



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-3

December 2, 1999

James P. Fox, Treasurer
Democratic Senatorial Campaign
Committee
430 South Capitol Street, SE
Washington, DC 20003

Identification Number: C00042366

Reference: May Monthly (4/1/99-4/30/99) and June Monthly (5/1/99-5/31/99)
Reports

Dear Mr. Fox:

This letter is to inform you that as of December 1, 1999, the Commission has not received your response to our requests for additional information dated November 10, 1999. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

The Commission is in receipt of your November Monthly Report on November 19, 1999; however, this does not address the matters in our letters referenced above. If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Kenneth Davis on our toll-free number (800) 424-9530 or our local number (202) 694-1130.

Sincerely,

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosures



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

James P. Fox, Treasurer
Democratic Senatorial
Campaign Committee
430 South Capitol Street, SE
Washington, DC 20003

NOV 10 1999

Identification Number: C00042366

Reference: June Monthly Report (5/1/99-5/31/99)

Dear Mr. Fox:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-You must attempt to obtain the full name, mailing address, occupation and name of employer for all individuals who contribute more than \$200 in a calendar year. Please amend your report to include the omitted information.

A committee may establish "best efforts" by providing the Commission with a description of its procedures for requesting the information. It is also in the best interests of the committee to provide a copy of its solicitation. In order to establish "best efforts", the committee must demonstrate that it makes at least one request for the information after the contribution is received. This one request must be made for any solicited or unsolicited contribution that, in the aggregate, exceeds the \$200 threshold and lacks the necessary information.

Each solicitation must include a clear and conspicuous request for the information. If a committee receives a contribution that, in the aggregate, exceeds the \$200 threshold but lacks contributor

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information, the committee must, within 30 days, make a written or oral request for the information. Please note that a written request may not include an additional solicitation or material on any other subject, other than thanking the contributor for the donation, and must include a pre-addressed return post card or envelope for the contributor's response. An oral request must be documented in writing. Committees must also disclose information that was not provided by the contributor, but is available in any of the committee's records for that current election cycle.

If a committee receives contributor information after the contributions have been reported, the committee shall either a) file with its next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before its next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.3(a)(4)(i) and 11 CFR § 104.7)

-Your report discloses receipts totalling \$23,250.46 from California Victory '98, which is a joint fundraising committee(s) affiliated with your committee. Please be advised that a memo Schedule A must be provided to itemize your committee's share of the gross contributions received through the joint fundraiser(s). The memo schedule should itemize each individual who has contributed an aggregate in excess of \$200 during the calendar year, and provide the amount of unitemized contributions received. In addition, the memo schedule should itemize your committee's share of all contributions from political committees, regardless of amount. 11 CFR §102.17(c)(8)(i)(B)

-Schedule I of the Non-federal Mixed account discloses \$197,942.78 in non-federal receipts. These receipts are apparently disclosed on memo Schedules B. 11CFR §104.8 (e) and (f) state that national party committees shall itemize receipts on memo Schedules A. Please amend your report by clarifying this apparent discrepancy.

-During the 1997-1998 election cycle, your committee reported non-federal activity from the Non-federal Corporate II account, the Non-federal Individual Line-of-Credit account and the Non-federal Money Market account. In addition, during this cycle, your

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committee reported non-federal activity from the Non-federal Individual North Carolina account. These accounts are not included in this report. Please explain this discrepancy.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 694-1130.

Sincerely,



Kenneth A. Davis, Jr.
Reports Analyst
Reports Analysis Division

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