A2020-2133928 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 46.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Eng Project Team Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 413.67 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Townsend, Gary, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-2134913 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Group Manager 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 151.13 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Trent, Andrew, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133720 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tyre, Beth, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID : A2020-2134360 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 197.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resources Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 569.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Umpleby, Donald, , , III Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2134408 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Chief Executive Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) 643.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utley, Tana, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134411 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanek, Jeff, , , Date of Receipt Mailing Address 100 NE Adams 2020 Suite 902 City State Zip Code Transaction ID: A2020-2134433 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 143.02 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1258.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vannette, Mark, , , Date of Receipt Mailing Address 28194 Caterpillar Lane 30 2020 City Zip Code State Transaction ID: A2020-2134900 IL Washington 61571 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. WW Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 584.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Varela, Antonio,,, Date of Receipt Mailing Address 875 W Cushing Street 2020 City Zip Code State Transaction ID: A2020-2133930 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 47.07 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Demand Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 452.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Varghese, Asha, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2134361 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 197.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **President Cat Foundation** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1750.74 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Velasquez, Sheryl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg A City State Zip Code Transaction ID: A2020-2134894 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Strategic HR Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 269.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Venkataraghavan, Jay, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg L 2020 City State Zip Code Transaction ID: A2020-2133795 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 60.28 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Verheyen, Michael, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2134884 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager CTCT Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vick, Mary, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134859 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GP Chief of Staff-Communicator Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 110.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Volz, Carl, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133840 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Governmental Affairs Rep Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 661.74 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wallis, Michael, , , Date of Receipt Mailing Address 100 NE Adams 2020 Uozumi-Cho City State Zip Code Transaction ID: A2020-2134865 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. NPI Program Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Walters, Tom, , , Date of Receipt Mailing Address 600 S. Second Street 30 2020 Suite 101 City State Zip Code Transaction ID: A2020-2134384 IL Springfield 62704 Amount of Each Receipt this Period FEC ID number of contributing 240.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. US State & Americas GGCA Dir. Receipt For: Aggregate Year-to-Date ▼ Primary General 2055.00 Other (specify) 340.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Walton, Dave, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2134396 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Walton, Jay, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134400 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **GM Caterpillar Defense** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3749.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Warning, Kenny, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 PO Box 610 City State Zip Code Transaction ID: A2020-2133922 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 45.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Electronic Black Belt Receipt For: Aggregate Year-to-Date ▼ Primary General 405.57 Other (specify) 879.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Waters, Bill, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134054 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 154.55 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Business Support Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 1371.03 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Weaver, Travis, , , Date of Receipt Mailing Address 540 W. Madison Street 2020 Floor 3 City State Zip Code Transaction ID : A2020-2133762 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 53.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mgr Strategic Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 615.23 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weer, Noel, S, , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-2133827 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 74.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sales & Oprns Plng Coord 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 653.78 Other (specify) 282.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, Karl, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 2020 Bldg A City State Zip Code Transaction ID: A2020-2134422 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. West, Greg, , , Date of Receipt Mailing Address 27Th & Pershing Rd 09 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-2133882 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 36.73 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. EHS Professional I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 328.79 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Weston, Douglas, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133836 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Director of Procurement** Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 528.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name White, Christopher, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City Zip Code State Transaction ID: A2020-2133957 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Whiteley, Douglas, , , Date of Receipt Mailing Address 2416 Mid-America Industrial Dr 2020 City State Zip Code Transaction ID: A2020-2134345 MO Boonville 65233-2635 Amount of Each Receipt this Period FEC ID number of contributing 184.29 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Facility Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1636.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. White, Scott, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-2133886 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 38.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Facilities Engineer 3 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 341.76 Other (specify) 272.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wick, Jennifer, , , Date of Receipt Mailing Address 540 W. Madison Street Floor 3 2020 City State Zip Code Transaction ID: A2020-2133727 IL Chicago 60661 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Human Resources Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wiegardt, Marcy, , , Date of Receipt Mailing Address 501 SW Jefferson 2020 City State Zip Code Transaction ID: A2020-2133728 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Segment Talent Acquisition Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wiest, Valerie, , , Date of Receipt Mailing Address 14009 Old Galena Rd. 30 2020 Bldg F City State Zip Code Transaction ID: A2020-2133853 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 93.51 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager 4** Receipt For: Aggregate Year-to-Date ▼ Primary General 827.91 Other (specify) 153.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wiklund, Bob, , , Date of Receipt Mailing Address 2120 West End Avenue P O Box 340001 2020 City Zip Code State Transaction ID: A2020-2133967 TN Nashville 37203 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **CPF Managing Director** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilcoxen, Sam, , , Date of Receipt Mailing Address 27Th & Pershing Rd 2020 PO Box 1430 City State Zip Code Transaction ID: A2020-2133802 IL Decatur 62525 Amount of Each Receipt this Period FEC ID number of contributing 62.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Mfg Engineering Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 542.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Wilken, Larry, , , Date of Receipt Mailing Address 875 W Cushing Street 30 2020 City State Zip Code Transaction ID: A2020-2134903 ΑZ Tucson 85745 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Assistant General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 137.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkerson, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg A 2020 City State Zip Code Transaction ID: A2020-2134050 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 152.27 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 901.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williamson, Ted, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134440 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 71.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. CPS Black Belt-3 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 627.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilson, lan, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133949 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 273.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Winkel, Chris, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133753 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 52.41 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Compliance & Support Mgr Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 471.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Winkler, Michelle, , , Date of Receipt Mailing Address 100 Tractor Drive 2020 **Building CV** City State Zip Code Transaction ID: A2020-2133796 IL East Peoria 61630 Amount of Each Receipt this Period FEC ID number of contributing 60.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division HR Communication Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 529.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wisher, Jessica, , , Date of Receipt Mailing Address 3000 North 27th Street 30 2020 City State Zip Code Transaction ID: A2020-2134065 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 162.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Pending Receipt For: Aggregate Year-to-Date ▼ Primary General 499.16 Other (specify) 274.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 184 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Withers, Chad, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City Zip Code State Transaction ID: A2020-2134402 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Tax Officer Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3749.94 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wojda, Kelly, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID: A2020-2133724 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Dir Glbl Learning & Develop Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 10 2020 City State Zip Code Transaction ID: A2020-2093750 GΑ Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1473.62 Other (specify) 525.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 185 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 3570 Granite Way 2020 City Zip Code State Transaction ID: A2020-2139069 GA Martinez 30907-8974 Amount of Each Receipt this Period FEC ID number of contributing C 79.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1552.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wolfe, Tim, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro PO Box 610 2020 City State Zip Code Transaction ID: A2020-2133893 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wolf, William, , , Date of Receipt Mailing Address Illinois Route 29 at Old Galena Ro 30 2020 City State Zip Code Transaction ID: A2020-2133746 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Operations Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 169.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 186 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wood, Bruce, , , Date of Receipt Mailing Address 3000 North 27th Street 2020 City Zip Code State Transaction ID: A2020-2134429 IL Decatur 62526 Amount of Each Receipt this Period FEC ID number of contributing 33.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Service Info Developer 2 Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.27 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wu, Christina, , , Date of Receipt Mailing Address 1100 Milwaukee Ave PO Box 500 2020 City State Zip Code Transaction ID: A2020-2133929 WI South Milwaukee 53172-0500 Amount of Each Receipt this Period FEC ID number of contributing 46.89 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Sr Staff Acct I Cost / DS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 414.63 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wurtzbacher, Corey, , , Date of Receipt Mailing Address 100 Sanford Street 30 2020 City State Zip Code Transaction ID: A2020-2134425 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 706.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Product Manager** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2882.00 Other (specify) 786.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 187 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yerby, Gary, , , Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2134898 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-2** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Yergler, Daren, , , Date of Receipt Mailing Address 100 NE Adams 2020 City State Zip Code Transaction ID: A2020-2134451 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing 174.99 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Lead Human Resource Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1530.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Yoeu, Rammagy, , , Date of Receipt Mailing Address 100 NE Adams 30 2020 City State Zip Code Transaction ID: A2020-2133942 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 49.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Senior Engineer-Design Receipt For: Aggregate Year-to-Date ▼ Primary General 289.53 Other (specify) 249.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 188 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Yordy, Christine, , , Date of Receipt Mailing Address 500 N. Morton Ave. 2020 City Zip Code State Transaction ID: A2020-2133763 IL Morton 61550 Amount of Each Receipt this Period FEC ID number of contributing C 53.78 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Division Talent Developmnt Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 467.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. York, Derrick, , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 2020 Suite 4000 City State Zip Code Transaction ID : A2020-2134000 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. General Manager Marine Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Younessi, Ramin, , , Date of Receipt Mailing Address 510 Lake Cook Road 30 2020 City State Zip Code Transaction ID: A2020-2134421 IL Deerfield 60015 Amount of Each Receipt this Period FEC ID number of contributing 416.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group President** Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 3750.03 Other (specify) 520.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 189 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Young, Bridget, M, Date of Receipt Mailing Address 100 NE Adams 2020 City Zip Code State Transaction ID: A2020-2133712 IL Peoria 61629 Amount of Each Receipt this Period FEC ID number of contributing C 26.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. Office Sr. Communicator Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 234.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Young, Michael, , , Date of Receipt Mailing Address 510 Lake Cook Road 2020 City State Zip Code Transaction ID : A2020-2134016 Deerfield IL 60015 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Special Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Young, Timothy, , , Date of Receipt Mailing Address 100 Sanford Street 30 2020 City State Zip Code Transaction ID: A2020-2133871 IL East Peoria 61611 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Supply Mgr I Caterpillar Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 161.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

233 FOR LINE NUMBER: PAGE 190 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zameda, Sarah, E, , Date of Receipt Mailing Address 325 Route 31 South (Caterpillar Dr PO Box 348 2020 City Zip Code State Transaction ID: A2020-2133711 IL Aurora 60507 Amount of Each Receipt this Period FEC ID number of contributing 26.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Revenue Analyst III Receipt For: Aggregate Year-to-Date ▼ Primary General 234.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zdanowski, John, , , Date of Receipt Mailing Address 812 W Washington Street 2020 City State Zip Code Transaction ID: A2020-2133760 East Peoria IL 61611 Amount of Each Receipt this Period FEC ID number of contributing 53.45 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. IT Architect I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 474.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zemke, Wayne, , , Date of Receipt Mailing Address 10203 Sam Houston Park Drive 30 2020 Suite 4000 City State Zip Code Transaction ID: A2020-2133833 TX Houston 77064 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. Marketing Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 154.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

233 PAGE 191 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zircher, Jeff, , , Date of Receipt Mailing Address 14009 Old Galena Rd. Bldg E 2020 City State Zip Code Transaction ID: A2020-2133963 IL Mossville 61552 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Caterpillar Inc. **Engineering Manager-3** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... 56498.33

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 192 OF 233	3
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		
	Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b	
Any information copied from such Reports and Statem	nents may not be sold or us			_
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Polit	ical Action Committe	ee		
/ Full Name (Last, First, Middle Initial)				_
A. Ameripac: The Fund for A Greater	America		Date of Disbursement	
- The rand for A Greater	7 (1110110a		M M / D D / Y Y Y Y	
Mailing Address 700 13th Street NW #600			09 14 2020	
City	State Zip Code			_
Washington	DC 20005		FEC Identification Number	
Purpose of Disbursement Contribution			C C00271338	
Candidate Name		011	Transaction ID : B772575	
Candidate Name		Category/ Type	Amount of Each Disbursement this Period	
Office Sought: House Disbursen	nent For: 2020	Турс	5000.00	
Senate	Primary General		4 4	
	Other (specify) ▼		Memo Item	
State: District: Full Name (Last, First, Middle Initial)	Not Applicable	9		_
B. Andy Barr for Congress Inc.			Date of Disbursement	
Andy Barrior Congress me.			M M / D D / Y Y Y Y	
Mailing Address PO Box 2059			09 14 2020	
City	State Zip Code			
Lexington	KY 40588		FEC Identification Number	
Purpose of Disbursement			C C00467571	
Contribution		011	Transaction ID : B772558	
Candidate Name Barr, Andy, , ,		Category/ Type	Amount of Each Disbursement this Period	
	nent For: 2020	туре	2500.00	
	Primary General		7 7	
	Other (specify)		Memo Item	
State: KY District: 06				_
Full Name (Last, First, Middle Initial) C. Blumenauer for Congress			Date of Disbursement	
- Bluffleflader for Congress			M M / D D / Y Y Y	
Mailing Address 901 SE Oak Street Suite 105			09 14 2020	
City	State Zip Code			
Portland	OR 97214		FEC Identification Number	
Purpose of Disbursement Contribution			C C00307314	
Candidate Name		011	Transaction ID : B772567	
Blumenauer, Earl, , ,		Category/ Type	Amount of Each Disbursement this Period	
	ment For: 2020	.,,,,	2500.00	
Senate	Primary General		7-1-7-1-7-1-7-1-7-1-7-1-7-1-7-1-7-1-7-1	
	Other (specify) ▼		Memo Item	
State: OR District: 03				_
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TOTAL This Period (last page this line number only)			1	

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate sched for each category of	lule(s) (check d	NE NUMBER: PAGE 193 OF 233 only one)
	Detailed Summary F	Page28	1b 22 X 23 26 27 8a 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit			
Full Name (Last, First, Middle Initial) A. Cathy McMorris Rodgers for Congr	ress		Date of Disbursement
Mailing Address Box 137	ı		09 14 2020
City Spokane Purpose of Disbursement	State Zip Code WA 99210		FEC Identification Number
Contribution Candidate Name		011	C C00390476 Transaction ID: B772572 Amount of Fook Disbursement this Period
McMorris Rodgers, Cathy, , , Office Sought:	ment For: 2020 Primary	Category/ Type	Amount of Each Disbursement this Period 2500.00 Memo Item
State: WA District: 05 Full Name (Last, First, Middle Initial) B. CHERPAC			Date of Disbursement
Mailing Address PO Box 65322			09
Washington Purpose of Disbursement Contribution	State Zip Code DC 20035	011	FEC Identification Number C C00540906 Transaction ID: B772576
Senate	nent For: 2020 Primary Gen Other (specify) Not App		Amount of Each Disbursement this Period 2500.00 Memo Item
Full Name (Last, First, Middle Initial) C. Cooper for Congress Mailing Address PO Box 198497			Date of Disbursement M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Nashville	State Zip Code TN 37219	!	FEC Identification Number
Purpose of Disbursement Contribution Candidate Name Cooper, Jim, , ,		011 Category/ Type	C C00376665 Transaction ID : B772570 Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2020 Primary 🗶 Gen Other (specify) 🔻	eral	5000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) FOR LINE (check only	
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The Caterpillar Inc. Employee Polit	ical Action Commit	tee	
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. DeFazio for Congress		Date of Disbursement	
Mailing Address PO Box 1316			09 14 2020
Springfield	State Zip Code OR 97477		FEC Identification Number
Purpose of Disbursement Contribution		2//	C C00215905
Candidate Name		011	Transaction ID : B772568
DeFazio, Peter, A, ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2020	iyp c	5000.00
Senate	Primary General		
President State: OR District: 04	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Eddie Bernice Johnson for Congre	SS		Date of Disbursement
	- -		M = M / D = D / Y = Y = Y
Mailing Address 1825 Market Center Blvd Ste 605			09 14 2020
,	State Zip Code TX 75207		FEC Identification Number
Dallas Purpose of Disbursement	TX 75207		C C00254573
Contribution		011	Transaction ID : B772571
Candidate Name		Category/	Amount of Each Disbursement this Period
Johnson, Eddie, B, , Office Sought: House Disbursen	nent For: 2020	Туре	2000.00
	Primary 2020 Reneral		2000.00
	Other (specify)		Memo Item
State: TX District: 30			Memo Rem
Full Name (Last, First, Middle Initial)			Data of Dishuraamant
C. Elise for Congress			Date of Disbursement
Mailing Address PO Box 500			09 14 2020
City	State Zip Code		FEC Identification Number
Glens Falls	NY 12801		
Purpose of Disbursement Contribution		011	C C00547893
Candidate Name		Category/	Transaction ID: B772563 Amount of Each Disbursement this Period
Stefanik, Elise, , ,		Type	Amount of Each Dispursonient this Fellou
	nent For: 2020		1500.00
Senate President	Primary		
State: NY District: 21	outer (apoutly) ▼		Memo Item
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ITEMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only			07	
		ummary Page	21b 28a	22 x 28b	23 26 29 29	27 30b	
Any information copied from such Reports and Statem	l nents may no	nt he sold or use	d by any perso	n for the nu	rnose of solicit	ing contribution	ns
or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full)							
The Caterpillar Inc. Employee Polit	ical Actio	on Committe	e				
/ Full Name (Last, First, Middle Initial)							
A. Friends of Bennie Thompson				Date of D	isbursement		
- Theriag of Bernine Thempeen				M M	/ D D /	Y Y Y Y	1
Mailing Address P.O. Box 100				09	14	2020	
City	State	Zip Code					
	MS	39041		FEC Ident	tification Number	ər ————————————————————————————————————	
Purpose of Disbursement				C co	0279851		
Contribution			011		action ID : B77	72562	
Candidate Name Thompson Roppio C			Category/	Amount of	f Each Disburs	ement this Per	riod
Thompson, Bennie, G, , Office Sought: x House Disbursen	nent For: 20)20	Туре			2500.00	П.
	Primary	✗ General			7	- 40	
President	Other (specia	fy) ▼		Memo	Item		
State: MS District: 02				ш			
Full Name (Last, First, Middle Initial)				Doto of D	isbursement		
B. Friends of Jim Inhofe Committee				Date of D		Y Y Y Y	-
Mailing Address PO Box 13300				09	14	2020	
,	State OK	Zip Code 73113		FEC Ident	ification Number	ər	
Oklahoma City Purpose of Disbursement	OK	73113		C co	0207993		
Contribution			011		action ID : B77	72566	
Candidate Name			Category/		f Each Disburs		riod
Inhofe, James, M, , Office Sought: House Disbursen			Туре			5000.00	
	nent For: 20 Primary	020 🗶 General			7 7	5000.00	_
	Other (specif	•••		п.,			
State: OK District:				Memo) Item		
Full Name (Last, First, Middle Initial)							
^{C.} Garret Graves for Congress				Date of D	isbursement		
Mailing Address P.O. Box 64845				09	14	2020	1
Mailing / (da 1000 1 . O. Box 04040				00		2020	
,	State	Zip Code		FEC Ident	ification Number	er	
Baton Rouge Purpose of Disbursement	LA	70896			00550400		
Contribution			011		00558486	70550	
Candidate Name			Category/		saction ID : B7 f Each Disburs		riod
Graves, Garret, , ,			Type			1 1 1 1 1 1	—
	nent For: 20				7 7	2000.00	
	Primary Other (specif	General					
State: LA District: 06	2.1.01 (OPOOI	.1/ ▼		Memo	Item		
							=
SUBTOTAL of Disbursements This Page (optional)			·····		7	9500.00	
TOTAL This Period (last page this line number only)							\Box
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orlook orliy	v one)
	Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	•		
/ Full Name (Last, First, Middle Initial)		T	
A. Gibbs for Congress		Date of Disbursement	
Mailing Address PO Box 21			09 14 2020
Lakeville	State Zip Code OH 44638		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00466516 Transaction ID : B772565
Candidate Name Gibbs, Bob, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020 Primary 🗶 General	- , , , ,	1000.00
President State: OH District: 07	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Graves for Congress			Date of Disbursement
Mailing Address 2345 Grand Suite 2400			09 14 2020
*	State Zip Code MO 64108		FEC Identification Number
Purpose of Disbursement Contribution	0.100	011	C C00359034
Candidate Name		Category/	Transaction ID: B772560 Amount of Each Disbursement this Period
Graves, Sam, , , Office Sought: House Disbursem	aent For: 0000	Type	5000.00
	nent For: 2020 Primary 🗶 General		5000.00
State: MO District: 06	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Greg Pence for Congress			Date of Disbursement
Mailing Address PO Box 275			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code		FEC Identification Number
Taylorsville Purpose of Disbursement	IN 47280		C C00658401
Contribution Candidate Name		011	Transaction ID : B772556
Pence, Greg, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020 Primary General Other (specify)		5000.00
State: IN District: 06	(opoony) V		Memo Item
SUBTOTAL of Disbursements This Page (optional)		·····	11000.00
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ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 x 23 26 27
	Detailed Summary Page	28a	28b 28c 29 30b
Any information copied from such Reports and Staten	nents may not be sold or us	sed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	tical Action Committ	ee	
Full Name (Last, First, Middle Initial)			
A. Jerry Carl for Congress			Date of Disbursement
Mailing Address DO Doy 952429			09 14 2020
Mailing Address PO Box 852138			09 14 2020
,	State Zip Code		FEC Identification Number
Mobile Purpose of Disbursement	AL 36685		0.00007700
Contribution		011	C C00697789
Candidate Name		Category/	Transaction ID: B772553 Amount of Each Disbursement this Period
Carl, Jerry, , ,		Type	2500.00
Office Sought: House Disburser Senate	ment For: 2020 Primary x General		2300.00
President	Other (specify) ▼		Memo Item
State: AL District: 01			I WELLO RELL
Full Name (Last, First, Middle Initial)			Data of Bishamanana
B. Kind for Congress Committee			Date of Disbursement
Mailing Address 205 5th Avenue S Room 428			09 14 2020
City La Crosse	State Zip Code WI 54601		FEC Identification Number
Purpose of Disbursement	-		C C00312017
Contribution		011	Transaction ID : B772573
Candidate Name Kind, Ron, J, ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2020	Турс	2500.00
	Primary x General		
State: WI District: 03	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Kinzinger for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 2365			09 14 2020
City	State Zip Code		FEC Identification Number
Ottawa Purpose of Disbursement	IL 61350		0.000450077
Contribution		011	C C00458877
Candidate Name		Category/	Transaction ID: B772555 Amount of Each Disbursement this Period
Kinzinger, Adam, , ,		Туре	2500.00
Office Sought: House Disburser Senate	ment For: 2020 Primary		2300.00
President	Other (specify) ▼		Memo Item
State: IL District: 16			I memo nem
SUBTOTAL of Disbursements This Page (optional)			7500.00
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SCHEDULE B (FEC Form 3X)	lla	anaka aak - dud-()	FOR LINE NUMBER: PAGE 198 OF 2			
ITEMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only		₩ 00 □	□ oc □ oz
		Summary Page	21b 28a	22 28b	23 28c	26 27 29 30b
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or for commercial purposes, other than using the nar						
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee Poli	tical Acti	ion Committ	ee			
Full Name (Last, First, Middle Initial) A. Liz Cheney for Wyoming				Date of	Disburseme	ent
Liz Cheriey for wyorning				M M	/ D D	/ Y Y Y Y
Mailing Address PO Box 697				09	14	2020
	_	T				
City Casper	State WY	Zip Code 82602		FEC Ide	entification N	Number
Purpose of Disbursement	***	02002		C	C00607556	
Contribution			011			. P772574
Candidate Name			Category/		nsaction ID of Each Di	sbursement this Period
Cheney, Elizabeth, , ,			Туре			2000.00
Office Sought: X House Disburse	ment For:				7	3000.00
Senate President	Primary Other (spe			п		
State: WY District:	J (OPO	<i>y</i> / •		Mer Mer	no Item	
Full Name (Last, First, Middle Initial)						
B. Perdue for Senate				Date of	Disburseme	ent
M 28 A 11				M = M	/ D D	/
Mailing Address PO Box 12077				09	14	2020
,	State	Zip Code		FEC Ide	entification N	Number
Atlanta Purpose of Disbursement	GA	30355			2005 47570	
Contribution			011		C00547570	DETOSE
Candidate Name			Category/		nsaction ID of Each Di	: B772554 sbursement this Period
Perdue, David, , ,			Type			
\vdash \circ \cdot \mid \neg	ment For:				7	5000.00
Senate President	Primary Other (spe	✓ General				
State: GA District:	Other (Spe	City)		Mer	mo Item	
Full Name (Last, First, Middle Initial)						
C. Project West Political Action Comr	nittee			Date of	Disburseme	ent
M 28 A 11				M M	/ D D	/
Mailing Address 9227 East Lincoln Avenue #200-43	35			09	14	2020
City	State	Zip Code		FFC Ide	entification N	Jumber
Lone Tree	СО	80124			minication I	TOTAL CONTRACTOR OF THE PARTY O
Purpose of Disbursement Contribution			011	C	C00525543	
Candidate Name					nsaction ID	
			Category/ Type	Amount	of Each Di	sbursement this Period
Office Sought: House Disburse	ment For:	2020				2500.00
Senate	Primary	General			,	
President X	Other (spe		•	Mer	mo Item	
State: District:		Not Applicable	e			
SUBTOTAL of Disbursements This Page (optional)						10500.00
OSSIGNATION DISSURSCITION TO THE TAGE (OPHONIAI)			·····		7	7 7
TOTAL This Period (last page this line number only)					. ,

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS		rate schedule(s)	FOR LINE I		
		category of the Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi					
Full Name (Last, First, Middle Initial) A. Richard E Neal for Congress Comn	nittee			Date of Disbursement	
Mailing Address 76 Magnolia Terrace	Mailing Address 76 Magnolia Terrace				
Springfield	State MA	Zip Code 01108		FEC Identification Number	
Purpose of Disbursement Contribution Candidate Name			011	C00226522 Transaction ID : B772561	
Neal, Richard, E, ,	nent For: 2	020	Category/ Type	Amount of Each Disbursement this Period 5000.00	
Senate President	Primary Other (spec	✗ General		Memo Item	
State: MA District: 01 Full Name (Last, First, Middle Initial)				П	
B. Ron Estes For Congress				Date of Disbursement	
Mailing Address PO Box 782952				09 14 2020	
Wichita	State KS	Zip Code 67278		FEC Identification Number	
Purpose of Disbursement Contribution			011	C C00632067 Transaction ID : B772557	
Candidate Name Estes, Ron, , ,			Category/ Type	Amount of Each Disbursement this Period	
Senate President	nent For: 2 Primary Other (spec	✗ General		2500.00 Memo Item	
State: KS District: 04 Full Name (Last, First, Middle Initial)					
C. Together Holding Our Majority (TH	OMPAC)		Date of Disbursement	
Mailing Address P.O. Box 97275		T- 0 :		09 14 2020	
,	State NC	Zip Code 27624		FEC Identification Number	
Contribution Candidate Name			011 Category/ Type	C C00571323 Transaction ID : B772578 Amount of Each Disbursement this Period	
	nent For: 2	020 General	.,,,,	2500.00	
State: President District:	Other (spec	ify) ▼ Not Applicable		Memo Item	
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).				10000.00	

SCHEDULE B (FEC Form 3X)	Use separate sch	hedule(s)	FOR LINE N		PAGE 200 OF 233
ITEMIZED DISBURSEMENTS	for each category	y of the	(check only 21b	one) 22 X 23	26 27
	Detailed Summar	ry Page	28a	28b 28c	
Any information copied from such Reports and Statem					
or for commercial purposes, other than using the name	ne and address of a	any political	committee to	solicit contribution	is from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Co	ommittee)		
Full Name (Last, First, Middle Initial)				D . (D: 1	
A. Tom Rice for Congress				Date of Disburs	
Mailing Address PO Box 70098					14 2020
,	State Zip Co			FEC Identification	on Number
Myrtle Beach Purpose of Disbursement	SC 2957	2		C C005060	140
Contribution			011		n ID : B772569
Candidate Name			Category/		n Disbursement this Period
Rice, Tom, , , Office Sought: House Disbursem	nent For: 2020		Туре		2500.00
		General			200.00
	Other (specify) ▼			Memo Item	
Full Name (Last, First, Middle Initial)					
B. Wenstrup for Congress				Date of Disburs	ement
Mailing Address PO Box 9551				09	14 2020
,	State Zip Co OH 4520			FEC Identification	on Number
Cincinnati Purpose of Disbursement	OH 4520) 9		C C004978	318
Contribution			011		1 ID : B772564
Candidate Name			Category/		Disbursement this Period
Wenstrup, Brad, , , Office Sought: Mouse Disbursem	nent For: 2020		Туре		2500.00
		General		45	45 45
	Other (specify)			Memo Item	
State: OH District: 02					
Full Name (Last, First, Middle Initial) C. Ann Wagner for Congress				Date of Disburs	ement
				M = M / D	D / Y Y Y Y Y
Mailing Address P.O. Box 50				09	28 2020
City	State Zip Co	ode		FEC Identification	on Number
	MO 6302	22			on Number
Purpose of Disbursement Contribution		lΓ	011	C C00495	
Candidate Name			Category/		n ID: B775862 Disbursement this Period
Wagner, Ann, , ,			Type	Timodilit or East	
	nent For: 2020	Sanaral			2000.00
	Primary	General		D w. "	
State: MO District: 02				Memo Item	
SUBTOTAL of Disbursements This Page (optional)				1 2	7000.00
TOTAL This David day are and the first			<u> </u>		
TOTAL This Period (last page this line number only).				The second	

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
TEMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 🗶 23 🗍 26 📄 27
	Detailed Summary Page	28a	28b 28c 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us	sed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name	ne and address of any politi	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Commit	iee	
Full Name (Last, First, Middle Initial)			
A. Armstrong for Congress			Date of Disbursement
Mailing Address 1515 Burnt Boat Dr Box 112			09 28 2020
Walling Address 1010 Ballic Boat Bi Box 112			<u> </u>
,	State Zip Code 58503		FEC Identification Number
Bismarck Purpose of Disbursement	ND 58503		C 000670547
Contribution		011	C C00670547 Transaction ID : B775858
Candidate Name		Category/	Amount of Each Disbursement this Period
Armstrong, Kelly, , ,	_	Type	2000.00
	nent For: 2020 Primary x General		2000.00
	Primary x General Other (specify) ▼		П., .
State: ND District: 00	(-		Memo Item
Full Name (Last, First, Middle Initial)			
B. Big Sky Opportunity PAC			Date of Disbursement
Mailing Address PO BOX 1618			09 28 2020
Mailing Address PO BOX 1616			20 2020
,	State Zip Code		FEC Identification Number
Helena Purpose of Disbursement	MT 59624		C C00542027
Contribution		011	Transaction ID : B775869
Candidate Name		Category/	Amount of Each Disbursement this Period
Office County		Туре	2500.00
	nent For: 2020 Primary General		2500.00
	Other (specify)		П.,
State: District:	Not Applicab	le	Memo Item
Full Name (Last, First, Middle Initial)			
^{C.} Cindy Hyde-Smith for US Senate			Date of Disbursement
Mailing Address P.O. Box 2930			09 28 2020
City Jackson	State Zip Code MS 39207		FEC Identification Number
Purpose of Disbursement	33207		C C00675348
Contribution		011	Transaction ID : B775866
Candidate Name		Category/	Amount of Each Disbursement this Period
Hyde-Smith, Cindy, , , Office Sought: House Disburser	nent For: 2020	Туре	5000.00
	Primary General		4 4
	Other (specify) ▼		Memo Item
State: MS District:			Mono Rom
CURTOTAL of Distance and This Book in the			9500.00
SUBTOTAL of Disbursements This Page (optional)		·····•	333.55
TOTAL This Period (last page this line number only).			1

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate for each cate		FOR LINE N	one)	PAGE 202 OF 233
	Detailed Sum	mary Page	21b 28a	22 x 28b	28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					2 2 22
The Caterpillar Inc. Employee Politi	ical Action	Committe	e 		
Full Name (Last, First, Middle Initial)				Date of Di	sbursement
Cole for Congress				M M /	D D / Y I Y I Y I Y
Mailing Address P.O. Box 722256				09	28 2020
,		Code 3070		FEC Identi	fication Number
Purpose of Disbursement Contribution	l I		011		0379735
Candidate Name			Category/		Each Disbursement this Period
Cole, Tom, J, , Office Sought: X House Disbursem	ont For: 0000		Type		2000.00
	nent For: 2020 Primary	General			2000.00
President State: OK District: 04	Other (specify)	▼		Memo	Item
Full Name (Last, First, Middle Initial)					
B. Dirigo PAC					sbursement
Mailing Address PO Box 1355				09	28 2020
,	·	Code 2313		FEC Identi	fication Number
Purpose of Disbursement	VA 2.	2313		C C00	0391797
Contribution Candidate Name			O11	Transa	action ID : B775870
			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2020 Primary	General			2500.00
President x	Other (specify)			Memo	Item
State: District: Full Name (Last, First, Middle Initial)	<u></u>	Not Applicable			
Getting Stuff Done PAC				Date of Di	sbursement
Mailing Address PO Box 7586				09	28 / 2020
,		Code		FEC Identi	fication Number
Purpose of Disbursement	AZ 8	5011		C co	0571182
Contribution Candidate Name			011	Trans	action ID : B775867
			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2020 Primary	General			2500.00
President x	Other (specify)	▼		Memo	Item
State: District:	N	Not Applicable		_	
SUBTOTAL of Disbursements This Page (optional)					7000.00
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Stater or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit			
Full Name (Last, First, Middle Initial)			D ((2))
A. Hudson for Congress			Date of Disbursement
Mailing Address P.O. Box 5053	09 28 2020		
Concord	State Zip Code NC 28027		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00504522 Transaction ID: B775863
Candidate Name Hudson Richard		Category/	Amount of Each Disbursement this Period
Hudson, Richard, , , Office Sought: House Disburser	ment For: 2020 Primary	Туре	3000.00
President State: NC District: 08	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial) B. Jobs Opportunity and New Ideas F	PAC		Date of Disbursement
Mailing Address PO Box 93441			09 28 7 2020
Des Moines	State Zip Code 50393		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00566851 Transaction ID: B775868
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disburser Senate	ment For: 2020 Primary General		2500.00
State: President x	Other (specify) Not Applicable	le	Memo Item
Full Name (Last, First, Middle Initial) C. Kansans for Marshall			Date of Disbursement
Mailing Address PO Box 1588			09 / 28 / 2020
Great Bend	State Zip Code KS 67530		FEC Identification Number
Purpose of Disbursement Contribution	,	011	C C00576173 Transaction ID : B775865
Candidate Name Marshall, Roger, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Senate President Disburser	ment For: 2020 Primary General Other (specify)		5000.00 Memo Item
State: KS District:			INTERIO ILEITI
SUBTOTAL of Disbursements This Page (optional)		>	10500.00
TOTAL This Period (last page this line number only))		1

SCHEDULE B (FEC Form 3X)	Use separate schedule(s		R LINE NUMBER: PAGE 204 OF 233	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(official offiny	7 one) 22 X 23 26 27 28b 28c 29 30b	
Any information copied from such Reports and Staten or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Polit	tical Action Commi	ttee		
Full Name (Last, First, Middle Initial)			Data of Dishursoment	
Moore for Congress Mailing Address PO Box 16646			Date of Disbursement 09 28 2020	
City Milwaukee	State Zip Code 53216		FEC Identification Number	
Purpose of Disbursement	33210		C C00397505	
Contribution		011		
Candidate Name		Category/	Transaction ID: B775861 Amount of Each Disbursement this Period	
Moore, Gwendolynne, , ,		Type		
Senate	ment For: 2020 Primary		5000.00	
State: WI District: 04	Other (specify) ▼		Memo Item	
Full Name (Last, First, Middle Initial)				
B. National Association of Manufactur	rers PAC (NAM-PA	(C)	Date of Disbursement	
Mailing Address 733 10th Street NW Suite 700			09 28 2020	
,	State Zip Code 20001		FEC Identification Number	
Washington Purpose of Disbursement	DC 20001		C C00541169	
Contribution 011				
Candidate Name		Category/ Type	Transaction ID: B775871 Amount of Each Disbursement this Period	
Senate	ment For: 2020 Primary General		5000.00	
State: District:	Other (specify) Not Applicat	ole	Memo Item	
Full Name (Last, First, Middle Initial) C. Van Taylor Campaign			Date of Disbursement	
Mailing Address 1900 Preston Rd #267-PMB229			09 28 2020	
City	State Zip Code		FEC Identification Number	
Plano	TX 75093			
Purpose of Disbursement Contribution Condidate Name		011	C C00653634 Transaction ID : B775864	
Candidate Name Taylor, Van, , ,		Category/	Amount of Each Disbursement this Period	
	ment For: 2020 Primary x General	Туре	2000.00	
State: TX District: 03	Other (specify) ▼		Memo Item	
SUBTOTAL of Disbursements This Page (optional)			12000.00	
TOTAL This Period (last page this line number only)				

ITEMIZED DISBURSEMENTS	Use separate schedule(state for each category of the Detailed Summary Page	(check only			
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ne and address of any poli	tical committee to			
Full Name (Last, First, Middle Initial) A. Richmond for Congress Mailing Address 909 Poydras Street Suite 1825			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
New Orleans Purpose of Disbursement Contribution Candidate Name Richmond, Cedric, , , Office Sought: House Disbursem Senate X	State Zip Code 70112 nent For: 2020 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C C00451336 Transaction ID: B763533 Amount of Each Disbursement this Period - 3000.00 Voided: Original check dated Memo Item 06/08/20		
Full Name (Last, First, Middle Initial) B. Mailing Address			Date of Disbursement		
Purpose of Disbursement Candidate Name Office Sought: House Disbursem Senate	nent For: Primary General Other (specify)	Category/ Type	FEC Identification Number C Amount of Each Disbursement this Period Memo Item		
Full Name (Last, First, Middle Initial) C. Mailing Address			Date of Disbursement		
City S Purpose of Disbursement Candidate Name	State Zip Code	Category/ Type	FEC Identification Number C Amount of Each Disbursement this Period		
	nent For: Primary General Other (specify) ▼		Memo Item		
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).		<u>_</u>	- 3000.00 120000.00		

SCHEDULE B (FEC Form 3X)		FOR LINE	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)
	Detailed Summary Page	21b	22 23 26 27
	1	28a	28b 28c x 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the nar			
\	mo and address of any politic	our committee to	conort continuations from such confinitee.
NAME OF COMMITTEE (In Full) The Caternillar Inc. Employee Poli	tical Action Committee	00	
The Caterpillar Inc. Employee Poli	ucai Action Commit		
Full Name (Last, First, Middle Initial)			
A. Matthew Shepherd for State Representation	esentative		Date of Disbursement
Mailing Address P.O. Box 12004			09 28 2020
City	State Zip Code		FEC Identification Number
El Dorado	AR 71730		
Purpose of Disbursement G-2020 State House 6 AR		011	C Transaction ID : B775972
Candidate Name		Category/	Amount of Each Disbursement this Period
Shepherd, Matthew, , ,		Туре	2500.00
- <u>-</u>	ment For: 2020		2500.00
Senate President	Primary x General Other (specify) ▼		Mama Itam
State: AR District: 06	· · · · · · · · · · · · · · · · · · ·		Memo Item
Full Name (Last, First, Middle Initial)			
B. Russell W "Rusty" Bowers for Stat	te Representative - D	District 25	Date of Disbursement
	•		M M / D D / Y Y Y Y
Mailing Address 8831 East Quill St			09 14 2020
	State Zip Code		FEC Identification Number
Mesa Purpose of Disbursement	AZ 85207		
O-2020 State House 25 AZ	C		
Candidate Name		011 Category/	Transaction ID: B772547 Amount of Each Disbursement this Period
Bowers, Russell, W, ,		Type	Amount of Each Disbursement this Feriod
	ment For: 2020		1000.00
Senate	Primary General		
President	Other (specify)		Memo Item
State: AZ District: 25	Election Cycle	9	
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Regina E. Cobb 2020			
Mailing Address PO Box 3967			09 14 2020
City	State Zip Code		
Kingman	AZ 86402		FEC Identification Number
Purpose of Disbursement	<u> </u>		C
O-2020 State House 05 AZ		011	Transaction ID : B772543
Candidate Name		Category/	Amount of Each Disbursement this Period
Cobb, Regina, E, ,		Туре	500.00
	ment For: 2020		500.00
Senate President	Primary General Other (specify) ▼		
State: AZ District: 05	Election Cycle	<i>,</i>	Memo Item
2.000	Licotion Cycle	,	
SUBTOTAL of Disbursements This Page (optional).		······•	4000.00
TOTAL This Paried (last page this line number only	A)		
TOTAL This Period (last page this line number only	J		

SCHEDULE B (FEC Form 3X)	Llee concrete cohodule(a)	FOR LINE	•
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	Lents may not be sold or use	ed by any perso	
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Elect Mark Finchem			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 63944			09 14 2020
City	State Zip Code		
Oro Valley	AZ 85737		FEC Identification Number
Purpose of Disbursement O-2020 State House 11 AZ		1	
Candidate Name		011	Transaction ID : B772546
Finchem, Mark, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	.,,,,,	500.00
Senate	Primary General		
	Other (specify) ▼ Election Cycle		Memo Item
State: AZ District: 11 Full Name (Last, First, Middle Initial)	Election Cycle	;	_
B. Dr Friese for House 2020			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 64925			09 14 2020
City	State Zip Code		
Tuscon	AZ 85728		FEC Identification Number
Purpose of Disbursement O-2020 State House 9 AZ	044		
Candidate Name		011	Transaction ID : B772545
Friese, Randall, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	1000.00	
	Primary General		
State: AZ District: 09	Other (specify) Election Cycle	<u>,</u>	Memo Item
Full Name (Last, First, Middle Initial)	Licotion Cycle	,	
C. Gowan for Senate			Date of Disbursement
			M M / D D / Y M Y M Y
Mailing Address PO Box 1985			09 14 2020
City	State Zip Code		FEC Identification Number
Sierra Vista	AZ 85636		
Purpose of Disbursement O-2020 State Senate 14 AZ		011	C
Candidate Name			Transaction ID: B772540 Amount of Each Disbursement this Period
Gowan, Dave, , ,		Category/ Type	Amount of Lacif Disbursement this Period
Office Sought: House Disbursen	nent For: 2020		1000.00
	Primary General		_
State: AZ District: 14	Other (specify) ▼ Election Cycle	,	Memo Item
7	Ziodion Sydio		
SUBTOTAL of Disbursements This Page (optional)			2500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE I	TVOIVIBLIT.	08 OF 23
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 23 26 2	27 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
The Caterpillar Inc. Employee Politi	ical Action Committee	e		
Full Name (Last, First, Middle Initial)			Data of Disharas	
A. Vote Rick Gray Senate Mailing Address 9521 W Cedar Hill Cir			Date of Disbursement M M M / D D / Y Y Y 09 14 202	
waning Address 5021 W Cedal Fill Cil			202	· ·
Sun City	State Zip Code AZ 85351		FEC Identification Number	
Purpose of Disbursement O-2020 State Senate 21 AZ		011	C Transaction ID : B772541	
Candidate Name		Category/	Amount of Each Disbursement t	this Period
	nent For: 2020	Туре	10	00.00
President	Primary ☐ General Other (specify) ▼		Memo Item	
State: AZ District: 21	Election Cycle		_	
Full Name (Last, First, Middle Initial) B. Vote Livingston LD22 Senate 2020			Date of Disbursement	Υ
Mailing Address 9559 W Menadota Dr			09 14 202	
Peoria	State Zip Code AZ 85382		FEC Identification Number	
Purpose of Disbursement O-2020 State Senate 22 AZ		011	C Transaction ID : B772542	
Candidate Name Livingston, David, , ,		Category/ Type	Amount of Each Disbursement t	this Period
	nent For: 2020	1,400	10	00.00
	Primary General Other (specify)		Memo Item	
State: AZ District: 22	Election Cycle		LI Monio Rom	
Full Name (Last, First, Middle Initial) C. Otondo for Senate			Date of Disbursement	
Mailing Address 2350 S Avenue B Apt 203			09 14 202	
	State Zip Code		FEC Identification Number	
Yuma Purpose of Disbursement	AZ 85364			
O-2020 State Senate 4 AZ Candidate Name	[011	Transaction ID : B772538	Maia Desie I
Otondo, Lisa, A, ,		Category/ Type	Amount of Each Disbursement t	ınıs Period
Office Sought: House Disburser	nent For: 2020 Primary General		1(00.00
State: AZ District: 04	Other (specify) ▼ Election Cycle		Memo Item	
SUPTOTAL of Dishursoments This Dans (anti-only)			3	000.00
SUBTOTAL of Disbursements This Page (optional)		·····	7	330.00
TOTAL This Period (last page this line number only).				

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	one) 22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name	nents may not be sold or used ne and address of any politica	d by any person committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committe	е	
Full Name (Last, First, Middle Initial)			
Pratt for Arizona House 2020			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mailing Address PO Box 10526			09 14 2020
City Casa Grande	State Zip Code AZ 85130		FEC Identification Number
Purpose of Disbursement	7.2 05150		C
O-2020 State House 08 AZ		011	
Candidate Name		Category/	Transaction ID: B772544 Amount of Each Disbursement this Period
Pratt, Frank, , ,		Type	
Office Sought: House Disburser Senate	nent For: 2020 Primary General		1000.00
President State: AZ District: 08	Other (specify) ▼ Election Cycle		Memo Item
Full Name (Last, First, Middle Initial)	Licotion Cycle		
3. Shope for Senate			Date of Disbursement
Mailing Address PO Box 1230			09 14 2020
City Coolidge	State Zip Code AZ 85128		FEC Identification Number
Purpose of Disbursement O-2020 State Senate 08 AZ		011	C
Candidate Name		Category/	Transaction ID: B772539 Amount of Each Disbursement this Period
Shope, Thomas, , ,		Type	Amount of Eddin Bibbardoment time Feriod
x Senate	nent For: 2020 Primary General		1000.00
State: AZ District: 08	Other (specify) Election Cycle		Memo Item
Full Name (Last, First, Middle Initial) - Boyer for Senate			Date of Disbursement
Mailing Address PO Box 87197			09 28 7 2020
City	State Zip Code		CCO Identification Number
Phoenix	AZ 85080		FEC Identification Number
Purpose of Disbursement O-2020 State Senate 20 AZ		011	C Transaction ID : B775993
Candidate Name		Category/	Amount of Each Disbursement this Period
Boyer, Paul, , ,		Туре	
	nent For: 2020		1000.00
X Senate President	Primary General Other (specify) ▼		
State: AZ District: 20	Election Cycle		Memo Item
-	· · ·	I	2000.00
SUBTOTAL of Disbursements This Page (optional)		······	3000.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 210 OF 233
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	conto may not be cold or use		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
$\Big angle$ The Caterpillar Inc. Employee Polit	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			
A. Kate Brophy McGee AZ - Senate			Date of Disbursement
Mailing Address 42 East Butler Dr			09 28 2020
City	State Zip Code		FFO Identification Number
Phoenix	AZ 85020		FEC Identification Number
Purpose of Disbursement O-2020 State Senate 28 AZ		044	
Candidate Name		011	Transaction ID : B775994
Brophy McGee, Kate, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	.,,,,	1000.00
x Senate	Primary General		
	Other (specify) ▼		Memo Item
State: AZ District: 28	Election Cycle		
Full Name (Last, First, Middle Initial) B. Contreras for Senate			Date of Disbursement
- Contretas for Certate			M M / D D / Y Y Y Y
Mailing Address 12204 W Mohave St			09 28 2020
,	State Zip Code		FEC Identification Number
Avondale Purpose of Disbursement	AZ 85323		
O-2020 State Senate 19 AZ	011	C	
Candidate Name		Category/	Transaction ID: B775992 Amount of Each Disbursement this Period
Contreras, Lupe, , ,		Туре	
	nent For: 2020		1000.00
	Primary General Other (specify)		
State: AZ District: 19	Election Cycle		Memo Item
Full Name (Last, First, Middle Initial)	· ·		
C. Joel John for House LD4			Date of Disbursement
M ''' A L L			M M / D D / Y Y Y Y
Mailing Address 25583 W Burgess Ln			09 28 2020
City	State Zip Code		FEC Identification Number
Buckeye	AZ 85326		
Purpose of Disbursement O-2020 State House 04 AZ		011	C
Candidate Name			Transaction ID: B776003
John, Joel, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020		500.00
	Primary General		
	Other (specify) ▼		Memo Item
State: AZ District: 04	Election Cycle		
SUBTOTAL of Disbursements This Page (optional)			2500.00
TOTAL This Desired (feet and feet and f			
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 211 OF 233
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Vote Mesnard			Date of Disbursement
- Voto Modriara			M M / D D / Y Y Y Y
Mailing Address 1427 W Homestead Ct			09 28 2020
City	State Zip Code		
Chandler	AZ 85286		FEC Identification Number
Purpose of Disbursement O-2020 State Senate 17 AZ			C
Candidate Name		011	Transaction ID : B775991
Mesnard, J.D., , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	Турс	1000.00
x Senate	Primary General		
	Other (specify) ▼		Memo Item
State: AZ District: 17 Full Name (Last, First, Middle Initial)	Election Cycle)	
B. Cecilia Aguiar-Curry for Assembly			Date of Disbursement
Occilia Agaiai Garry for Assertiory			M M / D D / Y Y Y Y
Mailing Address 510 Railroad Avenue PO Box 1173	09 01 2020		
City	State Zin Code		
City Winters	State Zip Code CA 95694		FEC Identification Number
Purpose of Disbursement		С	
G-2020 State House 4 CA	011	Transaction ID : B771069	
Candidate Name Aguiar-Curry, Cecilia, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	туре	2000.00
	Primary General		
	Other (specify)		Memo Item
State: CA District: 04			
Full Name (Last, First, Middle Initial) C. Pat Bates for Senate			Date of Disbursement
- Fat bates for Seriate			M M / D D / Y Y Y Y
Mailing Address 40335 Winchester Road #E-165			09 01 2020
City	State Zip Code		
Temecula	CA 92591		FEC Identification Number
Purpose of Disbursement			C
P-2022 State Senate 36 CA		011	Transaction ID : B771084
Candidate Name Bates, Pat, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2022	1 9 0 0	1500.00
x Senate x	Primary General		
	Other (specify) ▼		Memo Item
State: CA District: 36			
SUBTOTAL of Disbursements This Page (optional)			4500.00
COSTOTAL OF BIODUISCINICING THIS I age (optional)			
TOTAL This Period (last page this line number only)			1

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 212 OF 233
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	anto may not be cold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Friends of Frank Bigelow for Assen	nhly		Date of Disbursement
- Horido di Frank Bigolow foi 7 todon			M M / D D / Y Y Y Y
Mailing Address 47233 Road 200			09 01 2020
City	State Zip Code		
-	CA 93645		FEC Identification Number
Purpose of Disbursement G-2020 State House 05 CA			C
Candidate Name		011	Transaction ID : B771070
Bigelow, Frank, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	Турс	2000.00
Senate	Primary General		
	Other (specify) ▼		Memo Item
State: CA District: 05 Full Name (Last, First, Middle Initial)			
B. Heath Flora for Assembly 2020			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 9460 Tegner Road			09 01 2020
City	State Zip Code		CC Identification Number
1	CA 95324		FEC Identification Number
Purpose of Disbursement G-2020 State House 12 CA	C		
Candidate Name	011	Transaction ID : B771072	
Flora, Heath, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020		1500.00
State: CA District: 12	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Vince Fong for Assembly			Date of Disbursement
Matter Address 1999 0 0			M M / D D / Y Y Y Y
Mailing Address 1022 G Street			09 01 2020
City	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2020 State House 34 CA		011	C
Candidate Name		Category/	Transaction ID : B771075 Amount of Each Disbursement this Period
Fong, Vince, , ,		Туре	
	nent For: 2020 Primary 🗶 General		2000.00
	Primary		Manua hans
State: CA District: 34			Memo Item
			5500.00
SUBTOTAL of Disbursements This Page (optional)		·····•	5500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	llaa aana		FOR LINE	NUMBER:	PAGE 213 OF 233
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only		
		Summary Page	21b 28a	22 28b	23 26 27 28c x 29 30b
Any information copied from such Reports and Statem	l nents may r	not he sold or use			, , , , , , , , , , , , , , , , , , ,
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Polit	ical Acti	on Committe	ee		
Full Name (Last, First, Middle Initial)					
4. Jim Frazier for Assembly 2020				Date of Di	sbursement
					D D / Y Y Y Y
Mailing Address 2220-B Douglas Blvd. Suite 140				09	01 2020
City	State	Zip Code			
Roseville	CA	95661		FEC Identi	ification Number
Purpose of Disbursement G-2020 State House 11 CA				C	
Candidate Name			011	Transa	action ID : B771071
Frazier, Jim, , ,			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2	2020	Турс		1500.00
Senate	Primary	✗ General			7
	Other (spec	cify) 🔻		Memo	Item
State: CA District: 11 Full Name (Last, First, Middle Initial)					
3. Jesse Gabriel for Assembly 2020				Date of Di	sbursement
				M = M /	D D / Y Y Y Y Y
Mailing Address 16161 Ventura Blvd./Suite 220				09	01 2020
City	Stata	Zin Codo			
City S Encino	State CA	Zip Code 91436		FEC Identi	ification Number
Purpose of Disbursement				С	
G-2020 State House 45 CA 011					action ID : B771076
Candidate Name Gabriel, Jesse, , ,			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2	2020	туре		1500.00
					4 4
	Other (spec	cify)		Memo	Item
State: CA District: 45					
Full Name (Last, First, Middle Initial) Tim Grayson for Assembly 2020				Date of Di	sbursement
Till Glayson for Assembly 2020				M M /	D D / Y Y Y Y Y
Mailing Address 4425 C Treat Blvd #161				09	01 2020
City	State	Zip Code			
Concord	CA	94521		FEC Identi	ification Number
Purpose of Disbursement				C	
G-2020 State House 14 CA			011		action ID : B771073
Candidate Name Grayson, Tim, , ,			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2	2020	турс		1500.00
	Primary	✗ General			7 4
	Other (spec	cify) 🔻		Memo	Item
State: CA District: 14					
SUBTOTAL of Disbursements This Page (optional)					4500.00
COLUMN CONTROL OF STREET CONTR				-	7 7 7
TOTAL This Period (last page this line number only)				1	

SCHEDULE B (FEC Form 3X)	Lies consults cale adults (, FOR LINE	NUMBER: PAGE 214 OF 233
TEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(oricon oriny	
	Detailed Summary Page		22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or i		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Commi	ttee	
/ Full Name (Last, First, Middle Initial)			
A. Grove for Senate 2022			Date of Disbursement
			M M / D D / Y Y Y
Mailing Address 4900 California Ave. #105B			09 01 2020
City	State Zip Code		
-	CA 93309		FEC Identification Number
Purpose of Disbursement			C
P-2022 State Senate 16 CA Candidate Name		011	Transaction ID : B771079
Grove, Shannon, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2022	Турс	2000.00
	Primary General		
	Other (specify) ▼		Memo Item
State: CA District: 16 Full Name (Last, First, Middle Initial)			
B. Nazarian for Assembly			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address 11012 Ventura Blvd. Suite 242			09 01 2020
City	State Zip Code		
-	CA 91604		FEC Identification Number
Purpose of Disbursement		С	
G-2020 State House 46 CA Candidate Name	011	Transaction ID : B771077	
Nazarian, Adrin, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	Турс	1500.00
Senate	Primary x General		
	Other (specify)		Memo Item
State: CA District: 46 Full Name (Last, First, Middle Initial)			
C. O'Donnell for Assembly 2020			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address c/o CA Political Law 3605 Long Bea	a		09 01 2020
City	State Zip Code		FF0.11 .:: 11 . N .:
Long Beach	CA 90807		FEC Identification Number
Purpose of Disbursement G-2020 State House 70 CA		044	C
Candidate Name		011	Transaction ID : B771078
O'Donnell, Patrick, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	71.	2000.00
	Primary General		
State: CA District: 70	Other (specify) ▼		Memo Item
State. CA District. /U			
SUBTOTAL of Disbursements This Page (optional)			5500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
TEMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 23 26 27
	Detailed Summary Page	28a	28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us	ed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name	ne and address of any politic	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
Full Name (Last, First, Middle Initial)			
A. Portantino for Senate 2020			Date of Disbursement
Maillian Address 240 F. Ossen Blind Critis COF			09 01 2020
Mailing Address 249 E. Ocean Blvd. Suite 685			09 01 2020
,	State Zip Code		FEC Identification Number
201.9 200011	CA 90802		
Purpose of Disbursement G-2020 State Senate 25 CA		011	C
Candidate Name		Category/	Transaction ID: B771080 Amount of Each Disbursement this Period
Portantino, Anthony, , ,		Type	Amount of Lacif Disbursement this Feriou
	nent For: 2020		2000.00
	Primary General		
State: CA District: 25	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Major Gen. Richard Roth for Senat	e 2020		Date of Disbursement
Matter Address			M M / D D / Y Y Y Y
Mailing Address 1005 12th Street Suite H			09 01 2020
,	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2020 State Senate 31 CA	011	C	
Candidate Name		Category/	Transaction ID : B771083 Amount of Each Disbursement this Period
Roth, Richard, , ,		Type	
	nent For: 2020		2000.00
	Primary General Other (specify)		
State: CA District: 31	canor (opcomy)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Rudy Salas for Assembly			Date of Disbursement
Mailing Address 912 S. Chester Avenue			09 01 2020
Manning Address 512 6. Official Avenue			0 0 00
,	State Zip Code		FEC Identification Number
Bakersfield Purpose of Disbursement	CA 93304		
G-2020 State House 32 CA		011	C Transaction ID : B771074
Candidate Name		Category/	Amount of Each Disbursement this Period
Salas, Rudy, , ,		Type	1500.00
	nent For: 2020 Primary 🗶 General		1300.00
	Other (specify) ▼		Maria lari
State: CA District: 32	· · · · · · · · · · · · · · · · · · ·		Memo Item
			5500.00
SUBTOTAL of Disbursements This Page (optional)		·····•	5500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: PAGE 216 OF 233 (check only one)	
			21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
The Caterpillar Inc. Employee Politi	ical Actio	on Committe	ee	
Full Name (Last, First, Middle Initial) A. Stern for Senate 2020				Date of Disbursement
Mailing Address 1005 12th Street Suite H				09 01 2020
Sacramento	State CA	Zip Code 95814		FEC Identification Number
Purpose of Disbursement G-2020 State Senate 27 CA 011				Transaction ID : B771082
Candidate Name Stern, Henry, , , Type			Amount of Each Disbursement this Period	
Office Sought: House Disbursem	House Disbursement For: 2020 Senate Primary General			1500.00
President State: CA District: 27	Other (speci	ify) 🔻		Memo Item
Full Name (Last, First, Middle Initial)				Data of Bisham
B. Fenberg for Senate				Date of Disbursement
Mailing Address 1819 Walnut Street				09 14 2020
,	State	Zip Code 80302		FEC Identification Number
Purpose of Disbursement G-2020 State Senate 18 CO 011			C	
Candidate Name Category/				Transaction ID: B772536 Amount of Each Disbursement this Period
Fenberg, Stephen, , ,				400.00
	nent For: 2 Primary	020 🗶 General		400.00
	Other (speci			Memo Item
Full Name (Last, First, Middle Initial) C. Garnett for Colorado				Date of Disbursement
Mailing Address 921 Clarkson St.				09 14 2020
Denver	State CO	Zip Code 80218		FEC Identification Number
Purpose of Disbursement G-2020 State House 02 CO 011				Transaction ID : B772534
Candidate Name Category/ Type				Amount of Each Disbursement this Period
Office Sought: House Disbursem	se Disbursement For: 2020			400.00
	Other (specify)			Memo Item
SUBTOTAL of Disbursements This Page (optional)				2300.00
TOTAL This Period (last page this line number only)				2555.55

SCHEDULE B (FEC Form 3X)		- l l · · l - (-)	FOR LINE N	IUMBER:	PAGE 217 OF 233
ITEMIZED DISBURSEMENTS	Use separate s		(check only	′	□ 00 □ 07
	Detailed Summ		21b 28a	22 23 28c	26 27 29 30b
Any information copied from such Reports and Stater	nents may not be	enld or used			
or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Polit	tical Action C	Committee)		
/ Full Name (Last, First, Middle Initial)					
A. Gray for Colorado				Date of Disburse	ement
				M M / D	D / Y Y Y Y Y
Mailing Address P.O. Box 1674				09 1	2020
City	State Zip	Code			
Broomfield	CO 800			FEC Identification	n Number
Purpose of Disbursement G-2020 State House 33 CO		Г	044		
Candidate Name			011		ID : B772537
Gray, Matt, , ,		'	Category/ Type	Amount of Each	Disbursement this Period
	ment For: 2020				400.00
Senate	Primary x	General			,
State: CO District: 33	Other (specify)	7		Memo Item	
Full Name (Last, First, Middle Initial)					
B. Patrick Neville for State House				Date of Disburse	ement
					D / Y Y Y Y Y
Mailing Address 4128 Astrion Ct.				09	14 2020
,		Code		FEC Identification	n Number
Castle Rock Purpose of Disbursement	CO 80°	104			
G-2020 State House 45 CO			011	C	ID DEFECTOR
Candidate Name			Category/		ID: B772535 Disbursement this Period
Neville, Patrick, , ,			Type		400.00
Office Sought: House Disburser Senate	ment For: 2020 Primary	General			400.00
President	Other (specify)	5.01.014.		Mama Itam	
State: CO District: 45				Memo Item	
Full Name (Last, First, Middle Initial)				Data of Diahuma	
C. Bridges for Colorado				Date of Disburse	
Mailing Address 7600 Landmark Way #805					2020
01	04-4-	01-			
City Greenwood Village		Code 111		FEC Identification	n Number
Purpose of Disbursement				С	
G-2020 State Senate 26 CO Candidate Name			011	Transaction	n ID : B775997
Bridges, Jeff, , ,			Category/ Type	Amount of Each	Disbursement this Period
	ment For: 2020		- 7		400.00
x Senate	Primary x	General			,
State: CO District: 26	Other (specify)	7		Memo Item	
State. OO Biodiot. 20					
SUBTOTAL of Disbursements This Page (optional)					1200.00
TOTAL This Period (last page this line number only)					
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orlook orliy	one)
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	•		
The Caterpillar Inc. Employee Politi	ical Action Commit	tee	
Full Name (Last, First, Middle Initial) A. Bri for Colorado			Date of Disbursement
Mailing Address 2822 Cascade Avenue			09 28 2020
Pueblo	State Zip Code CO 81003		FEC Identification Number
Purpose of Disbursement G-2020 State House 47 CO		011	C
Candidate Name		Category/	Transaction ID: B776001 Amount of Each Disbursement this Period
Buentello, Bri, , ,		Type	
	nent For: 2020 Primary x General		400.00
	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
B. Coleman for Colorado			Date of Disbursement
Mailing Address P.O. Box 7222			09 28 2020
,	State Zip Code CO 80207		FEC Identification Number
Denver Purpose of Disbursement G-2020 State Senate 33 CO	80207	011	C
Candidate Name		Category/	Transaction ID: B775999 Amount of Each Disbursement this Period
Coleman, James, , ,		Туре	
\vdash \Box .	nent For: 2020		400.00
	Primary (Specify) General		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Committee to Elect Caroline Cornel	II		Mam / Dab / Yayayay
Mailing Address 19697 E Maplewood Ave.			09 28 2020
,	State Zip Code		FEC Identification Number
Aurora Purpose of Disbursement	CO 80016		С
G-2020 State House 37 CO Candidate Name		011	Transaction ID : B776006 Amount of Each Disbursement this Period
Cornell, Caroline, , ,		Category/ Type	Amount of Lacif Dispulsement this Period
Office Sought: House Disbursem	nent For: 2020 Primary		400.00
State: CO District: 37	Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).			1200.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) FOR LINE (check only	
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			25 2525
The Caterpillar Inc. Employee Polit	icai Action Commit	тее	
Full Name (Last, First, Middle Initial)			Date of Dishura and
A. Joann Ginal for Colorado			Date of Disbursement
Mailing Address 316 East Magnolia			09 28 2020
Fort Collins	State Zip Code CO 80524		FEC Identification Number
Purpose of Disbursement G-2020 State House 52 CO		011	C
Candidate Name		Category/	Transaction ID: B775995 Amount of Each Disbursement this Period
Ginal, Joann, , ,		Type	
	nent For: 2020 Primary		400.00
President	Primary x General Other (specify) ▼		Memo Item
State: CO District: 52 Full Name (Last, First, Middle Initial)			
B. Leslie Herod for Colorado			Date of Disbursement
Mailing Address P.O. Box 7445			09 28 2020
,	State Zip Code		FEC Identification Number
Denver Purpose of Disbursement	CO 80207		C
G-2020 State House 8 CO		011	Transaction ID : B776000
Candidate Name		Category/	Amount of Each Disbursement this Period
Herod, Leslie, , , Office Sought: House Disbursen	nent For: 2020	Type	400.00
	Primary General		4 4
State: CO District: 08	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Diaburcoment
C. Committee to Elect Hugh McKean			Date of Disbursement
Mailing Address 1151 Eagle Drive #193			09 28 2020
City	State Zip Code		FEC Identification Number
Loveland Purpose of Disbursement	CO 80537		
G-2020 State House 51 CO		011	Transaction ID : B776002
Candidate Name McKean, Hugh, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	Type	400.00
Senate	Primary General		
State: CO District: 51	Other (specify) ▼		Memo Item
State: CO District: 51			
SUBTOTAL of Disbursements This Page (optional)		·····•	1200.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orlook orliy	one)
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and States or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	,		
Full Name (Last, First, Middle Initial) A. Friends of Kevin Priola			Date of Disbursement
Mailing Address 12255 Ursula St.			09 28 2020
Henderson	State Zip Code CO 80640		FEC Identification Number
Purpose of Disbursement G-2020 State Senate 25 CO Candidate Name		011	Transaction ID : B775996
Priola, Kevin, , ,	ment For: 2020	Category/ Type	Amount of Each Disbursement this Period 400.00
President	Primary x General Other (specify) ▼		Memo Item
State: CO District: 25 Full Name (Last, First, Middle Initial) B. Vicki Pyne for HD27			Date of Disbursement
Mailing Address 7330 Routt St.			09 28 2020
City Arvada	State Zip Code 80005		FEC Identification Number
Purpose of Disbursement G-2020 State House 27 CO		011	C Transaction ID : B776005
Candidate Name Pyne, Vicki, , ,	mont For 2222	Category/ Type	Amount of Each Disbursement this Period
Senate	ment For: 2020 Primary x General Other (specify)		400.00
State: CO District: 27	(13)		Memo Item
Full Name (Last, First, Middle Initial) - Rosier for HD25			Date of Disbursement
Mailing Address 6583 S. Xenophon Street			09 28 2020
City Littleton Purpose of Disbursement	State Zip Code 80127		FEC Identification Number
G-2020 State House 25 CO Candidate Name		011	Transaction ID : B776004 Amount of Each Disbursement this Period
Rosier, Donald, , ,		Category/ Type	
Senate President	ment For: 2020 Primary General Other (specify)		400.00 Memo Item
State: CO District: 25			
SUBTOTAL of Disbursements This Page (optional)		·····•	1200.00
TOTAL This Period (last page this line number only))		

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate schedule(
	for each category of the Detailed Summary Page	28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			The second secon
The Caterpillar Inc. Employee Polit	ical Action Commi	ittee	
Full Name (Last, First, Middle Initial) A. Committee to Elect Staiert SD27			Date of Disbursement
Committee to Elect Statert SD2/			M M / D D / Y Y Y Y
Mailing Address 7349 S Lafayette Cir W			09 28 2020
,	State Zip Code CO 80122		FEC Identification Number
Purpose of Disbursement G-2020 State Senate 27 CO		044	С
Candidate Name		O11	Transaction ID : B775998
Staiert, Suzanne, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	1	400.00
	Primary ★ General Other (specify) ▼		Memo Item
State: CO District: 27			Wielilo Reili
Full Name (Last, First, Middle Initial) Ffstration for State House			Date of Disbursement
Efstration for State House			Man / Dab / Yayayay
Mailing Address P.O. Box 1656			09 28 2020
,	State Zip Code		FEC Identification Number
Dacula Purpose of Disbursement	GA 30019		C
G-2020 State House 104 GA		011	Transaction ID : B775964
Candidate Name Efstration, Chuck, , ,		Category/	Amount of Each Disbursement this Period
	nent For: 2020	Туре	1000.00
Senate	Primary General		7 7 7
President State: GA District: 10	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			5. (5)
C. IL House Republican Organization			Date of Disbursement
Mailing Address 16W281 83rd St. Suite D			09 28 2020
City	State Zip Code		FEC Identification Number
Burr Ridge Purpose of Disbursement	IL 60527		
State Party Cmte		011	Transaction ID : P775059
Candidate Name		Category/ Type	Transaction ID: B775958 Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2020	l yhe	2500.00
	Primary General		
State: President District:	Other (specify) ▼ Not Applica	ble	Memo Item
2.000	1401 Друпса		
SUBTOTAL of Disbursements This Page (optional)		·····	3900.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 222 OF 233
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
/ Full Name (Last, First, Middle Initial)			
A. Illinois Senate Rep. Camp. Cmte			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 3422			09 28 2020
City	State Zip Code		
Springfield	IL 62708		FEC Identification Number
Purpose of Disbursement State Party Cmte		Taur'	C
Candidate Name		011	Transaction ID : B775960
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020	.,,,,	2500.00
	Primary General		
	Other (specify) ▼		Memo Item
State: District: Full Name (Last, First, Middle Initial)	Not Applicabl	e	_
B. Friends of Napolion Harris for State	- Senate		Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address P.O. Box 429			09 28 2020
City	State Zip Code		
Flossmoor	IL 60422		FEC Identification Number
Purpose of Disbursement P-2022 State Senate 15 IL		044	
Candidate Name		011	Transaction ID : B775962
Harris, Napoleon, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2022	31	1000.00
	Primary General		
	Other (specify)		Memo Item
State: IL District: 15 Full Name (Last, First, Middle Initial)			_
C. Cmte to Elect Tim Brown			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 861			09 14 2020
City	State Zip Code		FFO Identification Number
Crawfordsville	IN 47933		FEC Identification Number
Purpose of Disbursement G-2020 State House 41 IN		044	C
Candidate Name		011	Transaction ID : B772551
Brown, Timothy, N, ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020		1000.00
	Primary General		
State: IN District: 41	Other (specify) ▼		Memo Item
Cate. III District. 41			
SUBTOTAL of Disbursements This Page (optional)		·····	4500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS		arate schedule(s) category of the	FOR LINE (check only	one)
		Summary Page	21b 28a	22 23 26 27 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit		7.		
Full Name (Last, First, Middle Initial) A. Brian Buchanan for State Senate				Date of Disbursement
Mailing Address 6377 N Caldwell Rd				09 14 2020
Lebanon	State IN	Zip Code 46052		FEC Identification Number
Purpose of Disbursement G-2020 State Senate 07 IN			011	Transaction ID : B772552
Candidate Name Buchanan, Brian, , , Office Sought: House Disbursen	ment For: 2	2020	Category/ Type	Amount of Each Disbursement this Period 500.00
Senate President	Primary Other (spec	x General		Memo Item
State: IN District: 07 Full Name (Last, First, Middle Initial)				
B. Klinker for State Rep.				Date of Disbursement
Mailing Address 633 Kossuth Street				09 14 2020
City Lafayette Purpose of Disbursement G-2020 State House 27 IN	State IN	Zip Code 47905		FEC Identification Number
Candidate Name Klinker, Sheila, J, ,			O11 Category/ Type	Transaction ID : B772550 Amount of Each Disbursement this Period
Senate	nent For: 2 Primary Other (spec	✗ General		500.00 Memo Item
Full Name (Last, First, Middle Initial) C. Friends of Don Lehe				Date of Disbursement
Mailing Address 10644 South 100 East				09 14 2020
Brookston	State IN	Zip Code 47923		FEC Identification Number
Purpose of Disbursement G-2020 State House 25 IN Candidate Name			011 Category/	Transaction ID : B772549 Amount of Each Disbursement this Period
	ment For: 2	2020 x General	Туре	1000.00
President State: IN District: 25	Other (spec	cify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)				2000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	addiodo or driy politic	55.7	The second secon
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
Full Name (Last, First, Middle Initial) A. Elect Negele for State Representat	ive		Date of Disbursement
Mailing Address 401 E Pike St			09 14 2020
Attica	State Zip Code IN 47918		FEC Identification Number
Purpose of Disbursement G-2020 State House 13 IN		011	C
Candidate Name Negele, Sharon, K, ,		Category/ Type	Transaction ID: B772548 Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020 Primary x General		1000.00
State: IN District: 13	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			Data of Dishusansant
B. Cmte to Elect Tim Moore			Date of Disbursement
Mailing Address 305 E King St			09 28 2020
,	State Zip Code		FEC Identification Number
Kings Mountain Purpose of Disbursement	NC 28086		С
G-2020 State House 111 NC		011	Transaction ID : B775963
Candidate Name		Category/	Amount of Each Disbursement this Period
Moore, Tim, , , Office Sought: House Disbursem	nent For: 2020	Туре	2000.00
	Primary General		7 7 7
State: NC District: 11	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Citizens for Flakoll			Date of Disbursement
Mailing Address 1350 2nd St. N			09 28 2020
,	State Zip Code ND 58102		FEC Identification Number
Purpose of Disbursement			C
G-2020 State Senate 44 ND Candidate Name		011 Category/	Transaction ID: B775990 Amount of Each Disbursement this Period
Flakoll, Tim, , ,		Type	
x Senate	nent For: 2020 Primary General Other (specify)		1000.00
State: ND District: 44	- /-1 <u>3</u> / •		Memo Item
SUBTOTAL of Disbursements This Page (optional)		·····	4000.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)			FOR LINE N	IUMBER:	PAGE 225 OF 233
TEMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only		□ 00 □ 07
		ımmary Page	21b 28a	22 23 28b 28c	26 27 29 30b
Any information copied from such Reports and Statem	l nants may no	t he sold or used			
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Actio	n Committe	е		
/ Full Name (Last, First, Middle Initial)					
A. Kim Koppelman for State House				Date of Disburs	sement
				M M / D	D / Y Y Y Y
Mailing Address 513 First Ave. NW Suite One				09	28 2020
City	State	Zip Code			
-	ND	58078		FEC Identificati	on Number
Purpose of Disbursement P-2022 State House 13 ND	<u> </u>	1		C	
Candidate Name			011		n ID : B775971
Koppelman, Kim, , ,			Category/ Type	Amount of Eac	h Disbursement this Period
	nent For: 20:		.,,,,		1000.00
	Primary	General			7
	Other (specify	y) ▼		Memo Item	1
State: ND District: 13 Full Name (Last, First, Middle Initial)					
B. Lee for Senate				Date of Disburs	sement
				M = M / D	D / Y Y Y Y Y
Mailing Address 1822 Brentwood Ct.				09	28 2020
City	State	Zip Code			
•	ND	58078		FEC Identificati	on Number
Purpose of Disbursement P-2022 State Senate 13 ND	L			С	
Candidate Name			011	Transactio	n ID : B775970
Lee, Judith, , ,			Category/ Type	Amount of Eac	h Disbursement this Period
	nent For: 20	22	Туро		1000.00
	Primary	General		7	4 4
	Other (specify	y)		Memo Item	1
State: ND District: 13 Full Name (Last, First, Middle Initial)					
C. Friends of Nickie J. Antonio				Date of Disburs	sement
- Hondo of Monto of Amorno				M = M / D	D / Y Y Y Y
Mailing Address 1305 Belle Avenue				09	28 2020
City	State	Zip Code			
Lakewood	ОН	44107		FEC Identificati	on Number
Purpose of Disbursement P-2022 State Senate 23 OH		1	011	C	
Candidate Name			011		on ID : B775967
Antonio, Nickie, , ,			Category/ Type	Amount of Eac	h Disbursement this Period
	nent For: 20	22	71	1	750.00
	Primary	General			,
	Other (specify	y) ▼		Memo Item	1
State: OH District: 23					
SUBTOTAL of Disbursements This Page (optional)					2750.00
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule for each category of the	ne Concor only	y one)
	Detailed Summary Pag		22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	a address of drip po	John Miles II.	The state of the s
The Caterpillar Inc. Employee Polit	ical Action Comm	nittee	
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Citizens for Bob Cupp			Date of Dispursement
Mailing Address 3003 W. Hume Road			09 28 2020
City Lima	State Zip Code OH 45806		FEC Identification Number
Purpose of Disbursement G-2020 State House 04 OH		011	C
Candidate Name		Category/	Transaction ID: B775965 Amount of Each Disbursement this Period
Cupp, Bob, , ,		Type	
	nent For: 2020 Primary 🗶 Genera		1500.00
President	Other (specify) General General	л	Memo Item
State: OH District: 04			
Full Name (Last, First, Middle Initial) 3. Citizens for Hottinger			Date of Disbursement
			M M / D D / Y Y Y Y Y
Mailing Address 2135 Horns Hill Road			09 28 2020
,	State Zip Code		FEC Identification Number
Purpose of Disbursement	OH 43055		C
P-2022 State Senate 31 OH		011	Transaction ID : B775968
Candidate Name		Category/	Amount of Each Disbursement this Period
Hottinger, Jay, , , Office Sought: House Disbursen	nent For: 2022	Туре	750.00
	nent For: 2022 Primary Genera	al	7,50.00
	Other (specify)		Memo Item
State: OH District: 31			Mono item
Full Name (Last, First, Middle Initial)			Date of Disbursement
S. Friends of Stephanie Howse			
Mailing Address 1220 Spring Road			09 28 2020
City	State Zip Code		FEC Identification Number
Cleveland	OH 44109		
Purpose of Disbursement G-2020 State House 11 OH		011	C
Candidate Name		Category/	Transaction ID : B775969 Amount of Each Disbursement this Period
Howse, Stephanie, , ,		Type	
<u> </u>	nent For: 2020		750.00
Senate President	Primary General Gener	1 1	П.,
State: OH District: 11	JJ. (opoony) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)			3000.00
TOTAL This Period (last page this line number only)			

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NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) A. Matt Huffman for Ohio Mailing Address 4679 Winterset Drive City Columbus Purpose of Disbursement G-2020 State Senate 12 OH Candidate Name Huffman, Matt, , Office Sought: I House President State: OH District: 12 Full Name (Last, First, Middle Initial) B. Citizens for McColley Mailing Address 15 Lemans Drive City City City Columbus Disbursement For: 2020 Primary Other (specify) Mailing Address 15 Lemans Drive Category/ Type City Napoleon Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Robert, McColley, , Office Sought: House City Napoleon Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Robert, McColley, , Office Sought: House Disbursement For: 2022 Transaction ID: B7 Amount of Each Disbur FEC Identification Numl Category/ Type Transaction ID: B7 Amount of Each Disbur FEC Identification Numl Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Transaction ID: B7 Amount of Each Disbursement Category/ Type Date of Disbursement	27 30b
NAME OF COMMITTEE (in Full) The Caterpillar Inc. Employee Political Action Committee Full Name (Last, First, Middle Initial) A. Matt Huffman for Ohio Mailing Address 4679 Winterset Drive City Columbus Purpose of Disbursement G-2020 State Senate 12 OH Candidate Name Huffman, Matt, , Office Sought: V Senate President State: OH District: 12 Full Name (Last, First, Middle Initial) 3. Citizens for McColley Mailing Address 15 Lemans Drive City Napoleon Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Robert, McColley, , Office Sought: V State OH District: 01 Date of Disbursement Primary General Other (specify) FEC Identification Numi C Transaction ID : B7 Amount of Each Disbursement P-2022 State Senate 1 OH Category/ Type Other (specify) Memo Item Full Name (Last, First, Middle Initial) C Transaction ID : B7 Amount of Each Disbursement P-2022 State Senate 1 OH Category/ Type Other (specify) Memo Item Date of Disbursement P-2022 State Senate 1 OH Category/ Type Other (specify) FEC Identification Numi C Transaction ID : B7 Amount of Each Disbursement P-2022 State Senate 1 OH Category/ Type Other (specify) Memo Item Date of Disbursement President State: OH District: 01 Full Name (Last, First, Middle Initial) C TN Senate Republican Caucus	
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G-2020 State Senate 12 OH Candidate Name Huffman, Matt, , Office Sought: Disbursement For: 2020	ər
Huffman, Matt, , , Office Sought: House	76007
Office Sought: House Primary General Other (specify) Wemo Item State: OH District: 12 Full Name (Last, First, Middle Initial) B. Citizens for McColley Mailing Address 15 Lemans Drive City State Zip Code OH 43545 Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Category/ Type Robert, McColley, , Office Sought: House President State: OH District: 01 Full Name (Last, First, Middle Initial) State OH District: 01 Full Name (Last, First, Middle Initial) C. TN Senate Republican Caucus Disbursement For: 2022 Memoral Other (specify) Memo Item Date of Disbursement Category/ Type Memo Item Date of Disbursement Category/ Type Transaction ID: 87 Amount of Each Disbursement Memo Item Date of Disbursement Category/ Memo Item Date of Disbursement Category/ Memo Item Date of Disbursement Category/ Memo Item	ement this Period
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City Napoleon Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Robert, McColley, , Office Sought: House President State: OH District: 01 Full Name (Last, First, Middle Initial) Transaction ID: B7 Amount of Each Disbursement Other (specify) Full Name (Last, First, Middle Initial) Transaction ID: B7 Amount of Each Disbursement Other (specify) Disbursement Other (specify) Date of Disbursement Date of Disbursement	Y . Y . Y . Y
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Purpose of Disbursement P-2022 State Senate 1 OH Candidate Name Robert, McColley, , , Office Sought: House President Senate Primary Senate President State: OH District: 01 Full Name (Last, First, Middle Initial) Transaction ID: B7 Amount of Each Disbursement For: 2022 Memo Item Date of Disbursement Date of Disbursement Date of Disbursement Date of Disbursement	er
Candidate Name Robert, McColley, , , Office Sought: House President State: OH District: 01 Full Name (Last, First, Middle Initial) Transaction ID: B7 Amount of Each Disbur General Other (specify) Memo Item Date of Disbursement Disbursement For: 2022 Memo Item Date of Disbursement Date of Disbursement	
Office Sought: House Disbursement For: 2022 X Senate Primary General Other (specify) State: OH District: 01 Full Name (Last, First, Middle Initial) TN Senate Republican Caucus Date of Disbursement Date of Disbursement Date of Disbursement	
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State: OH District: 01 Full Name (Last, First, Middle Initial) TN Senate Republican Caucus Date of Disbursement	
C. TN Senate Republican Caucus Date of Disbursement	
	Y Y Y Y
	2020
City State Zip Code FEC Identification Number 17N 37203	er er
Purpose of Disbursement State Party Cmte O11 Transaction ID : B	72533
Candidate Name Category/ Type Category/ Type	
Office Sought: House Disbursement For: 2020 Senate Primary General	3000.00
President	
	5000.00
SUBTOTAL of Disbursements This Page (optional)	2000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s	FOR LINE (check only	
II LIVIIZED DISBURSEIVIEN IS	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	and address of any point		. coo. communication and communication
The Caterpillar Inc. Employee Politi	ical Action Commit	tee	
Full Name (Last, First, Middle Initial)			Data of Bishamanant
A. Mike Bell for State Senate			Date of Disbursement
Mailing Address 261 County Road 757			09 28 2020
Riceville	State Zip Code TN 37370		FEC Identification Number
Purpose of Disbursement P-2022 State Senate 09 TN		011	C Transaction ID : B775974
Candidate Name		Category/	Amount of Each Disbursement this Period
Bell, Mike, , ,	ant Fam. 2000	Type	1000.00
	nent For: 2022 Primary General		1000.00
	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Friends of Karen Camper			Date of Disbursement
Mailing Address 4229 Oxford Park Dr.			09 28 2020
City	State Zip Code		FEC Identification Number
Weinpine .	TN 38116		
Purpose of Disbursement G-2020 State House 87 TN		011	C
Candidate Name		Category/	Transaction ID : B775980 Amount of Each Disbursement this Period
Camper, Karen, , ,		Type	Amount of Each dispursement this Period
	nent For: 2020	1	1000.00
	Primary General		
State: TN District: 87	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Data of Diabura areas
C. John Ray Clemmons for TN House			Date of Disbursement
Mailing Address 2501 Oakland Ave.			09 28 2020
City	State Zip Code		FEC Identification Number
Nashville Purpose of Disbursement	TN 37212		
G-2020 State House 55 TN		011	Transaction ID : B775979
Clommons John P		Category/	Amount of Each Disbursement this Period
Clemmons, John, R, , Office Sought: V House Disbursem	nent For: 2020	Туре	1000.00
	Primary General		7 7 7
	Other (specify) ▼		Memo Item
State: TN District: 55			Wiellie Reill
SUBTOTAL of Disbursements This Page (optional)		·····	3000.00
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NAME OF COMMITTEE (In Full)	and address of any p		22 2222.3.10 110.11 32.01 30.11111111100.
The Caterpillar Inc. Employee Polit	tical Action Comn	nittee	
Full Name (Last, First, Middle Initial) Ferrell Haile for State Senate			Date of Disbursement
Mailing Address PO Box 816			09 / 28 / 2020
City Galltin Purpose of Disbursement	State Zip Code 37066		FEC Identification Number
G-2020 State Senate 18 TN		011	C
Candidate Name		Category/	Transaction ID: B775976 Amount of Each Disbursement this Period
Haile, Ferrell, , ,		Type	
	ment For: 2020 Primary		1000.00
State: TN District: 18	Other (specify) Gener	aı	Memo Item
Full Name (Last, First, Middle Initial)			
Gommittee to Elect William Lambe	erth		Date of Disbursement
Mailing Address P.O. Box 812			09 28 2020
City Portland	State Zip Code TN 37148		FEC Identification Number
Purpose of Disbursement G-2020 State House 44 TN	37140	011	C
Candidate Name		Category/	Transaction ID: B775978 Amount of Each Disbursement this Period
Lamberth, William, , ,		Type	
Office Sought: House Disburser Senate	ment For: 2020 Primary x Genera	al	1000.00
	Other (specify)	ai	Memo Item
Full Name (Last, First, Middle Initial)			Data of Diahuraamant
C. Becky Massey Campaign			Date of Disbursement
Mailing Address 6932 Westland Dr			09 28 2020
,	State Zip Code		FEC Identification Number
Knoxville Purpose of Disbursement	TN 37919		C
G-2020 State Senate 06 TN Candidate Name		011	Transaction ID : B775973 Amount of Each Disbursement this Period
Massey, Becky, D, ,		Category/ Type	Amount of Each Dispulsement this relied
x Senate □	ment For: 2020 Primary	al	1000.00
State: TN District: 06	Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)		<u>-</u>	3000.00
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	•
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi			
Full Name (Last, First, Middle Initial) A. Bo Watson For Senate			Date of Disbursement
Mailing Address P.O. Box 2059			09 28 2020
-	State Zip Code TN 37343		FEC Identification Number
Purpose of Disbursement P-2022 State Senate 11 TN Candidate Name		011 Category/	Transaction ID : B775975 Amount of Each Disbursement this Period
	nent For: 2022	Type	1000.00
	Primary ☐ General Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial) B. Jeff Yarbro for State Senate			Date of Disbursement
Mailing Address 144 51st Avenue North			09 28 2020
,	State Zip Code TN 37209		FEC Identification Number
P-2022 State Senate 21 TN Candidate Name		011 Category/	Transaction ID : B775977 Amount of Each Disbursement this Period
x Senate x	nent For: 2022 Primary General	Type	1500.00
President State: TN District: 21	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Cesar Blanco for State Senate			Date of Disbursement
Mailing Address P.O. Box 27074			09 / 28 / 2020
El Paso Purpose of Disbursement	State Zip Code TX 79926		FEC Identification Number
G-2020 State Senate 29 TX Candidate Name Blanco, Cesar, , ,		011 Category/	Transaction ID : B775982 Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2020 Primary	Туре	1000.00 Memo Item
State: TX District: 29			LI monto non
SUBTOTAL of Disbursements This Page (optional)		·····	3500.00
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE	
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	Detailed Summary Page	28a	28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or u	sed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name	ne and address of any polit	ical committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	·		
The Caterpillar Inc. Employee Politi	ical Action Commit	tee	
Full Name (Last, First, Middle Initial)			
^{A.} Terry Canales			Date of Disbursement
Mailing Address 2727 West University Drive			09 28 2020
			Q 25 2525
,	State Zip Code TX 78539		FEC Identification Number
Edinburg Purpose of Disbursement	TX 78539		С
G-2020 State House 40 TX		011	Transaction ID : B775986
Candidate Name		Category/	Amount of Each Disbursement this Period
Canales, Terry, , , Office Sought: X House Disbursem	nent For: 2020	Туре	1000.00
	Primary General		7
	Other (specify) ▼		Memo Item
State: TX District: 40			<u> </u>
Full Name (Last, First, Middle Initial)			Date of Disbursement
B. Harold Dutton Campaign Fund			M M / D D / Y Y Y Y
Mailing Address 4001 Jewett St.			09 28 2020
City	State Zin Code		
,	State Zip Code TX 77026		FEC Identification Number
Purpose of Disbursement			С
G-2020 State House 142 TX Candidate Name		011	Transaction ID : B775989
Dutton Jr., Harold V., , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2020	1,700	1000.00
	Primary General		
State: TX District: 14	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Mary Gonzalez Campaign			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 450			09 28 2020
City	State Zip Code		FEC Identification Number
Clint	TX 79836		
Purpose of Disbursement G-2020 State House 75 TX		011	C
Candidate Name		Category/	Transaction ID: B775987 Amount of Each Disbursement this Period
Gonzales, Mary, , ,		Type	
	nent For: 2020 Primary 🗶 General		1000.00
	Primary		Maria Hari
State: TX District: 75			Memo Item
CURTOTAL of Dist			3000.00
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny	one)
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
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NAME OF COMMITTEE (In Full)	, , , , , , , , , , , , , , , , ,		3000
The Caterpillar Inc. Employee Politi	ical Action Commit	ee	
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. John Raney Campaign			M M / D D / Y Y Y Y
Mailing Address P.O. Box 11461			09 28 2020
College Station	State Zip Code TX 77842		FEC Identification Number
Purpose of Disbursement G-2020 State House 14 TX		011	C Transaction ID : B775983
Candidate Name		Category/	Amount of Each Disbursement this Period
Raney, John, , , Office Sought: W House Disbursem	nent For: 2020	Туре	500.00
	Primary x General		7 7 7
President State: TX District: 14	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Toni Rose Campaign			Date of Disbursement
Mailing Address P.O. Box 41867			09 28 2020
,	State Zip Code		FEC Identification Number
Dallas Purpose of Disbursement	TX 75241		
G-2020 State House 110 TX		011	C Transaction ID : B775988
Candidate Name		Category/	Amount of Each Disbursement this Period
Rose, Toni, , , Office Sought: House Disbursem	nent For: 2020	Туре	500.00
	Primary General		300.00
	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Drew Springer Campaign			Date of Disbursement
Mailing Address 320 East 4th St.			09 28 2020
City	State Zip Code		FEC Identification Number
Muenster Purpose of Disbursement	TX 76252		
O-2020 State House 68 TX		011	C Transaction ID : B775985
Candidate Name Springer, Drew, , ,		Category/	Amount of Each Disbursement this Period
	nent For: 2020	Туре	1000.00
	Primary General		7 7 7
	Other (specify) ▼	rol	Memo Item
State: TX District: 68	Special Gener	aı	
SUBTOTAL of Disbursements This Page (optional)		·····	2000.00
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 233 OF 233
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 23 26 27
	, ,	28a	28b 28c x 29 30b
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NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			B (B)
A. Royce West for State Senate			Date of Disbursement
Mailing Address 5787 South Hampton Rd. Suite 255	5		09 28 2020
City	State Zip Code		FEC Identification Number
	TX 75232		
Purpose of Disbursement P-2022 State Senate 23 TX		011	Transaction ID : B775981
Candidate Name		Category/	Amount of Each Disbursement this Period
West, Royce, , ,		Type	4500.00
	nent For: 2022		1500.00
	Primary General Other (specify) ▼		
State: TX District: 23	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
B. Friends of Jessie			Date of Disbursement
Mailing Address 6633 S. Crane Drive			09 28 2020
City	State Zip Code		
•	WI 53154		FEC Identification Number
Purpose of Disbursement			C
G-2020 State House 21 WI		011	Transaction ID : B775961
Candidate Name		Category/	Amount of Each Disbursement this Period
Rodriguez, Jessie, , , Office Sought: House Disbursem	nent For: 2020	Туре	500.00
	Primary General		
	Other (specify)		Mama Itam
State: WI District: 21			Memo Item
Full Name (Last, First, Middle Initial)			
C.			Date of Disbursement
Mailing Address			M M M / D D / Y Y Y Y Y
Mailing Address			
City	State Zip Code		FEC Identification Number
Purpose of Disbursement			С
		1 []	
Candidate Name		Category/	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For:	Туре	
	Primary General		4 4
President	Other (specify) ▼		Memo Item
State: District:			Mono Rom
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