



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

June 28, 2013

TAMMY JO TIPTON, TREASURER
CNL FINANCIAL GROUP INC POLITICAL
ACTION COMMITTEE
450 SOUTH ORANGE AVENUE SUITE 1400
ORLANDO, FL 32801

Response Due Date
08/02/2013

IDENTIFICATION NUMBER: C00454314

REFERENCE: 12 DAY PRE-GENERAL REPORT (10/01/2012 - 10/17/2012)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Your report(s) was not signed by the treasurer or designated agent listed on your Statement of Organization (FEC Form 1). Please amend your report(s) by providing the signature of an individual that is authorized to sign the report(s). (2 U.S.C. §434(a)(1) and 11 CFR §104.14(a) and (d)) If a new treasurer has been appointed, please file an amended Statement of Organization or a letter (if not an electronic filer) to reflect this change.
2. Schedule B of your report (see attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) prohibits a non-multicandidate political committee and its affiliates, from making a contribution to a candidate for federal office in excess of \$2,500 per election. Please refer to the Campaign Guide for information on how a committee qualifies for multicandidate status.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If any contribution you made exceeds the limits, you must request a refund of the excessive amount or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR §110.2(b) within 60 days of the treasurer's

CNL FINANCIAL GROUP INC POLITICAL ACTION COMMITTEE

Page 2 of 3

receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund of the excessive amount. (11 CFR §103.3(b)(1) and (3))

If your committee has met the criteria for multicandidate status, please file FEC FORM 1M "Notification of Multicandidate Status" with the Commission. The treasurer must file FEC FORM 1M no later than ten (10) calendar days after qualifying for multicandidate committee status. (11 CFR §102.2(a)(3))

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. (11 CFR §110.1(b))

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1162.

CNL FINANCIAL GROUP INC POLITICAL ACTION COMMITTEE

Page 3 of 3

Sincerely,

A handwritten signature in black ink, appearing to read 'D. Butler', written in a cursive style.

David Butler
Senior Campaign Finance Analyst
Reports Analysis Division

313

Excessive, Prohibited, and Impermissible Contributions
CNL FINANCIAL GROUP, INC. POLITICAL ACTION COMMITTEE (C00454314)

Excessive Contribution(s) to a Committee/Candidate Committee

Recipient Name	Date	Amount	Election	Report
DANIEL WEBSTER FOR CONGRESS	2/17/11	\$1,000.00	*G2011	2011 March Monthly
DANIEL WEBSTER FOR CONGRESS	6/23/11	\$1,000.00	G2012	2011 July Monthly
DANIEL WEBSTER FOR CONGRESS	10/15/12	\$5,000.00	G2012	2012 12 Day Pre-General

*Schedule B of your report discloses this contribution as designated to the 2011 General election; however, this candidate did not participate in a General election in 2011. Therefore, this contribution has been attributed to the next scheduled General election for this candidate, the 2012 General (11 CFR §110.2(b)(ii)).