FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS

For An Authorized Committee

SECRETARY OF THE SENATE
14 OCT 15 PM 5:39
Office Use Only

1. NAME OF COMMITTEE (in full) — TYPE OR PRINT ▼ — Example: If typing, type over the lines. 12FE4M5

Al Franken for Senate 2014

ADDRESS (number and street) ▼ P.O. Box 583144

Check if different than previously reported. (ACC)

CITY ▲ Minneapolis — STATE ▲ MN — ZIP CODE ▲ 55458 -

2. **FEC IDENTIFICATION NUMBER ▼** C C00480384

3. IS THIS REPORT [ ] NEW (N) **OR** [X] AMENDED (A)

STATE ▼ MN — DISTRICT 00

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

- [X] April 15 Quarterly Report (Q1)
- [ ] July 15 Quarterly Report (Q2)
- [ ] October 15 Quarterly Report (Q3)
- [ ] January 31 Year-End Report (YE)
- [ ] Termination Report (TER)

(b) 12-Day **PRE**-Election Report for the:

[ ] Primary (12P) [ ] General (12G) [ ] Runoff (12R)
[ ] Convention (12C) [ ] Special (12S)

Election on MM / DD / YYYY in the State of

(c) 30-Day **POST**-Election Report for the:

[ ] General (30G) [ ] Runoff (30R) [ ] Special (30S)

Election on MM / DD / YYYY in the State of

5. Covering Period 01 / 01 / 2014 through 03 / 31 / 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Thomas Borman

Signature of Treasurer *Thomas Borman* — Date 10 / 15 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							

FEC FORM 3
(Revised 02/2003)

FE5AN018

14020910001

SUMMARY PAGE
of Receipts and Disbursements

Write or Type Committee Name
Al Franken for Senate 2014

Report Covering the Period: From: 01 / 01 / 2014 To: 03 / 31 / 2014

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e))..	2598417.99	13009441.37
(b) Total Contribution Refunds (from Line 20(d))..	20526.12	73555.71
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a))...	2577891.87	12935885.66
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17)..	1602871.48	8736930.24
(b) Total Offsets to Operating Expenditures (from Line 14)...	716.50	38486.67
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))...	1602154.98	8698443.57
8. Cash on Hand at Close of Reporting Period (from Line 27)...	5933851.39	
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)..	0.00	
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)..	0.00	

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

14020910002

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3** (Revised 12/2003)

Write or Type Committee Name

Al Franken for Senate 2014

Report Covering the Period: From: 01 / 01 / 2014 To: 03 / 31 / 2014

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
11. CONTRIBUTIONS (other than loans) FROM:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)..	980634.21	4374221.71
(ii) Unitemized.....	1462124.02	7586185.57
(iii) TOTAL of contributions from individuals . ▶	2442758.23	11960407.28
(b) Political Party Committees...	0.00	713.50
(c) Other Political Committees (such as PACs)..	155659.76	1048320.59
(d) The Candidate.....	0.00	0.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(iii), (b), (c), and (d))..	2598417.99	13009441.37
12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES ..	116100.00	1852858.18
13. LOANS: (a) Made or Guaranteed by the Candidate...	0.00	0.00
(b) All Other Loans...	0.00	0.00
(c) TOTAL LOANS (add Lines 13(a) and (b))...	0.00	0.00
14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)..	716.50	38486.67
15. OTHER RECEIPTS (Dividends, Interest, etc.).....	6954.78	228715.99
16. **TOTAL RECEIPTS** (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)... ▶	2722189.27	15129502.21

14020910003

FE5AN018

DETAILED SUMMARY PAGE
of Disbursements

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES...	1602871.48	8736930.24
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES ..	0.00	0.00
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate...	0.00	0.00
(b) Of All Other Loans	0.00	0.00
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))...	0.00	0.00
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees..	20526.12	73555.71
(b) Political Party Committees...	0.00	0.00
(c) Other Political Committees (such as PACs)..	0.00	0.00
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))...	20526.12	73555.71
21. OTHER DISBURSEMENTS	4190.50	385164.87
22. **TOTAL DISBURSEMENTS** (add Lines 17, 18, 19(c), 20(d), and 21) ▶	1627588.10	9195650.82

III. CASH SUMMARY

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD...	4839250.22
24 TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)...	2722189.27
25. SUBTOTAL (add Line 23 and Line 24)...	7561439.49
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)...	1627588.10
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)...	5933851.39

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SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE

☐ 11a ☐ 11b ☐ 11c ☐ 11d
☒ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Al Franken for Senate 2014

A.
Full Name (Last, First, Middle Initial): William Vareika
Mailing Address: 212 Bellevue Ave
City: Newport | State: RI | Zip Code: 02840-3515
FEC ID number of contributing federal political committee: C
Name of Employer: William Vareika Fine Arts
Occupation: Art Dealer
Receipt For: 2014
☒ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date: 600.00

Date of Receipt: 03 / 03 / 2014
Transaction ID : C5493403
Amount of Each Receipt this Period: 500.00
[MEMO ITEM]

B.
Full Name (Last, First, Middle Initial): Genevieve Wallace
Mailing Address: 9136 Spear Pl S
City: Seattle | State: WA | Zip Code: 98118
FEC ID number of contributing federal political committee: C
Name of Employer: Susman Godfrey LLP
Occupation: Attorney
Receipt For: 2014
☒ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date: 2500.00

Date of Receipt: 03 / 20 / 2014
Transaction ID : C5493404
Amount of Each Receipt this Period: 2500.00
[MEMO ITEM]

C.
Full Name (Last, First, Middle Initial): Scott Wallace
Mailing Address: 6901 Mountain Gate Drive
City: Bethesda | State: MD | Zip Code: 20817
FEC ID number of contributing federal political committee: C
Name of Employer: Self-Employed
Occupation: Nonprofit Director
Receipt For: 2014
☒ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date: 5200.00

Date of Receipt: 03 / 12 / 2014
Transaction ID : C5493428
Amount of Each Receipt this Period: 100.00
[MEMO ITEM]

SUBTOTAL of Receipts This Page (optional) 0.00

TOTAL This Period (last page this line number only) .....

14020910005

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 11a ☐ 11b ☐ 11c ☐ 11d
☒ 12 ☐ 13a ☐ 13b ☐ 14 ☐ 15

PAGE [illegible]

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NAME OF COMMITTEE (In Full)
Al Franken for Senate 2014

A.
Full Name (Last, First, Middle Initial): Scott Wallace
Mailing Address: 6901 Mountain Gate Drive
City: Bethesda | State: MD | Zip Code: 20817
FEC ID number of contributing federal political committee: C
Name of Employer: Self-Employed
Occupation: Nonprofit Director
Receipt For: 2014
☐ Primary ☒ General
☐ Other (specify)
Election Cycle-to-Date: 5200.00

Date of Receipt: 03 / 12 / 2014
Transaction ID : C5493362
Amount of Each Receipt this Period: 2600.00
[MEMO ITEM]
*

B.
Full Name (Last, First, Middle Initial): Mark Wawro
Mailing Address: 3718 Inverness
City: Houston | State: TX | Zip Code: 77019
FEC ID number of contributing federal political committee: C
Name of Employer: Susman Godfrey LLP
Occupation: Attorney
Receipt For: 2014
☒ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date: 2500.00

Date of Receipt: 03 / 20 / 2014
Transaction ID : C5493409
Amount of Each Receipt this Period: 2500.00
[MEMO ITEM]
*

C.
Full Name (Last, First, Middle Initial): Sheridan Williams
Mailing Address: 3622 Knollwood Street
City: Houston | State: TX | Zip Code: 77019
FEC ID number of contributing federal political committee: C
Name of Employer: Self-Employed
Occupation: Interior Designer
Receipt For: 2014
☒ Primary ☐ General
☐ Other (specify)
Election Cycle-to-Date: 2500.00

Date of Receipt: 03 / 20 / 2014
Transaction ID : C5493408
Amount of Each Receipt this Period: 2500.00
[MEMO ITEM]
*

SUBTOTAL of Receipts This Page (optional) 0.00

TOTAL This Period (last page this line number only) .....

14020910006

NANCY ERICKSON
SECRETARY

DANA K. MCCALLUM
SUPERINTENDENT

HART SENATE OFFICE BUILDING
SUITE 232
WASHINGTON, DC 20510-7116
PHONE: (202) 224-0322

United States Senate

OFFICE OF THE SECRETARY

OFFICE OF PUBLIC RECORDS

THE PRECEDING DOCUMENT WAS:

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Date of Receipt

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Postmark

DELIVERY CONFIRMATION OR SIGNATURE CONFIRMATION LABEL ☐

USPS EXPRESS MAIL _____
Postmark

OVERNIGHT DELIVERY SERVICE:

	SHIPPING DATE	NEXT BUSINESS DAY DELIVERY
FEDERAL EXPRESS	_____	☐
UPS	_____	☐
DHL	_____	☐
AIRBORNE EXPRESS	_____	☐

RECEIVED FROM FEDERAL ELECTION COMMISSION _____
Date of Receipt

POSTMARK ILLEGIBLE ☐ NO POSTMARK ☐

FAX _____
Date of Receipt

OTHER _____
Date of Receipt or Postmark

PREPARER DH DATE PREPARED 10-15-14

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